



UNIVERSITY CORPORATION
AT MONTEREY BAY

100 Campus Center Seaside, CA 93955-8001 831/582-3500

MEMORANDUM

TO: Corporation Board of Directors
CC: Larry Samuels, Corporation Executive Director
FROM: Nancy S. Ayala
DATE: September 16, 2021

SUBJECT: Agenda and Read-Ahead Materials

Enclosed are the agenda and read-ahead materials for the Corporation Board of Directors meeting on Thursday, September 23, 2021. The virtual meeting will begin at 8:30 a.m. via Zoom. Zoom instructions have been emailed.

If you have any questions or concerns before the Board meeting, please feel free to contact me at nayala@csumb.edu.



UNIVERSITY CORPORATION
AT MONTEREY BAY

100 Campus Center Seaside, CA 93955-9001 831/582-3500

Board of Directors Meeting
Thursday, September 23, 2021 8:30 a.m. – 10:30 a.m.
Virtual Meeting via Zoom

AGENDA

- I. Call to Order** E. Ochoa
- II. Approval of Minutes**
2 min. Approval of July 6, 2021, meeting minutes E. Ochoa
- III. Public Comment**
- IV. Business**
- 10 min. A. Corporation Loan to OSU S. Lee
Attachment IV-A
- 10 min. B. College of Business Faculty Funding S. Lee
Attachment IV-B
- 10 min. C. Post-Retirement Housing Policy Amendment S. Lee
Attachment IV-C
- V. Reports**
- 15 min. A. Board Fiduciary Responsibility S. Lee
- 15 min. B. Auxiliary Audit Committee Report D. Martin
2020/21 Audited Financial Statements S. Baggett
Attachment V-B
- 10 min. C. 4th Quarter 20/21 Financial Report S. Baggett
Attachment V-C
- 10 min. D. External Funding Update – Sponsored Programs C. Lopez
Attachment V-D
- 10 min. E. Corporate and Foundation Relations – University Advancement C. Avila
- 20 min. F. Executive Director’s Report L. Samuels
- VI. Closed Session**
In accordance with Education Code § 89923
- VII. Announcements** E. Ochoa
The next Board meeting is on December 9, 2021.
- VIII. Adjournment** E. Ochoa

Note: In accordance with Education Code §89921 and Corporation Bylaws Article VI §5, this agenda provides notice of the business to be transacted (i.e., topics for Board discussion). The italicized notations above are for guidance purposes only and the Board may take action on any item listed on the agenda, whether action is specifically prescribed.



University Corporation at Monterey Bay Board of Directors Meeting

Tuesday, July 6, 2021
8:31 a.m. – 9:56 a.m.

Virtual Meeting via Zoom

Draft, Minutes

| | |
|--|--|
| <p style="text-align: center;"><u>Board Members Present:</u></p> <p>Eduardo Ochoa, President Barbara Zappas, Director David Ledesma, Director</p> | <p style="text-align: center;"><u>Board Members Absent:</u></p> <p>Robert Taylor, Esq., Director Mary Jo Zenk, Director</p> |
| <p style="text-align: center;"><u>Presenters:</u></p> <p>Starr Lee, Associate Executive Director Sherry Baggett, Contoller Debbie Martin, Audit Committee Chair Cindy Lopez, Director of Sponsored Programs Larry Samuels, Corporation Executive Director</p> | <p style="text-align: center;"><u>Staff Present:</u></p> <p>Nancy S. Ayala, Gov. & Compl. Mgr.</p> |

Annual Meeting

- I. **Call to Order:** A quorum being established, President Eduardo Ochoa called the meeting to order at 8:31 a.m.

- II. **Governance:**
 - A. Appointment of Board Directors: President Ochoa announced the 2021/22 director appointments.
 - B. Election of Board Officers: The proposed Officers for 2021/22 is President Ochoa as President of the Board and Secretary/Treasurer Katherine Kantardjief by Director Barbara Zappas and seconded to adopt the slate of officers as presented. With no further discussion, the motion carried.
 - C. Appointment of Standing Committee Members: Moved by Director Larry Samuels and seconded to appoint the Standing Committee members as presented. With no further discussion, the motion carried.

- III. **Approval of Minutes:** Moved by Director Zappas and seconded to approve the March 25, 2021 meeting minutes as presented, with Director Samuels abstaining the motion carried.

- IV. **Public Comment:** There was no public comment.

- V. **Business:**
 - A. FY 2021/22 Budget Request: Contoller Sherry Baggett and Associate Executive Director Starr Lee presented the 21/22 budget request, including capital projects and capital outlay. The 2021/22 Budgeted Operating Revenues of \$60.8 million are projected to be \$29.2 million higher than the 2020/21 Budget due to: Maintaining the sponsored programs revenues at the current actual level versus the lower level that was in the prior year budget; and Increase in dining, bookstore, and student housing revenues as a result of the campus repopulation in Fall 2021. Reserves will be funded with the Net Surplus remaining each year, as needed, in the appropriate reserve categories (Working Capital/Current Operations, Capital Replacement, Future Operations, Audit Disallowance, and For Sale Housing). It is expected that there will be continuing impacts in 2021/22 on the Corporation operations due to necessary changes by Corporation and University to implement precautions and address impacts related to the pandemic. Moved by Director Samuels and seconded to approve the 2021/22 budget request, including as presented. With no further discussion, the motion carried.

- B. Bylaws Amendment: Ms. Lee presented the proposed bylaws amendment, which adds the Foundation Chief Executive Officer as an ex-officio non-voting director of the Corporation Board. The Foundation Board bylaws will also be amended to add the Corporation Executive Director as an ex officio non-voting director of the Foundation Board at the September board meeting. President Ochoa made this recommendation to facilitate coordination and alignment with the university's mission. Moved by Director Samuels and seconded to approve the bylaws amendment as presented, the motion carried.

VI. Reports

- A. Auxiliary Audit Committee Report: Audit Committee Chair Deborah Martin reported on the Committee's activities. The Committee met on June 14 to review the engagement letters for the Corporation, Foundation, OSU, and KAZU. The Committee will meet again in September to review the draft audit reports due to the Chancellor's Office on September 17. The Committee decided to renew the agreement with auditing firm Glenn Burdette for three years and two options to extend. As part of the renewal, the Committee requested a change in principal.
- B. 3rd Quarter 20/21 Financial Report: Ms. Baggett presented the 3rd Quarter Financial Report. Total Net Position decreased \$8.8M through the third quarter due to the core business operating results, which have suffered from the COVID-19 global pandemic, which sent the students home in mid-March 2020. Not having students in the campus housing buildings means a loss of housing revenues and the loss of associated dining and other commercial services revenues. Grants and contracts revenues are at the same volume through the third quarters of both years, at \$11.3M. The revenues are recorded as the expenditures occur. Interest income provided \$825k of investment income, and returns were further augmented by market value Unrealized gains of \$4.8M. Total Net Position of \$65.3M is derived from Total Assets of \$190.7M and Deferred outflows of resources of \$1.1M, minus Total Liabilities of \$126.6M.
- C. Corporate and Foundation Relations – University Advancement: Corporate and Foundation Relations Officer Clarisa Avila had to exit the meeting. The report was emailed to the Board.
- D. External Funding Update – Sponsored Programs: Director of Sponsored Programs Cindy Lopez presented the 3rd quarter report. Through March 31, 2021, \$16M in awards were received, exceeding this year's goal of \$13.2M. The majority of funding is federal 91%, state 7%, 1% local, and 1% other. Total proposals submitted during this period are 30, 74 total this year.
- E. Investment Committee Report: Investment Committee Chair Robert Taylor was unexpectedly delayed and did not make the meeting. A memo was emailed to the Board in place of his report.
- F. Executive Director's Report: Executive Director Larry Samuels reported on the Corporation's activities. Mr. Samuels reflected on the promising numbers from the budget request, noting that revenue is dependant on high enough levels of enrollment which were budgeted at approximately an 80% level. The Ryan Ranch facility is now open. The Corporation's repopulation plan roughly mirrors the University. It is expected that essential personnel will be at Ryan Ranch as needed. Processes to facilities-related requests have been changed. The Corporation will be billed for actual services provided. Mr. Samuels noted that the Public-Private Partnerships and request for proposals, Freeman Stadium, and the 2nd Avenue development project would entail some cooperative funding from the Corporation to the

University. It was also reported that the Otter Student Union building is now open and Starbucks, the Sea Store, and dining are also open starting today.

G. President's remarks: President Ochoa added President's Remarks to the agenda, noting that coming back to campus after a year and a half highlights a new phase of the life of the University and Corporation. The hope is to bring the two entities closer together and foster and develop the culture of community and harmony to support the University.

VII. Closed Session: The board did not enter into closed session.

VIII. Open Communication/Announcements: There were no comments.

IX. Adjournment: With no further business to conduct and no objection, the meeting was adjourned at 9:56 a.m.

Katherine Kantardjieff, Secretary/Treasurer

Date



TO: Board of Directors **DATE:** September 15, 2021

FROM: Starr Lee **REF:** Board Meeting #1
Associate Executive Director September 23, 2021

SUBJECT: OSU Building Loan

RECOMMENDATION

AUTHORIZE THE PAYMENT BY CORPORATION TO UNIVERSITY OF UP TO \$9.4 MILLION TO REPAY THE 2020 LOAN BY UNIVERSITY FOR THE OTTER STUDENT UNION BUILDING CONSTRUCTION; AND

AUTHORIZE THE EXECUTIVE DIRECTOR OR DESIGNEE TO FINALIZE THE REPAYMENT TERMS OF THIS LOAN TO THE OTTER STUDENT UNION AUXILIARY.

BACKGROUND

On June 20, 2018, the University and Otter Student Union auxiliary requested that the Corporation Board authorize a loan of \$7 million to the Otter Student Union auxiliary, for completion of the Otter Student Union building. This was approved. On May 21, 2020, the University and Otter Student Union requested that the loan be increased to \$8.8 million, which was then approved by the Board. In summer 2020, due to the financial impacts of Covid on the operations of Corporation, the University opted to instead fund the \$8.8 million for the Otter Student Union. The University actually loaned the Otter Student Union \$8.9 million.

Changes in the CSU's policies in 2020 precluding no-interest loans from university to auxiliaries, at this time the \$8.9 million has now accrued interest of about \$420,000, and continues to accrue interest until paid. To prevent further accrual of interest against this loan, Otter Student Union and the University request that the Corporation repay this now estimated \$9.4 million to the University and for Corporation to hold the loan with Otter Student Union. The terms of repayment by Otter Student Union to Corporation are still to be determined. As Otter Student Union is funded by student fees per enrolled student, the University reaching and exceeding the original projected enrollment numbers will be key to Otter Student Union's financial well-being.



TO: Board of Directors

DATE: September 15, 2021

FROM: Starr Lee
Associate Executive Director

REF: Board Meeting #1
September 23, 2021

SUBJECT: College of Business Faculty Funding

RECOMMENDATION

AUTHORIZE THE REIMBURSEMENT BY CORPORATION TO UNIVERSITY OF UP TO \$200,000 A YEAR FOR THREE YEARS TO FUND ONE ASSOCIATE PROFESSOR SALARY AND BENEFITS TO SUPPORT THE COLLEGE OF BUSINESS.

