531-005-B: LOBBYING AND USE OF APPROPRIATED FUNDS POLICY

I. Purpose

The University Corporation at Monterey Bay (“University Corporation”) wishes to establish a clear and coherent policy regarding the prohibition against lobbying consistent with the provisions of 31 U.S.C. section 1352 and implementing regulations.

II. Policy

No individual or groups of individuals employed by the University or the University Corporation or acting on their behalf shall accept or expend Federal appropriated funds for the purpose of lobbying or attempting to influence an officer or employee of any governmental agency, Member of Congress, officer or employee of Congress, or any employee of a Member of Congress in connection with the making of any Federal grant; the entering into of any cooperative agreement; and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement.

III. Adoption and Review

A. The University Corporation’s Board of Directors has adopted this Lobbying and Use of Appropriated Funds Policy, dated June 2004. The University Corporation’s Board of Directors has adopted this Lobbying and Use of Appropriated Funds Policy-B, dated June 2010.

B. This policy shall be evaluated ten years from its adoption date to determine its effectiveness and appropriateness. The policy may be evaluated before that time as necessary to reflect substantial organizational, financial, or physical change(s) at the University Corporation or any change required by law or by other governing policy.

Any proposed amendments or variations of this policy would require a majority approval by the Corporation Board of Directors.