BACKGROUND

The University request that the Corporation fund one associate professor for 3 years for the College of Business. The funding requested will be for three (3) academic years of up to \$200,000 a year for a maximum of \$600,000, starting from the date of hire for the specific position. The University needs immediate financial support in increasing the faculty of the College of Business to support the student instructional needs.

TO: Board of Directors **DATE:** September 15, 2021
FROM: Starr Lee **REF:** Board Meeting #1
Associate Executive Director September 23, 2021
**SUBJECT: Post-Retirement Housing policy
amendment**

RECOMMENDATION

MODIFY THE POLICY TO ENABLE THE QUALIFIED RETIREES TO STAY IN SCHOONOVER PARK I FOR UP TO 3 YEARS AFTER RETIREMENT

BACKGROUND

In 2015 the Board approved the Post-Retirement Housing Policy, enabling employees currently living in Schoonover Park who are either CSUMB or auxiliary employees and have at least 20 years of consecutive service to CSUMB and/or the auxiliary to reside in Schoonover Park I after retirement. This amendment would add that the qualified retiree can remain in this faculty-staff housing for up to 3 years post-retirement. The three-year period matches the period of time that an employee owning a faculty-staff CEHI home can continue to own and stay in the CEHI home after retirement.

There are four persons who have already retired and are still living in faculty-staff housing. This policy amendment will allow them to remain in Schoonover Park I for three years from now, through September 30, 2034, as retirees.



UNIVERSITY CORPORATION AT MONTEREY BAY BOARD POLICY

Policy 401

Post-Retirement Employee Housing Policy

Section: 400- Operations

Issue Date: ~~9/23/21~~11/20/19

Last Reviewed: ~~9/23/21~~11/20/19

Previous Versions: 3/26/15

Previous #: 411-001

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1) PURPOSE

After retiring from California State University, Monterey Bay (“CSUMB”) and/or its auxiliary organizations, an employee who is already in faculty/staff housing and was continuously employed by CSUMB and/or its auxiliary organizations for at least 20 years may remain in faculty/staff housing subject to the policy below.

2) POLICY

- a) The 20 years may be a combination of time worked at CSUMB and its auxiliary organizations, but it must be continuous.
- b) An employee renting in a different part of Corporation housing prior to retirement would have to move to a 2-bedroom unit in Schoonover Park I after retirement within 3 months of retirement, or as soon as a unit is available, whichever is later.~~if there is space available.~~
- c) Post-retirement rental will be subject to market rates and all applicable rules and regulations that Corporation housing has in place during the rental period.
- d) The retiree may remain in Schoonover Park for up to 3 years after their retirement date.
- e)c) Qualified retirees who retired prior to September 23, 2021, will be eligible to remain in the faculty-staff rental housing through September 30, 2024. limit.

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3) RELATED DOCUMENTS

- a) None

UNIVERSITY CORPORATION AT MONTEREY BAY
BOARD POLICY

Policy 401

**Post-Retirement Employee
Housing Policy**

Section: 400- Operations

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- d) The retiree may remain in Schoonover Park for up to 3 years after their retirement date.
- e) Qualified retirees who retired prior to September 23, 2021, will be eligible to remain in the faculty-staff rental housing through September 30, 2024. limit.

3) RELATED DOCUMENTS

- a) None

University Corporation at Monterey Bay Fiscal Year ending June 30, 2021

Financial Highlights

- *Total Net Position* decreased \$5.4M during the fiscal year due to a net operating loss of \$8.4 million, University support of \$3.8 million, and capital related debt interest of \$3.8 million. These costs were offset by \$3.7 million in gifts and donations, and investment appreciation of \$6.8 million.
- *Grants and contracts revenues* held steady during the fiscal year at roughly the same volume as last year, approximately \$16.7M. The revenues are recorded as the expenditures occur.
- *Operating revenues* include approximately \$8.3M in governmental relief funding to help offset the lost revenues from student housing and meal plans.

Statement of Net Position Discussion & Analysis

- *Cash and cash equivalents* increased 91.9% while *Long-term investments* decreased 16.2%. Management liquidated investments to meet the operating needs based on the significant budget deficit projection for the fiscal year due to Covid-19.
- *Notes receivable, current* and also the *net of current portion* decreased 100% for a combined total of \$3.3M due to repayment of these notes by the campus for a parking lot and IT equipment.
- *Real estate held for sale* increased \$760k bringing the current inventory of homes in East Campus up to seven.
- *Notes Payable, noncurrent* increased 13.9% mostly due to the addition of Bond Anticipation Notes (funding provided by the Chancellor's Office) for much needed renovations and improvements to the housing buildings.
- The *Net investment in capital assets* Net Position amount decreased almost \$10M due to the additional debt and decrease in capital assets from depreciation expense.
- *Total Net Position* of \$68.7M is derived from *Total Assets* of \$200M and *Deferred outflows of resources* of \$1.3M, minus *Total Liabilities* of \$132.5M. The *Unrestricted Net Position* portion of \$55.6M represents the portion that can be used to finance day-to-day operations. The *Restricted Net Position* represents the portion subject to externally imposed restrictions, such as donors and sponsors. The *Net investment in capital assets* portion represents capital assets, net of accumulated depreciation and amortization, and less the outstanding principal balances of related debt. The Corporation uses these capital assets in its day-to-day operations.

Statement of Revenues, Expenses and Changes in Net Position Discussion & Analysis

- *Housing Revenues* and *Sales and services of auxiliary enterprises*, both decreased significantly (34% and 66% respectively) during the fiscal year due, as mentioned, to the minimal number of students allowed to be on campus.
- *Scholarships* decreased \$427k primarily due to a significant federal grant that ended in December 2020.

- *Depreciation and amortization* expense was readjusted during the fiscal year to reflect longer useful lives for some housing assets and therefore explains the significant decreases seen compared to both prior year and budget.
- *Salaries and benefits expense* fell below budget by \$625k due to vacant positions remaining unfilled.
- *Repairs and Maintenance* is significantly higher than both prior year and budget due to State Fire Marshall mandated repairs at the Promontory for the mechanical room and other interior areas as well as renovations and improvements in all of the housing buildings related to the funding provided by the Chancellor's Office.
- *University support, capital* includes a \$3M contribution to the University for construction costs related to the dining and bookstore spaces in the Otter Student Union.

University Corporation at Monterey Bay
Statement of Net Position

| | 2021 | 2020 |
|---|---------------|--------------|
| Assets | | |
| <u>Current Assets:</u> | | |
| Cash and cash equivalents | \$ 12,408,463 | \$ 6,467,431 |
| Short-term investments | 161,119 | 260,541 |
| Receivables: | | |
| Grants and contracts, net | 4,261,106 | 4,077,368 |
| Property manager | 1,955,715 | 2,547,078 |
| University | 8,178,761 | 87,934 |
| Foundation | 54,859 | 128,266 |
| Otter Student Union | 163,237 | 67,733 |
| Housing, net | 422,419 | 404,108 |
| Other, net | 2,698,118 | 2,757,026 |
| Pledges receivable, net | 149,331 | 572,751 |
| Notes receivable, current | - | 958,693 |
| Prepaid expenses and other assets | 214,078 | 269,696 |
| Real estate held for sale | 2,210,913 | 1,448,707 |
| Total Current Assets | 32,878,119 | 20,047,332 |
| <u>Noncurrent Assets:</u> | | |
| Accounts receivable, net | 39,021 | 39,496 |
| Pledges receivable, net | 15,826 | 87,287 |
| Notes receivable, net of current portion | - | 2,347,859 |
| Certificates of deposit | 261,865 | 166,250 |
| Long-term investments | 38,396,483 | 45,805,126 |
| Capital assets, net | 128,286,529 | 129,594,608 |
| Other noncurrent assets | 40,000 | 40,000 |
| Total Noncurrent Assets | 167,039,724 | 178,080,626 |
| Total Assets | 199,917,843 | 198,127,958 |
| <u>Deferred Outflows of Resources:</u> | | |
| Unamortized loss on refundings | 1,308,553 | 1,238,176 |
| Total Deferred Outflows of Resources | 1,308,553 | 1,238,176 |
| Liabilities | | |
| <u>Current Liabilities:</u> | | |
| Accounts payable | \$ 2,608,144 | \$ 3,340,003 |
| Payable to University | 1,719,571 | 1,067,536 |
| Payable to Foundation | 11,305 | 11,992 |
| Payable to CSU | 468,967 | 476,133 |
| Accrued salaries and benefits payable | 1,335,078 | 1,228,118 |
| Unearned revenue: | | |

University Corporation at Monterey Bay
Statement of Net Position

| | | |
|---|----------------------|----------------------|
| Housing | 131,367 | 117,011 |
| Other | 2,098,888 | 2,143,640 |
| Capital lease obligations, current portion | 2,595,000 | 2,460,000 |
| Note payable, current portion | 1,090,000 | 1,040,000 |
| Other liabilities | 60,618 | 59,749 |
| Total Current Liabilities | <u>12,118,938</u> | <u>11,944,182</u> |
| <u>Noncurrent Liabilities:</u> | | |
| Capital lease obligations, net of current portion | 44,624,543 | 46,753,543 |
| Notes payable, net of current portion | 75,449,072 | 66,257,929 |
| Depository accounts | 347,690 | 329,507 |
| Total Noncurrent Liabilities | <u>120,421,305</u> | <u>113,340,979</u> |
| Total Liabilities | <u>132,540,243</u> | <u>125,285,161</u> |
| Net Position | | |
| <u>Net Position:</u> | | |
| Net investment in capital assets | 5,836,468 | 15,486,312 |
| Restricted for: | | |
| Expendable: | | |
| Pledges receivable | 165,157 | 660,038 |
| Net gifts and donations | 6,819,788 | 5,440,733 |
| Net sponsored programs | 43,178 | 57,653 |
| Other | 252,524 | 201,073 |
| Unrestricted | <u>55,569,038</u> | <u>52,235,164</u> |
| Total Net Position | <u>\$ 68,686,153</u> | <u>\$ 74,080,973</u> |

University Corporation at Monterey Bay
Operating Statement of Revenues, Expenses and Changes in Net Position

| | 2020/21 Budget | 2020/21 Actuals | % Annual Budget Used | Variance |
|---|------------------------|-----------------------|-------------------------|-----------------------|
| Operating Revenues: | | | | |
| Housing | \$ 15,298,716 | \$ 22,847,950 | 149.3% | \$ (7,549,234) |
| Homeowner Sales | 800,000 | 842,000 | 105.3% | (42,000) |
| Sales and services of auxiliary enterprises | 2,120,553 | 2,334,240 | 110.1% | (213,687) |
| Other operating revenues | 230,430 | 1,177,672 | 511.1% | (947,242) |
| Total Operating Revenues | 18,449,699 | 27,201,862 | 147.4% | (8,752,163) |
| Operating Expenses: | | | | |
| Salaries and benefits | 9,348,107 | 8,723,594 | 93.3% | 624,513 |
| Utilities | 3,362,679 | 4,147,938 | 123.4% | (785,259) |
| Travel | 48,472 | 3,982 | 8.2% | 44,490 |
| Contract services | 5,297,507 | 4,465,723 | 84.3% | 831,784 |
| Equipment | 570,018 | 369,712 | 64.9% | 200,306 |
| Supplies and services | 774,765 | 831,985 | 107.4% | (57,220) |
| Professional services | 199,236 | 375,855 | 188.6% | (176,619) |
| Repairs and maintenance | 1,684,013 | 4,306,817 | 255.7% | (2,622,804) |
| Rental expense | 924,870 | 858,625 | 92.8% | 66,245 |
| Insurance | 785,661 | 757,097 | 96.4% | 28,564 |
| Depreciation and amortization | 10,549,033 | 8,181,134 | 77.6% | 2,367,899 |
| Cost of homeowner sales | 760,000 | 763,821 | 100.5% | (3,821) |
| Other operating expenses | 1,055,583 | 731,209 | 69.3% | 324,374 |
| Total Operating Expenses | 35,359,944 | 34,517,492 | 97.6% | 842,452 |
| Operating Income | \$ (16,910,245) | \$ (7,315,630) | | \$ (7,909,711) |

University Corporation at Monterey Bay
Statement of Revenues, Expenses and Changes in Net Position

| | <u>2021</u> | <u>2020</u> |
|--|--------------------|--------------------|
| Operating Revenues: | | |
| Housing | \$ 23,690,039 | \$ 35,876,008 |
| Grants and contracts: | | |
| Federal | 12,944,796 | 13,225,471 |
| State | 2,152,654 | 1,363,033 |
| Local | 73,469 | 579,391 |
| Nongovernmental | 1,432,320 | 1,667,352 |
| Sales and services of auxiliary enterprises, net | 622,445 | 1,864,618 |
| Other operating revenues | 1,415,257 | 1,033,777 |
| Total Operating Revenues | <u>42,330,980</u> | <u>55,609,650</u> |
| Operating Expenses: | | |
| Salaries and benefits | 18,395,545 | 20,205,977 |
| Contract services | 5,334,821 | 6,736,108 |
| Subawards | 1,300,201 | 1,387,998 |
| Utilities | 4,176,158 | 4,702,390 |
| Supplies and services | 1,550,688 | 1,778,449 |
| Travel | 56,012 | 532,416 |
| Scholarships | 1,698,553 | 2,126,008 |
| Professional services | 179,152 | 211,825 |
| Concessions | - | 221,620 |
| Repairs and maintenance | 4,511,877 | 3,019,726 |
| Rental expense | 898,963 | 955,838 |
| Equipment | 832,845 | 1,502,626 |
| Insurance | 758,556 | 682,012 |
| Depreciation and amortization | 8,194,168 | 9,581,530 |
| Cost of real estate sold | 763,821 | 1,454,445 |
| Other operating costs | 2,069,531 | 2,185,347 |
| Total Operating Expenses | <u>50,720,891</u> | <u>57,284,315</u> |
| Operating Income/(Loss) | <u>(8,389,911)</u> | <u>(1,674,665)</u> |
| | | |
| | <u>2021</u> | <u>2020</u> |
| Nonoperating Revenues (Expenses): | | |
| Gifts and donations, noncapital | \$ 3,683,368 | \$ 5,439,391 |
| Investment income | 962,154 | 1,477,333 |
| Realized and unrealized gains on investments | 5,866,967 | 1,716,380 |
| University support, noncapital | (596,800) | (1,000,000) |
| Contributions to/from Foundation | 75,576 | (989,856) |
| Interest on capital-related debt | (3,781,539) | (4,334,384) |
| Gain (loss) on sale of assets | (57,766) | - |

University Corporation at Monterey Bay
Statement of Revenues, Expenses and Changes in Net Position

| | | |
|---|----------------------|----------------------|
| Other nonoperating revenues, net | 7,458 | 26,238 |
| Total Nonoperating Revenues (Expenses) | <u>6,159,418</u> | <u>2,335,102</u> |
| Income (Loss) before other changes to Net Position | <u>(2,230,493)</u> | <u>660,437</u> |
| Other Changes in Net Position: | | |
| Other Changes in Net Position: | | |
| University support, capital | (3,164,327) | (291,939) |
| Capital grants and gifts | - | 16,000 |
| Total Other Changes in Net Position | <u>(3,164,327)</u> | <u>(275,939)</u> |
| Increase (Decrease) in Net Position | (5,394,820) | 384,498 |
| Net Position: | | |
| Net position - beginning of the year | <u>74,080,973</u> | <u>73,696,475</u> |
| Net position - end of year | <u>\$ 68,686,153</u> | <u>\$ 74,080,973</u> |

Other Operating Revenues - detail of significant items

| | | |
|---|----|-----------|
| PEPSI Annual Consideration | \$ | 61,934 |
| OLLI Workshop Receipts | | 129,665 |
| Prior year (19-20) revenue (debt forgiveness) for housing and meal plan student charges | | 1,060,097 |
| iiED Workshop Receipts | | 10,999 |
| Otter Student Union (OSU) administrative fee for 20-21 | | 59,669 |

Other Operating Expenses - detail of significant items

| | | |
|--|----|---------|
| Participant support (TRIO and other grant programs) | \$ | 827,830 |
| Bank fees for wires and credit card processing | | 215,891 |
| Hospitality and community outreach (including advertising) | | 181,207 |
| Registration fees for professional development | | 112,492 |
| Administrative expenses (Property taxes, collections, payroll processing fees, etc.) | | 450,619 |
| Speaker fees for OLLI programs | | 75,525 |

**UNIVERSITY CORPORATION at MONTEREY BAY
2020/2021 REPORT OF PUBLIC EXTERNAL FUNDING
SPONSORED PROGRAMS**

**SUMMARY REPORT
7/1/20-6/30/21**

I. PUBLIC AWARDS RECEIVED 2020/2021 (4th QUARTER)

(Awards Goal Compared to Actual Awards)

| | 20/21 GOAL | | FY 19/20 COMPARISON | |
|--|--------------------------|--------------------------------|--------------------------|--------------------------------|
| | AMOUNT THRU 6/30/21 | NUMBER OF AWARDS | AMOUNT THRU 6/30/20 | NUMBER OF AWARDS |
| NEW PUBLIC FUNDS | | | | |
| DIRECT | \$12,000,000 | 73 | \$ 14,287,154 | 73 |
| INDIRECT | <u>\$1,200,000</u> | (plus 29 supplements) | <u>\$1,304,154</u> | (plus 22 supplements) |
| TOTAL PUBLIC | \$13,200,000 | \$ 21,326,039 | \$15,591,308 | |
| II. ONGOING PUBLIC AWARDS 2020/2021 | \$46,144,900 | 111 | \$42,755,726 | 96 |
| Total Awards (New + Ongoing) | \$67,470,939 | 184 | \$58,347,034 | 169 |
| III. PENDING PUBLIC PROPOSALS | | | | |
| | AS OF 6/30/21 | NUMBER OF PROPOSALS | AS OF 6/30/20 | NUMBER OF PROPOSALS |
| | \$45,976,005 | 90 | \$22,867,135 | 67 |

**University Corporation at Monterey Bay
External Funding Report - Sponsored Programs Office
FY21 Awards - 4th Quarter 7/1/20-6/30/21**

| Type | Sponsor | Award Title | PI | Department | Division/College | Award Direct | Award Indir. | Award Total | Ongoing Awards | New Awards | New Awards | Total New Awards | Award Term | |
|----------------------|---------|--|---|------------------------------|------------------|----------------------|---------------------|----------------------|----------------------|----------------------|---------------------|----------------------|--------------|-------------------|
| | | | | | | | | | FY20 | Direct FY21 | Indirect FY21 | FY21 | | |
| 62 | Federal | Academic St U.S. Department of Education | Project POPPY: Preparing Observational Practitioners throug | Erin M Ramirez, Megan Sulsbe | Teacher Educat | AA/Education | \$ 1,727,049 | \$ 16,341 | \$ 1,743,390 | \$811,719 | \$ 920,815 | \$ 10,856 | \$ 931,671 | 10/1/2019-9/30/21 |
| 63 | Federal | R&D Florida A&M University - NOAA | Center for Coastal & Marine Ecosystems | Corey Garza | Marine Scienc | AA/Science | \$ 192,001 | \$ 14,113 | \$ 206,114 | \$206,114 | | | | 9/1/19-8/31/2021 |
| 64 | Federal | Academic St Cabrillo College - US Dept. Ed Title V | Cabrillo/CSUMB Partnership to Strengthen Transfer Pathwa | Sharon Anderson | Advising, Car | AA/UCGS | \$ 123,450 | \$ - | \$ 123,450 | \$123,450 | | | | 10/1/19-9/30/20 |
| 65 | Federal | Academic St Cabrillo College - US Dept. Ed Title V | Cabrillo/CSUMB Partnership to Strengthen Transfer Pathwa | Sharon Anderson | Advising, Car | AA/UCGS | \$ 130,976 | \$ - | \$ 130,976 | \$0 | \$ 130,976 | \$ - | \$ 130,976 | 10/1/20-9/30/21 |
| 66 | Federal | R&D CA Dept. Food & Ag - US Dept. Ag | Improving Water Use Efficiency of Cool Season Vegetable C | Lee Johnson | AES | AA/Science | \$ 78,047 | \$ 5,964 | \$ 84,011 | \$84,011 | | | | 11/1/19-4/30/22 |
| 67 | Federal | Public Servi County Monterey Health Dept. - US Dept | Community Health Engagement-Whole Person Care | Kai Martinez | Social Work | AA/HSHS | \$ 363,952 | \$ 16,048 | \$ 380,000 | \$380,000 | | | | 12/1/19-12/31/20 |
| 68 | Federal | Academic St Cal Poly Pomona Fdn/ARI-US Dept. Ag | No More Silos: Multi-Disciplinary and Data Intensive Traini | Sam Robinson | HCOM | AA/AHSS | \$ 62,224 | \$ 26,757 | \$ 88,981 | \$88,981 | | | | 9/1/19-8/31/23 |
| 69 | Federal | R&D-Acadel Adler Planetarium - National Science Fdr | Engaging non majors in authentic research through citizen sci | Alison Haupt | Marine Scienc | AA/Science | \$ 21,286 | \$ 9,153 | \$ 30,439 | \$30,439 | | | | 3/1/20-8/31/2021 |
| 70 | Federal | R&D Tetra Tech, Inc - US EPA | U.S. Phosphorus Model Development | John Olson | AES | AA/Science | \$ 13,986 | \$ 6,014 | \$ 20,000 | \$20,000 | | | | 4/15/20-3/31/21 |
| 71 | Federal | Public Servi CSU Dominguez Hills Fdn-National His | Japanese American Digitization Project | Frank Wojcik | Library | AA/Library | \$ 4,250 | \$ - | \$ 4,250 | \$4,250 | | | | 9/1/19-3/31/2021 |
| 72 | Federal | Student Sch UC Regents/Berkeley-US Dept. HHS | CalSWEC 20-22 Title IV-E Child Welfare Stipend Program | Maria Gurrola | Social Work | AA/HSHS | \$ 305,671 | \$ 20,355 | \$ 326,026 | \$0 | \$ 305,671 | \$ 20,355 | \$ 326,026 | 7/1/20-6/30/2021 |
| 73 | Federal | R&D NOAA | Image-based Approaches to Surveying Corals at Mid-Depth | James Lindholm | Marine Scienc | AA/Science | \$ 27,553 | \$ 11,848 | \$ 39,401 | \$0 | \$ 27,553 | \$ 11,848 | \$ 39,401 | 8/1/20-9/30/22 |
| 74 | Federal | Public Servi Regents of UC/UCOP-US Dept. Ed | Monterey Bay World Language Program | Yoshiko Saito-Abbott | WLC | AA/AHSS | \$ 27,778 | \$ 2,222 | \$ 30,000 | \$0 | \$ 27,778 | \$ 2,222 | \$ 30,000 | 7/1/20-6/30/21 |
| 75 | Federal | R&D-Public National Institutes of Health | Science teaching through the arts: Bringing state-of-the-art en | Dan Fernandez, Enid Ryce | AES/CAT | AA/Science & Al | \$ 502,222 | \$ 33,139 | \$ 535,361 | \$0 | \$ 502,222 | \$ 33,139 | \$ 535,361 | 7/16/20-5/31/22 |
| 76 | Federal | Public Servi Napa County Office of Ed - Corporation | AmeriCorps: Building Volunteer Capacity VIP 20-21 | Laura L Lienk | Service Learni | AA/UCGS | \$ 89,835 | \$ - | \$ 89,835 | \$0 | \$ 89,835 | \$ - | \$ 89,835 | 9/1/20-8/31/21 |
| 77 | Federal | R&D-Acadel National Science Foundation | Collaborative Research: Squirreling Around for Science: | Jennifer Duggan | AES | AA/Science | \$ 104,521 | \$ 44,942 | \$ 149,463 | \$0 | \$ 104,521 | \$ 44,942 | \$ 149,464 | 7/16/20-5/31/21 |
| 78 | Federal | Academic St U.S. Department of Education | Student Support Services Program Yr 1 | Clementina Macias | College Supp | SA/Enrollment M | \$ 274,375 | \$ 20,350 | \$ 294,725 | \$0 | \$ 274,375 | \$ 20,350 | \$ 294,725 | 9/1/20-8/31/21 |
| 79 | Federal | Academic St U.S. Department of Education | Student Support Services Program-STEM Yr 1 | Clementina Macias | College Supp | SA/Enrollment M | \$ 243,230 | \$ 18,658 | \$ 261,888 | \$0 | \$ 243,230 | \$ 18,658 | \$ 261,888 | 9/1/20-8/31/21 |
| 80 | Federal | R&D-Studer National Science Foundation | Geo-Bridge Program | Corey Garza | Marine Scienc | AA/Science | \$ 365,726 | \$ 25,157 | \$ 390,883 | \$0 | \$ 365,726 | \$ 25,157 | \$ 390,883 | 9/1/20-8/31/23 |
| 81 | Federal | R&D-Public National Science Foundation | REU Renewal from the Intertidal to the Deep Ocean: | Corey Garza | Marine Scienc | AA/Science | \$ 252,455 | \$ 21,480 | \$ 273,935 | \$0 | \$ 252,455 | \$ 21,480 | \$ 273,935 | 7/15/20-6/30/24 |
| 82 | Federal | R&D National Science Foundation | MASDER: Motivational Attitudes in Statistics and Data | Alana Unfried | Math & Statist | AA/Science | \$ 463,820 | \$ 136,178 | \$ 599,998 | \$0 | \$ 463,820 | \$ 136,178 | \$ 599,998 | 10/1/20-9/30/23 |
| 83 | Federal | Academic St U.S. Department of Education | Title V-METAS Mentorship & Equity in Transitions to | Leslie Williams/Dan Shapiro | Dean of Stud | SA & AA | \$ 600,000 | \$ - | \$ 600,000 | \$0 | \$ 600,000 | \$ - | \$ 600,000 | 10/1/20-9/31/21 |
| 84 | Federal | R&D-Studer National Science Foundation | Geo Futures (SACNAS) | Corey Garza | Marine Scienc | AA/Science | \$ 77,204 | \$ 22,405 | \$ 99,609 | \$0 | \$ 77,204 | \$ 22,405 | \$ 99,609 | 8/1/20-7/31/21 |
| 85 | Federal | Public Servi Coalition of Homeless Services Providen | CARES: CSUMB CARES Outreach | Maria Gurrola | Social Work | AA/HSHS | \$ 109,714 | \$ 21,943 | \$ 131,657 | \$0 | \$ 109,714 | \$ 21,943 | \$ 131,657 | 10/15/20-12/31/21 |
| 86 | Federal | R&D-Acadel UC Regents/Santa Cruz - NIH | Institutional Research & Academic Career Development | Apama Sreenivasan | Bio & Chem | AA/Science | \$ 36,124 | \$ 2,890 | \$ 39,014 | \$0 | \$ 36,124 | \$ 2,890 | \$ 39,014 | 8/14/20-7/31/21 |
| 87 | Federal | Public Servi Coalition of Homeless Services Providen | CARES: Project Roomkey | Maria Gurrola | Social Work | AA/HSHS | \$ 131,381 | \$ 26,275 | \$ 157,656 | \$0 | \$ 131,381 | \$ 26,275 | \$ 157,656 | 10/15/20-6/30/21 |
| 88 | Federal | R&D UC Regents/San Diego - NOAA | Effects of urchin reproduction, recruitment, and herbivory | Alison Haupt | Marine Scienc | AA/Science | \$ 254,830 | \$ 101,771 | \$ 356,601 | \$0 | \$ 254,830 | \$ 101,771 | \$ 356,601 | 10/8/20-9/30/22 |
| 89 | Federal | R&D UC Regents/Santa Barbara-National Scienc | CoPe RCN: New Technology to Inform Coastal Science | Corey Garza | Marine Scienc | AA/Science | \$ 2,913 | \$ 584 | \$ 3,497 | \$0 | \$ 2,913 | \$ 584 | \$ 3,497 | 8/1/20-7/31/21 |
| 90 | Federal | R&D Florida A&M University - NOAA | Center for Coastal & Marine Ecosystems Yr 5 | Corey Garza | Marine Scienc | AA/Science | \$ 272,962 | \$ 12,610 | \$ 285,572 | \$0 | \$ 272,962 | \$ 12,610 | \$ 285,572 | 9/1/20-8/31/21 |
| 91 | Federal | R&D CA Dept. Food & Ag - US Dept. Ag | Extension of CropManage Decision-Support System to | Lee Johnson/Forrest Melton | AES | AA/Science | \$ 371,320 | \$ 14,218 | \$ 385,538 | \$0 | \$ 371,320 | \$ 14,218 | \$ 385,538 | 11/1/20-4/30/23 |
| 92 | Federal | R&D Florida A&M University - NOAA | Ecological Significance of Living Shorelines in Selected Coa | Corey Garza | Marine Scienc | AA/Science | \$ 10,000 | \$ - | \$ 10,000 | \$0 | \$ 10,000 | \$ - | \$ 10,000 | 9/19/20-5/18/22 |
| 93 | Federal | Student Grat CA Sea Grant - NOAA | COAST Scholars in Training 20-21 | Krista Kamer | COAST | AA/UCGS | \$ 6,000 | \$ - | \$ 6,000 | \$0 | \$ 6,000 | \$ - | \$ 6,000 | 10/1/20-9/30/21 |
| 94 | Federal | R&D U.S. Geological Survey/US Dept. Interio | Testing USGS Debris Flow Probability Models | Doug Smith | AES | AA/Science | \$ 6,959 | \$ 2,992 | \$ 9,951 | \$0 | \$ 6,959 | \$ 2,992 | \$ 9,951 | 12/15/20-12/14/21 |
| 95 | Federal | Public Servi County of Monterey Health Dept - US D | Community Health Engagement-Whole Person Care | Maria Gurrola/Kai Martinez | Social Work | AA/HSHS | \$ 399,944 | \$ 19,635 | \$ 419,579 | \$0 | \$ 399,944 | \$ 19,635 | \$ 419,579 | 1/1/21-2/28/21 |
| 96 | Federal | R&D National Science Foundation | SG/RUI: The evolution of extreme phenotypic convergence | Susan Alter | Bio & Chem | AA/Science | \$ 35,613 | \$ 8,434 | \$ 44,047 | \$0 | \$ 35,613 | \$ 8,434 | \$ 44,047 | 10/1/20-7/31/21 |
| 97 | Federal | Public Servi US Dept of Education | Coastal GearUP Year 1 | Kim Barber | PreCollege Pr | SA/Enrollment M | \$ 1,210,074 | \$ 96,326 | \$ 1,306,400 | \$0 | \$ 1,210,074 | \$ 96,326 | \$ 1,306,400 | 9/30/20-9/29/27 |
| 98 | Federal | R&D-Studer National Science Foundation | Robert Noyce Collaborative Track 1:Rural Integrated STEM | Corin Slow/Dennis Kombe/Jo | Bio & Chem/ | AA/Science & Ec | \$ 626,310 | \$ 113,433 | \$ 739,743 | \$0 | \$ 626,310 | \$ 113,433 | \$ 739,743 | 3/1/21-2/28/24 |
| 99 | Federal | Public Servi City of Salinas - HUD | CARES: Emergency Solutions Grant | Maria Gurrola/Kai Martinez | Social Work | AA/HSHS | \$ 1,000,000 | \$ 200,000 | \$ 1,200,000 | \$0 | \$ 1,000,000 | \$ 200,000 | \$ 1,200,000 | 3/1/21-2/28/22 |
| 100 | Federal | R&D US Geological Society | Mapping intertidal biofilm community, quantity, and | Sherry L. Palacios | Marine Scienc | AA/Science | \$ 14,078 | \$ 6,053 | \$ 20,131 | \$0 | \$ 14,078 | \$ 6,053 | \$ 20,131 | 2/23/21-12/31/22 |
| 101 | Federal | Public Servi US Economic Development Agency | CARES ACT: Restart and Restore (Augmentation to | John B Barbeau, Shyam Kamatl | Business | AA/Business | \$ 524,343 | \$ 225,468 | \$ 749,811 | \$0 | \$ 524,343 | \$ 225,468 | \$ 749,811 | 4/8/21-3/31/23 |
| 102 | Federal | R&D Florida A&M University-NOAA/US Dep | Augmentation to Center for Coastal & Marine Ecosystems | Corey Garza | Marine Scienc | AA/Science | \$ 91,962 | \$ 3,486 | \$ 95,448 | \$0 | \$ 91,962 | \$ 3,486 | \$ 95,448 | 9/1/20-8/31/22 |
| 103 | Federal | Student Sch National Marine Sanctuary Fdn-NOAA | CSU COAST Summer Internships 2021-2026 | Krista Kamer | COAST | AA/UCGS | \$ 3,000 | \$ - | \$ 3,000 | \$0 | \$ 3,000 | \$ - | \$ 3,000 | 5/1/21-4/30/26 |
| 104 | Federal | Public Servi City of Salinas - HUD | Case Management Services-Rapid Rehousing | Maria Gurrola | Social Work | AA/HSHS | \$ 31,028 | \$ 6,206 | \$ 37,234 | \$0 | \$ 31,028 | \$ 6,206 | \$ 37,234 | 4/1/21-6/30/30 |
| TOTAL FEDERAL | | | | | | \$ 52,473,265 | \$ 6,820,260 | \$ 59,293,525 | \$ 40,323,421 | \$ 17,084,665 | \$ 1,885,440 | \$ 18,970,105 | | |

**University Corporation at Monterey Bay
External Funding Report - Sponsored Programs Office
FY21 Awards - 4th Quarter 7/1/20-6/30/21**

| Type | Sponsor | Award Title | PI | Department | Division/College | Award Direct | Award Indir. | Award Total | Ongoing Awards FY20 | New Awards Direct FY21 | New Awards Indirect FY21 | Total New Awards FY21 | Award Term |
|--------------------|---|---|----------------------------------|----------------|------------------|---------------------|-------------------|---------------------|------------------------|---------------------------|-----------------------------|--------------------------|--------------------|
| 105 State | Student Sch CA State Lands Commission | CSU COAST Summer Internships-State Lands Commission | Krista Kamer | COAST | AA/UCGS | \$ 24,000 | \$ - | \$ 24,000 | \$24,000 | | | | 6/1/16-12/31/20 |
| 106 State | R&D CA State Parks Dept. | Hollister Hills 2016 | Doug Smith | AES | AA/Science | \$ 191,637 | \$ 38,661 | \$ 230,298 | \$230,298 | | | | 11/16/16-12/31/21 |
| 107 State | Academic St CA Commission on Teacher Credentialin | SPEd-Education Specialist Mild/Moderate and Moderate/Sev | Cathi Draper-Rodriguez/Deedee | Teacher Ed/Li | AA/Education | \$ 227,273 | \$ 22,727 | \$ 250,000 | \$250,000 | | | | 12/5/16-12/31/21 |
| 108 State | Academic St CA Commission on Teacher Credentialin | LS-MS-Bilingual Authorization ITEP-Bilingual Elementary | Deedee Perez-Granados/Cathi Dr | Liberal Studie | AA/Education | \$ 227,273 | \$ 22,727 | \$ 250,000 | \$250,000 | | | | 12/5/16-12/31/21 |
| 109 State | Public Servi City of Salinas-CA Dept Parks & Recrea | Bringing Back the Wildlife | Laura L Lienk | AES | AA/Science | \$ 66,527 | \$ - | \$ 66,527 | \$66,527 | | | | 7/1/16-6/30/21 |
| 110 State | Public Servi Regents of UC/UCOP | Monterey Bay World Language Project-State Funds | Yoshiko Saito-Abbott | WLC | AA/AHSS | \$ 16,667 | \$ 833 | \$ 17,500 | \$0 | \$16,667 | \$833 | \$17,500 | 7/1/20-6/30/21 |
| 111 State | R&D CA Dept Water Resources | Satellite-based IrrQuest calculator for monitoring crop consu | Lee Johnson/Forrest Melton | AES | AA/Science | \$ 259,829 | \$ 23,033 | \$ 282,862 | \$282,862 | | | | 10/25/17-10/25/21 |
| 112 State | R&D Campus ARI-CSU CO ARI | Campus ARI: Assessment of Nitrate Leaching Reduction fro | Forrest Melton/Arlene Haffa | AES | AA/Science | \$ 45,230 | \$ 4,523 | \$ 49,753 | \$49,753 | | | | 11/1/17-10/31/19 |
| 113 State | Public Servi Monterey County Health Dept.-CA Boar | No Zip Code Left Behind | Ignacio Navarro/Kim Judson | HHSPP | AA/HSHS | \$ 272,727 | \$ 27,273 | \$ 300,000 | \$300,000 | | | | 2/12/18-9/31/21 |
| 114 State | R&D Campus ARI-CSU CO ARI | Campus: In Situ Monitoring of Pathogen Suppressing volati | Arlene Haffa | Bio & Chem | AA/Science | \$ 262,246 | \$ 17,011 | \$ 279,257 | \$279,257 | | | | 7/1/18-6/30/21 |
| 115 State | R&D System ARI-CSU CO ARI | System: The Genomics of Remediating Microbes Relevant to | Nathaniel Jue/Arlene Haffa | Bio & Chem | AA/Science | \$ 296,090 | \$ - | \$ 296,090 | \$296,090 | | | | 7/1/18-6/30/2021 |
| 116 State | R&D Campus ARI-CSU CO ARI | Campus: Enhancing the Competitiveness and value of US B | Scott Fausti | Business | AA/Business | \$ 5,440 | \$ 544 | \$ 5,984 | \$5,984 | | | | 7/1/18-5/31/21 |
| 117 State | Student Sch CA Institute for Behav. Heath Solutions- | Bay Area Regional WET Partnership (Social Work Behavior | Maria Gurrola | Social Work | AA/HSHS | \$ 100,000 | \$ - | \$ 100,000 | \$100,000 | | | | 8/1/18-12/30/20 |
| 118 State | Academic St UEI Sacramento - Chancellor's Office | CSU LSAMP Yr 2 STEM Pathways & Research Alliance | John Banks | UROC | AA/UCGS | \$ 30,000 | \$ - | \$ 30,000 | \$30,000 | | | | 9/1/19-8/31/20 |
| 119 State | Academic St UEI Sacramento - Chancellor's Office | CSU LSAMP Yr 3 STEM Pathways & Research Alliance | John Banks | UROC | AA/UCGS | \$ 40,000 | \$ - | \$ 40,000 | \$0 | \$40,000 | \$0 | \$40,000 | 9/1/20-8/31/21 |
| 120 State | R&D CA Public Utilities Commission | CalSPEED Fixed Test Development & Mobile Field Test | Sathya Narayanan/Young Joon I | SCD | AA/Science | \$ 711,541 | \$ 177,885 | \$ 889,426 | \$889,426 | | | | 1/15/19-7/15/2021 |
| 121 State | R&D CSU ARI - CSU Chancellor Office | ARI Campus: Optimizing Carbon Input Source as Part of an | Arlene Haffa | Bio & Chem | AA/Science | \$ 134,017 | \$ - | \$ 134,017 | \$134,017 | | | | 7/1/19-6/30/21 |
| 122 State | Student Sch CA Dept. Fish & Wildlife | CSU COAST Summer Internship 2019-2020-CDFW | Krista Kamer | COAST | AA/UCGS | \$ 26,850 | \$ - | \$ 26,850 | \$26,850 | | | | 6/1/19-4/30/21 |
| 123 State | R&D CAL FIRE | Advancement of Fire Prevention Planning | John Olson | AES | AA/Science | \$ 203,206 | \$ 24,385 | \$ 227,591 | \$227,591 | | | | 6/6/19-3/15/21 |
| 124 State | R&D San Jose State University Fdn-CA Ocean | Long-term Monitoring of Mid-Depth Rocky Reef | James Lindholm | Marine Science | AA/Science | \$ 168,000 | \$ 42,000 | \$ 210,000 | \$162,272 | \$38,182 | \$9,546 | \$47,728 | 5/25/19-11/30/21 |
| 125 State | R&D CA Marine Sanctuary Fdn - CA Dept. F | Demonstration and Measurement of Healthy Soils Practices | Arlene Haffa | Bio & Chem | AA/Science | \$ 87,069 | \$ 21,767 | \$ 108,836 | \$108,836 | | | | 8/1/19-3/31/22 |
| 126 State | Public Servi California Ocean Protection Council | The California Undersea Imagery Archive | James B Lindholm | Marine Science | AA/Science | \$ 120,000 | \$ 30,000 | \$ 150,000 | \$150,000 | | | | 9/3/2019-12/31/21 |
| 127 State | R&D Resource Conservation District of Monte | Salinas River Arundo Eradication Project Phase IV | Jennifer Duggan & John Olson | AES | AA/Science | \$ 96,798 | \$ 19,360 | \$ 116,158 | \$116,158 | | | | 7/2/2019-3/30/22 |
| 128 State | Academic St Monterey Peninsula Unified School Dist | Educator Enhancement Partnership: MPUSD and CSUMB | Megan Sulsberger & Corin Slov | Teacher Educa | AA/Education | \$ 70,385 | \$ 17,596 | \$ 87,981 | \$87,981 | | | | 8/1/2019-7/30/20 |
| 129 State | R&D Resource Conservation District of Monte | Arundo Evapotranspiration Study | Forrest S Melton | AES | AA/Science | \$ 29,686 | \$ 5,937 | \$ 35,623 | \$35,623 | | | | 9/1/2019-8/31/20 |
| 130 State | Public Servi CSU CO - CA Gov Operations Agency | CSUMB Census 2020 Outreach | Seth Pollack | Service Learni | AA/UCGS | \$ 9,986 | \$ - | \$ 9,986 | \$9,986 | | | | 2/5/20-10/31/20 |
| 131 State | R&D UC Ag Natural Resources-CA Garlic & C | Evaluation of anaerobic soil dis infestation and pathogen sup | Jose Pablo Dundore-Arias | Bio & Chem | AA/Science | \$ 13,662 | \$ - | \$ 13,662 | \$13,662 | | | | 3/1/20-2/28/2021 |
| 132 State | R&D CA Leafy Greens Research Board/CA De | Field Optimization of Water Flow for the Scaling of a Pestic | Nathaniel Jue/Arlene Haffa | Bio & Chem | AA/Science | \$ 71,047 | \$ - | \$ 71,047 | \$71,047 | | | | 4/1/20-3/31/2021 |
| 133 State | R&D CA Leafy Greens Research Board/CA De | Advancing understanding of the biology and management of | Jose Pablo Dundore-Arias | Bio & Chem | AA/Science | \$ 24,992 | \$ - | \$ 24,992 | \$24,992 | | | | 4/1/20-3/31/2021 |
| 134 State | R&D CA Leafy Greens Research Board/CA De | Assessing the impact that weeds and other secondary plants | Elizabeth Mosqueda | Bio & Chem | AA/Science | \$ 4,900 | \$ - | \$ 4,900 | \$4,900 | | | | 4/1/20-3/31/2021 |
| 135 State | Public Servi Central Coast Center for Indept Living-C | The Homeless Engagement and Response Team (HEART) Pi | Kai Martinez | Social Work | AA/HSHS | \$ 199,672 | \$ - | \$ 199,672 | \$199,672 | | | | 3/15/20-12/31/2020 |
| 136 State | R&D CSU Fresno Fdn - CSU ARI | Lysimetric determination of evapotranspiration coefficients | Forrest Melton | AES | AA/Science | \$ 54,360 | \$ - | \$ 54,360 | \$54,360 | | | | 4/1/20-6/30/2021 |
| 137 State | Academic St CA Statewide Health Planning & Develo | Song Brown Grant for PA Program 20-21 | Christopher Forest/Valerie Berry | PA | AA/HSHS | \$ 48,000 | \$ - | \$ 48,000 | \$48,000 | | | | 6/30/20-8/15/2021 |
| 138 State | R&D CSU ARI-CSU Chancellor's Office | ARI C - Optimizing management of soilborne pathogens of l | Jose Pablo Dundore-Arias | Bio & Chem | AA/Science | \$ 49,374 | \$ - | \$ 49,374 | \$49,374 | | | | 6/21/20-6/20/2021 |
| 139 State | R&D UC Regents/Davis-CA Leafy Greens Res | Intelligent Weed Management for Leafy Greens | Elizabeth Mosqueda | Bio & Chem | AA/Science | \$ 6,000 | \$ - | \$ 6,000 | \$0 | \$6,000 | \$- | \$6,000 | 4/1/20-6/31/21 |
| 140 State | R&D CSU ARI-CSU Chancellor's Office | ARI-Campus: Impact of arbuscular mycorrhizal fungi applica | Arlene Haffa | Bio & Chem | AA/Science | \$ 55,000 | \$ - | \$ 55,000 | \$0 | \$55,000 | \$- | \$55,000 | 7/1/20-6/30/21 |
| 141 State | R&D CSU ARI-CSU Chancellor's Office | Biosensor and bioremediator development | Nathaniel Jue | Bio & Chem | AA/Science | \$ 45,000 | \$ - | \$ 45,000 | \$0 | \$45,000 | \$- | \$45,000 | 7/1/20-6/30/21 |
| 142 State | Public Servi Monterey County Cannabis Program-CA | Monterey County Cannabis Equity Project Evaluation | Kim Judson/Ignacio Navarro | HHSPP | AA/HSHS | \$ 76,922 | \$ 23,077 | \$ 99,999 | \$0 | \$76,922 | \$23,077 | \$99,999 | 7/15/20-8/31/21 |
| 143 State | Public Servi Monterey County Behavioral Health Bun | Prop 47-Cohort #2 Evaluation | Ignacio Navarro/Kim Judson | HHSPP | AA/HSHS | \$ 272,727 | \$ 27,273 | \$ 300,000 | \$0 | \$272,727 | \$27,273 | \$300,000 | 8/15/19-5/15/23 |
| 144 State | Public Servi Resource Conservation District of Monte | RCD Restoration at Salinas River/Soledad Area | Laura L Lienk | AES | AA/Science | \$ 25,000 | \$ 5,000 | \$ 30,000 | \$0 | \$25,000 | \$5,000 | \$30,000 | 7/2/19-2/20/22 |
| 145 State | Public Servi County of Monterey/Social Services | County-Wide Outreach and Case Management | Maria Gurrola | Social Work | AA/HSHS | \$ 208,530 | \$ 41,706 | \$ 250,236 | \$0 | \$208,530 | \$41,706 | \$250,236 | 1/5/21-6/30/21 |
| 146 State | R&D CA Leafy Greens Research Board/CA De | Evaluate seed and soil fungicide treatments to control Pythiu | Jose Pablo Dundore-Arias | Bio & Chem | AA/Science | \$ 7,300 | \$ - | \$ 7,300 | \$0 | \$7,300 | \$- | \$7,300 | 1/4/21-3/31/21 |
| 147 State | Student Sch CA Dept. Fish & Wildlife | COAST Internships, CDFW | Krista Kamer | COAST | AA/UCGS | \$ 31,000 | \$ - | \$ 31,000 | \$0 | \$31,000 | \$- | \$31,000 | 7/1/21-6/30/23 |
| 148 State | R&D Regents of UC/UCANR - CA Dept. of A | Integrated Sustainable Nitrogen Management in Vegetable C | Arlene Haffa | Bio & Chem | AA/Science | \$ 26,460 | \$ 6,615 | \$ 33,075 | \$0 | \$26,460 | \$6,615 | \$33,075 | 9/1/20-3/31/23 |
| 149 State | R&D Southern CA Coastal Water Research Prc | Evaluation and Development of Ephemeral Stream Assessme | John Olson | AES | AA/Science | \$ 75,437 | \$ 22,631 | \$ 98,068 | \$0 | \$75,437 | \$22,631 | \$98,068 | 2/1/21-10/31/22 |
| 150 State | R&D Southern CA Coastal Water Research Prc | Evaluation and Development of Ephemeral Stream Assessme | John Olson | AES | AA/Science | \$ 62,479 | \$ 18,744 | \$ 81,223 | \$0 | \$62,479 | \$18,744 | \$81,223 | 2/1/21-10/31/22 |
| 151 State | R&D Southern CA Coastal Water Research Prc | Evaluation and Development of Ephemeral Stream Assessme | John Olson | AES | AA/Science | \$ 89,450 | \$ 26,835 | \$ 116,285 | \$0 | \$89,450 | \$26,835 | \$116,285 | 2/1/21-10/31/22 |
| 152 State | R&D CA Leafy Greens Research Board/CA De | Characterization of Disease Development and Evaluation of C | Jose Pablo Dundore-Arias | Bio & Chem | AA/Science | \$ 47,397 | \$ - | \$ 47,397 | \$0 | \$47,397 | \$- | \$47,397 | 4/1/21-3/31/22 |
| 153 State | Public Servi CSU Chancellor's Office/Dept. External I | South County & Seaside CSUMB Summer Algebra Institut | Kim M Barber | PreCollege Pr | SA/Enrollment M | \$ 60,000 | \$ - | \$ 60,000 | \$0 | \$60,000 | \$- | \$60,000 | 3/1/21-8/31/21 |
| 154 State | Student Sch COAST/CSU Chancellor's Office | CSU COAST SSINP-Sea Level Rise Awards: COAST Fund | Krista P Kamer | COAST | AA/UCGS | \$ 794,876 | \$ - | \$ 794,876 | \$0 | \$794,876 | \$- | \$794,876 | |
| TOTAL STATE | | | | | | \$ 6,072,062 | \$ 668,143 | \$ 6,740,205 | \$ 4,579,518 | \$ 1,978,427 | \$ 182,260 | \$ 2,160,687 | |

**University Corporation at Monterey Bay
External Funding Report - Sponsored Programs Office
FY21 Awards - 4th Quarter 7/1/20-6/30/21**

| Type | Sponsor | Award Title | PI | Department | Division/College | Award Direct | Award Indir. | Award Total | Ongoing Awards | New Awards | New Awards | Total New Awards | Award Term |
|------------------------|---------|--|---|---------------------------------|------------------|----------------------|---------------------|----------------------|----------------------|----------------------|---------------------|----------------------|-------------------|
| | | | | | | | | | FY20 | Direct FY21 | Indirect FY21 | FY21 | |
| 155 | Local | Academic St Central CA Coast Alliance for Health | Workforce Development Grant-PA Program Support | Christopher Forest | HSHS/PA | \$ 750,000 | \$ - | \$ 750,000 | \$750,000 | | | | 5/16/17-4/30/21 |
| 156 | Local | R&D Monterey Peninsula Waste Mgmt Distric | Camel River Survey 2019 | Doug Smith | AES | \$ 20,699 | \$ 4,140 | \$ 24,839 | \$24,839 | | | | 9/9/19-8/28/20 |
| 157 | Local | Public Servi Arts Council for Monterey County-Coun | CSUMB Youth Arts Festival | Enid Ryce; Lanier Sammons | CAT/Music | \$ 3,876 | \$ 581 | \$ 4,457 | \$4,457 | | | | 1/1/20-12/31/2021 |
| 158 | Local | Public Servi Central CA Coast Alliance for Health | Training to Improve Access and Integration of Quality Servic | Renie Rondon-Jackson/Julie Alt | Social Work/M | \$ 39,700 | \$ - | \$ 39,700 | \$0 | \$ 39,700 | \$ - | \$ 39,700 | 6/22/20-6/21/21 |
| 159 | Local | Public Servi Central CA Coast Alliance for Health | Everyone's Harvest Fresh Rx | Vanessa Lopez-Littleton/Elizabe | HHSPP/Bio & | \$ 6,600 | \$ - | \$ 6,600 | \$0 | \$ 6,600 | \$ - | \$ 6,600 | 9/1/20-8/31/22 |
| 160 | Local | Public Servi City of Pacific Grove | Sustainable City Year Program-Pacific Grove | Dan Fernandez | AES | \$ 8,333 | \$ 1,667 | \$ 10,000 | \$0 | \$ 8,333 | \$ 1,667 | \$ 10,000 | 7/1/19-6/30/21 |
| 161 | Local | R&D Monterey Peninsula Regional Park Distri | MPRPD Fire Recovery Monitoring | Doug Smith | AES | \$ 9,017 | \$ 721 | \$ 9,738 | \$0 | \$ 9,017 | \$ 721 | \$ 9,738 | 11/1/20-6/30/21 |
| 162 | Local | Public Servi Monterey Peninsula Regional Park Distri | MPRPD Planning & Restoration at Marina Dunes | Laura L Lienk | AES | \$ 28,869 | \$ 2,310 | \$ 31,179 | \$0 | \$ 28,869 | \$ 2,310 | \$ 31,179 | 10/15/20-6/30/21 |
| TOTAL LOCAL GOV | | | | | | \$ 867,094 | \$ 9,419 | \$ 876,513 | \$ 779,296 | \$ 92,519 | \$ 4,698 | \$ 97,217 | |
| Type | Sponsor | Award Title | PI | Department | Division/College | Award Direct | Award Indir. | Award Total | Ongoing Awards | New Awards | New Awards | Total New Awards | Award Term |
| | | | | | | | | | FY20 | Direct FY21 | Indirect FY21 | FY21 | |
| 163 | Other | Student Sch Remote Sensing Solutions, Inc. | CSU COAST Summer Internships-Remote Sensing Solutior | Krista Kamer | COAST | \$ 10,039 | \$ - | \$ 10,039 | \$10,039 | | | | 6/1/16-12/31/20 |
| 164 | Other | Student Sch SeaTrec, Inc. | CSU COAST Summer Internships-SeaTrec, Inc. | Krista Kamer | COAST | \$ 31,900 | \$ - | \$ 31,900 | \$31,900 | | | | 6/1/16-12/31/20 |
| 165 | Other | Student Sch Ocean Aero, Inc. | CSU COAST Summer Internships-Ocean Aero, Inc. | Krista Kamer | COAST | \$ 9,000 | \$ - | \$ 9,000 | \$9,000 | | | | 6/1/16-12/31/20 |
| 166 | Other | Student Sch Marine Applied Research & Exploration, | CSU COAST Summer Internships-MARE | Krista Kamer | COAST | \$ 17,000 | \$ - | \$ 17,000 | \$17,000 | | | | 6/1/16-12/31/20 |
| 167 | Other | Student Sch bay ecotarium | COAST Internships-Bay Institute | Krista Kamer | COAST | \$ 2,500 | \$ - | \$ 2,500 | \$2,500 | | | | 6/1/17-12/31/21 |
| 168 | Other | R&D University of Minnesota | MMPI-2-RF Validation in a Forensic Psychiatric Inpatient H | Danielle Burchett | Psychology | \$ 15,000 | \$ - | \$ 15,000 | \$15,000 | | | | 7/1/17-30/22 |
| 169 | Other | Student Sch Southwest Wetlands Interpretive Associat | CSU COAST-Tijuana River NERR Summer Internships | Krista Kamer | COAST | \$ 11,000 | \$ - | \$ 11,000 | \$8,000 | \$3,000 | \$0 | \$3,000 | 5/14/18-5/31/23 |
| 170 | Other | Student Sch CA Ocean Science Trust | CSU COAST Summer Internship-CAL OST | Krista Kamer | COAST | \$ 11,000 | \$ - | \$ 11,000 | \$8,000 | \$3,000 | \$0 | \$3,000 | 6/1/18-5/31/23 |
| 171 | Other | R&D AECOM - CalAm Water | 5-Year Camel Monitoring | John Olson | AES | \$ 37,203 | \$ 15,997 | \$ 53,200 | \$53,200 | | | | 5/14/18-5/13/22 |
| 172 | Other | Academic St CSU San Marcos-CSU Institute Palliativ | Integration of Palliative Care Educational Content Into Curri | Alyssa Erikson | Nursing | \$ 10,500 | \$ 1,050 | \$ 11,550 | \$11,550 | | | | 10/12/18-8/31/20 |
| 173 | Other | Public Servi Big Sur Land Trust | Carr Lake Demonstration Garden 2019-2020 | Laura L Lienk | AES | \$ 34,783 | \$ 5,217 | \$ 40,000 | \$40,000 | | | | 7/1/19-6/30/21 |
| 174 | Other | Public Servi Various Partner Organizations | AmeriCorps VIP 19-20 match account | Laura L Lienk, Seth Pollack | Service Leami | \$ 141,636 | \$ - | \$ 141,636 | \$141,636 | | | | 9/30/19-8/31/20 |
| 175 | Other | Student Sch Google LLC | Computing Talent Initiative | Sathya Narayanan | SCD | \$ 100,000 | \$ - | \$ 100,000 | \$100,000 | | | | 12/15/19-12/14/21 |
| 176 | Other | R&D USC/SeaGrant - NOAA | Acquisition of MicaSense Altum Multispectral Camera for N | Corey Garza | Marine Scienc | \$ 5,000 | \$ - | \$ 5,000 | \$5,000 | | | | 4/17/20-3/31/2021 |
| 177 | Other | R&D Zambian Carnivore Programme-Int'l Unic | Conservation Science in Zambia 2 | Fred Watson | AES | \$ 3,491 | \$ 349 | \$ 3,840 | \$3,840 | | | | 1/1/19-12/31/2020 |
| 178 | Other | R&D Zambian Carnivore Programme-Int'l Unic | Conservation Science in Zambia 3 | Fred Watson | AES | \$ 5,706 | \$ 294 | \$ 6,000 | \$6,000 | | | | 7/1/19-12/31/2020 |
| 179 | Other | R&D Santa Lucia Conservancy | Santa Lucia Conservancy Hydrology Monitoring 20-23 | John Olson | AES | \$ 37,017 | \$ 5,552 | \$ 42,569 | \$0 | \$37,017 | \$5,552 | \$42,569 | 7/1/20-1/31/23 |
| 180 | Other | R&D Santa Lucia Conservancy | Santa Lucia Conservancy Hydrology Monitoring 20-22 | John Olson | AES | \$ 13,187 | \$ 1,978 | \$ 15,165 | \$0 | \$13,187 | \$1,978 | \$15,165 | 7/1/20-1/31/23 |
| 181 | Other | Public Servi Multiple Agencies | AmeriCorps VIP 20-21 match account | Laura L Lienk | Service Leami | \$ 89,607 | \$ - | \$ 89,607 | \$0 | \$89,607 | \$0 | \$89,607 | 9/1/20-8/31/21 |
| 182 | Other | R&D University of Notre Dame - Templeton R | Making/Erasing African Religions: Nigeria and Brazil at Cro | Umi Vaughn | HCOM | \$ 35,835 | \$ - | \$ 35,835 | \$0 | \$35,835 | \$0 | \$35,835 | 4/15/20-4/15/21 |
| 183 | Other | R&D California Trout | Predicting fish assemblages in California estuaries | John Olson | AES | \$ 9,091 | \$ 909 | \$ 10,000 | \$0 | \$9,091 | \$909 | \$10,000 | 12/23/20-6/30/21 |
| 184 | Other | R&D Central Coast Water Quality Preservation | Cooperative Monitoring Program Annual Report | John Olson | AES | \$ 18,275 | \$ 7,858 | \$ 26,133 | \$0 | \$18,275 | \$7,858 | \$26,133 | 1/1/21-12/31/21 |
| 185 | Other | Student Sch Smithsonian Environmental Research Ce | CSU COAST Summer Internship 2020-2025 SERC | Krista P Kamer | COAST | \$ 3,000 | \$ - | \$ 3,000 | \$0 | \$3,000 | \$0 | \$3,000 | 6/1/21-8/31/22 |
| TOTAL OTHER | | | | | | \$ 651,770 | \$ 39,204 | \$ 690,974 | \$ 462,665 | \$ 212,012 | \$ 16,297 | \$ 228,309 | |
| GRAND TOTAL | | | | | | \$ 60,064,191 | \$ 7,537,026 | \$ 67,601,217 | \$ 46,144,900 | \$ 19,367,623 | \$ 2,088,695 | \$ 21,456,318 | |

**University Corporation at Monterey Bay
External Funding Report-Activity Type
FY20-21 Quarter 4**

| | | | | | | | | | | | | | | | | | | | | | | |
|------------|----------------|--|--|--------------|------------------|-----------------|-----------------------|-----------|-------------------|-----------|------------------|-----------|-------------------|-----------|------------------|-----------|------------------|-----------|----------------|-----------|------------------|--|
| 31 State | Public Service | CSU CO - CA Gov Operations Agency | CSUMB Census 2020 Outreach | Seth Pollack | Service Learning | AA/UCGS | \$ | 9,986 | \$ | - | \$ | 9,986 | \$9,986 | | | | | | | | 2/5/20-10/31/20 | |
| 32 State | Public Service | CSU Chancellor's Office/Dept. External | South County & Seaside CSUMB Summer Algebra Institute | Kim M Barber | PreCollege Pr | SA/Enrollment M | \$ | 60,000 | \$ | - | \$ | 60,000 | \$0 | \$ | 60,000 | \$ | - | \$ | 60,000 | \$0 | 3/1/21-8/31/21 | |
| 33 Federal | Public Service | US Dept. Education | GEAR-UP Seaside/Salinas - Year 6 | Kim Barber | PreCollege Pr | SA/Enrollment M | \$ | 985,391 | \$ | 78,831 | \$ | 1,064,222 | \$1,064,222 | | | | | | | | 9/25/19-9/24/20 | |
| 34 Federal | Public Service | US Dept. Education | GEAR-UP Seaside/Salinas - Year 7 Final Year | Kim Barber | PreCollege Pr | SA/Enrollment M | \$ | 763,209 | \$ | 61,057 | \$ | 824,266 | \$0 | \$ | 763,209 | \$ | 61,057 | \$ | 824,266 | \$0 | 9/25/20-9/24/21 | |
| 35 Federal | Public Service | US Dept. Education | GEAR-UP South County-Year 6 | Kim Barber | PreCollege Pr | SA/Enrollment M | \$ | 787,505 | \$ | 63,000 | \$ | 850,505 | \$850,505 | | | | | | | | 9/25/19-9/24/20 | |
| 36 Federal | Public Service | US Dept. Education | GEAR-UP South County-Year 7 Final Year | Kim Barber | PreCollege Pr | SA/Enrollment M | \$ | 563,798 | \$ | 45,104 | \$ | 608,902 | \$0 | \$ | 563,798 | \$ | 45,104 | \$ | 608,902 | \$0 | 9/25/20-9/24/21 | |
| 37 Federal | Public Service | US Dept of Education | Upward Bound Watsonville & Soledad 19-20 | Kim Barber | PreCollege Pr | SA/Enrollment M | \$ | 331,525 | \$ | 22,515 | \$ | 354,040 | \$354,040 | | | | | | | | 9/1/19-8/31/20 | |
| 38 Federal | Public Service | US Dept of Education | Upward Bound Watsonville & Soledad 20-21 | Kim Barber | PreCollege Pr | SA/Enrollment M | \$ | 342,350 | \$ | 24,081 | \$ | 366,431 | \$0 | \$ | 342,350 | \$ | 24,081 | \$ | 366,431 | \$0 | 9/1/20-8/31/21 | |
| 39 Federal | Public Service | US Dept of Education | Upward Bound Pajaro Valley & North Monterey County 19- | Kim Barber | PreCollege Pr | SA/Enrollment M | \$ | 268,837 | \$ | 18,700 | \$ | 287,537 | \$287,537 | | | | | | | | 9/1/17-8/31/20 | |
| 40 Federal | Public Service | US Dept of Education | Upward Bound Pajaro Valley & North Monterey County 20- | Kim Barber | PreCollege Pr | SA/Enrollment M | \$ | 277,260 | \$ | 20,341 | \$ | 297,601 | \$0 | \$ | 277,260 | \$ | 20,341 | \$ | 297,601 | \$0 | 9/1/20-8/31/21 | |
| 41 Federal | Public Service | US Dept of Education | Educational Talent Search Year 3, 19-20 | Kim Barber | PreCollege Pr | SA/Enrollment M | \$ | 578,671 | \$ | 46,294 | \$ | 624,965 | \$624,965 | | | | | | | | 9/1/19-8/31/20 | |
| 42 Federal | Public Service | US Dept of Education | Educational Talent Search Year 4, 20-21 | Kim Barber | PreCollege Pr | SA/Enrollment M | \$ | 598,925 | \$ | 47,914 | \$ | 646,839 | \$0 | \$ | 598,925 | \$ | 47,914 | \$ | 646,839 | \$0 | 9/1/20-8/31/21 | |
| 43 Federal | Public Service | US Dept of Education | Coastal GearUP Year 1 | Kim Barber | PreCollege Pr | SA/Enrollment M | \$ | 1,210,074 | \$ | 96,326 | \$ | 1,306,400 | \$0 | \$ | 1,210,074 | \$ | 96,326 | \$ | 1,306,400 | \$0 | 9/30/20-9/29/27 | |
| | | | | | | | PUBLIC SERVICE | \$ | 11,561,091 | \$ | 1,343,039 | \$ | 12,904,130 | \$ | 5,103,098 | \$ | 6,902,594 | \$ | 898,438 | \$ | 7,801,032 | |

RESEARCH & DEVELOPMENT

| Type | Sponsor | Award Title | PI | Department | Division/College | Award Direct | Award Indir. | Award Total | Ongoing Awards FY20 | New Awards Direct FY21 | New Awards Indirect FY21 | Total New Awards FY21 | Award Term |
|------------|---------|--|--|------------------------------|------------------|--------------|--------------|-------------|---------------------|------------------------|--------------------------|-----------------------|------------|
| 1 Federal | R&D | National Endowment for the Humanities | Tektite Revisited Documentary | Meghan O'Hara | CAT | AA/AHSS | \$ | 52,440 | \$ | 22,549 | \$ | 74,989 | \$74,989 |
| 2 Other | R&D | University of Notre Dame - Templeton R | Making/Erasing African Religions: Nigeria and Brazil at Cro Umi Vaughn | HC | AA/AHSS | \$ | 35,835 | \$ | - | \$ | 35,835 | \$0 | |
| 3 Other | R&D | University of Minnesota | MMPI-2-RF Validation in a Forensic Psychiatric Inpatient H Danielle Burchett | Psychology | AA/AHSS | \$ | 15,000 | \$ | - | \$ | 15,000 | \$15,000 | |
| 4 State | R&D | Campus ARI-CSU CO ARI | Campus: Enhancing the Competitiveness and value of US B Scott Fausti | Business | AA/Business | \$ | 5,440 | \$ | 544 | \$ | 5,984 | \$5,984 | |
| 5 Federal | R&D | US Dept. of Defense | High Spatial and Temporal Resolution Characterization of F Dan Fernandez | AES | AA/Science | \$ | 265,263 | \$ | 1,326 | \$ | 266,589 | \$266,589 | |
| 6 Federal | R&D | U.S. Geological Survey/US Dept. Interior | Testing USGS Debris Flow Probability Models | Doug Smith | AES | AA/Science | \$ | 6,959 | \$ | 2,992 | \$ | 9,951 | \$0 |
| 7 State | R&D | CA State Parks Dept. | Hollister Hills 2016 | Doug Smith | AES | AA/Science | \$ | 191,637 | \$ | 38,661 | \$ | 230,298 | \$230,298 |
| 8 Local | R&D | Monterey Peninsula Waste Mgmt Distric | Carmel River Survey 2019 | Doug Smith | AES | AA/Science | \$ | 20,699 | \$ | 4,140 | \$ | 24,839 | \$24,839 |
| 9 Local | R&D | Monterey Peninsula Regional Park Distri | MPRPD Fire Recovery Monitoring | Doug Smith | AES | AA/Science | \$ | 9,017 | \$ | 721 | \$ | 9,738 | \$0 |
| 10 Federal | R&D | US Dept. Agriculture | Remote Sensing support for precision fumigation in strawberry Forrest Melton | AES | AA/Science | \$ | 27,273 | \$ | 2,727 | \$ | 30,000 | \$30,000 | |
| 11 Federal | R&D | US Dept. Agriculture/ARS | Site-specific soil pest management in strawberry and vegetable Forrest Melton | AES | AA/Science | \$ | 165,077 | \$ | 17,412 | \$ | 182,489 | \$90,386 | |
| 12 State | R&D | CSU Fresno Fdn - CSU ARI | Lysimetric determination of evapotranspiration coefficients f Forrest Melton | AES | AA/Science | \$ | 54,360 | \$ | - | \$ | 54,360 | \$54,360 | |
| 13 State | R&D | Campus ARI-CSU CO ARI | Campus ARI: Assessment of Nitrate Leaching Reduction fro Forrest Melton/Arlene Haffa | AES | AA/Science | \$ | 45,230 | \$ | 4,523 | \$ | 49,753 | \$49,753 | |
| 14 Federal | R&D | CA Dept. Food & Ag-USDA | Addressing Improvements in water use efficiency of high-val Forrest Melton/Lee Johnson | AES | AA/Science | \$ | 326,371 | \$ | 11,397 | \$ | 337,768 | \$337,768 | |
| 15 State | R&D | Resource Conservation District of Monte | Arundo Evapotranspiration Study | Forrest S Melton | AES | AA/Science | \$ | 29,686 | \$ | 5,937 | \$ | 35,623 | \$35,623 |
| 16 Federal | R&D | US Fish & Wildlife Service | Evaluation of Monterey Gilla Management, Current Extent, Fred Watson | AES | AA/Science | \$ | 16,771 | \$ | 2,935 | \$ | 19,706 | \$19,706 | |
| 17 Other | R&D | Zambian Carnivore Programme-Int'l Unic | Conservation Science in Zambia 2 | Fred Watson | AES | AA/Science | \$ | 3,491 | \$ | 349 | \$ | 3,840 | \$3,840 |
| 18 Other | R&D | Zambian Carnivore Programme-Int'l Unic | Conservation Science in Zambia 3 | Fred Watson | AES | AA/Science | \$ | 5,706 | \$ | 294 | \$ | 6,000 | \$6,000 |
| 19 State | R&D | Resource Conservation District of Monte | Salinas River Arundo Eradication Project Phase IV | Jennifer Duggan & John Olson | AES | AA/Science | \$ | 96,798 | \$ | 19,360 | \$ | 116,158 | \$116,158 |
| 20 Federal | R&D | Southern CA Coastal Water Research Pr | Bioassessment Tools for CA & Arizona Intermittent Streams John Olson | AES | AA/Science | \$ | 69,440 | \$ | 29,859 | \$ | 99,299 | \$99,299 | |
| 21 Federal | R&D | Utah State Univ-US Army Corps of Engi | A data driven decision support system to identify optimal lar John Olson | AES | AA/Science | \$ | 85,404 | \$ | 36,723 | \$ | 122,127 | \$122,127 | |
| 22 Federal | R&D | Southern CA Coastal Water Research Pr | Development of a flow duration assessment method for the A John Olson | AES | AA/Science | \$ | 213,277 | \$ | 91,709 | \$ | 304,986 | \$179,186 | |
| 23 Federal | R&D | State Water Resources Control Board-US | Dry Stream Assessment Phase III | John Olson | AES | AA/Science | \$ | 52,448 | \$ | 22,552 | \$ | 75,000 | \$75,000 |
| 24 Federal | R&D | Tetra Tech, Inc - US EPA | U.S. Phosphorus Model Development | John Olson | AES | AA/Science | \$ | 13,986 | \$ | 6,014 | \$ | 20,000 | \$20,000 |
| 25 State | R&D | CAL FIRE | Advancement of Fire Prevention Planning | John Olson | AES | AA/Science | \$ | 203,206 | \$ | 24,385 | \$ | 227,591 | \$227,591 |
| 26 State | R&D | Southern CA Coastal Water Research Pr | Evaluation and Development of Ephemeral Stream Assessme John Olson | AES | AA/Science | \$ | 75,437 | \$ | 22,631 | \$ | 98,068 | \$0 | |
| 27 State | R&D | Southern CA Coastal Water Research Pr | Evaluation and Development of Ephemeral Stream Assessme John Olson | AES | AA/Science | \$ | 62,479 | \$ | 18,744 | \$ | 81,223 | \$0 | |
| 28 State | R&D | Southern CA Coastal Water Research Pr | Evaluation and Development of Ephemeral Stream Assessme John Olson | AES | AA/Science | \$ | 89,450 | \$ | 26,835 | \$ | 116,285 | \$0 | |
| 29 Other | R&D | AECOM - CalAm Water | 5-Year Carmel Monitoring | John Olson | AES | AA/Science | \$ | 37,203 | \$ | 15,997 | \$ | 53,200 | \$53,200 |
| 30 Other | R&D | Santa Lucia Conservancy | Santa Lucia Conservancy Hydrology Monitoring 20-23 | John Olson | AES | AA/Science | \$ | 37,017 | \$ | 5,552 | \$ | 42,569 | \$0 |
| 31 Other | R&D | Santa Lucia Conservancy | Santa Lucia Conservancy Hydrology Monitoring 20-22 | John Olson | AES | AA/Science | \$ | 13,187 | \$ | 1,978 | \$ | 15,165 | \$0 |
| 32 Other | R&D | California Trout | Predicting fish assemblages in California estuaries | John Olson | AES | AA/Science | \$ | 9,091 | \$ | 909 | \$ | 10,000 | \$0 |
| 33 Other | R&D | Central Coast Water Quality Preservation | Cooperative Monitoring Program Annual Report | John Olson | AES | AA/Science | \$ | 18,275 | \$ | 7,858 | \$ | 26,133 | \$0 |
| 34 Federal | R&D | CA Dept. Food & Ag - US Dept. Ag | Improving Water Use Efficiency of Cool Season Vegetable C Lee Johnson | AES | AA/Science | \$ | 78,047 | \$ | 5,964 | \$ | 84,011 | \$84,011 | |
| 35 Federal | R&D | CA Dept. Food & Ag - US Dept. Ag | Extension of CropManagement Decision-Support System to Lee Johnson/Forrest Melton | AES | AA/Science | \$ | 371,320 | \$ | 14,218 | \$ | 385,538 | \$0 | |
| 36 State | R&D | CA Dept Water Resources | Satellite-based Irrigation calculator for monitoring crop consu Lee Johnson/Forrest Melton | AES | AA/Science | \$ | 259,829 | \$ | 23,033 | \$ | 282,862 | \$282,862 | |
| 37 Federal | R&D | Bay Area Environmental Research-NASA | ARC-CREST Science Mission Operations by NSERC and R Susan Alexander | AES | AA/Science | \$ | 16,181,760 | \$ | 3,742,259 | \$ | 19,924,019 | \$18,447,046 | |
| 38 Federal | R&D | Regents of UC/Santa Cruz - USDA | Integrated systems for management of soil borne diseases anc Arlene Haffa | Bio & Chem | AA/Science | \$ | 157,661 | \$ | 28,538 | \$ | 186,199 | \$186,199 | |
| 39 State | R&D | Campus ARI-CSU CO ARI | Campus: In Situ Monitoring of Pathogen Suppressing volati Arlene Haffa | Bio & Chem | AA/Science | \$ | 262,246 | \$ | 17,011 | \$ | 279,257 | \$279,257 | |
| 40 State | R&D | CSU ARI - CSU Chancellor Office | ARI Campus: Optimizing Carbon Input Source as Part of an Arlene Haffa | Bio & Chem | AA/Science | \$ | 134,017 | \$ | - | \$ | 134,017 | \$134,017 | |
| 41 State | R&D | CA Marine Sanctuary Fdn - CA Dept. F | Demonstration and Measurement of Healthy Soils Practices l Arlene Haffa | Bio & Chem | AA/Science | \$ | 87,069 | \$ | 21,767 | \$ | 108,836 | \$108,836 | |
| 42 State | R&D | CSU ARI-CSU Chancellor's Office | ARI-Campus: Impact of arbuscular mycorrhizal fungi applica Arlene Haffa | Bio & Chem | AA/Science | \$ | 55,000 | \$ | - | \$ | 55,000 | \$0 | |
| 43 State | R&D | Regents of UC/UCANR - CA Dept. of A | Integrated Sustainable Nitrogen Management in Vegetable C Arlene Haffa | Bio & Chem | AA/Science | \$ | 26,460 | \$ | 6,615 | \$ | 33,075 | \$0 | |
| 44 State | R&D | CA Leafy Greens Research Board/CA De | Assessing the impact that weeds and other secondary plants l Elizabeth Mosqueda | Bio & Chem | AA/Science | \$ | 4,900 | \$ | - | \$ | 4,900 | \$4,900 | |
| 45 State | R&D | UC Regents/Davis-CA Leafy Greens Res | Intelligent Weed Management for Leafy Greens | Elizabeth Mosqueda | Bio & Chem | \$ | 6,000 | \$ | - | \$ | 6,000 | \$0 | |
| 46 Federal | R&D | National Science Foundation | RUI: Molecular Design for Redox Active Deep Eutectic Solv John Goeltz | Bio & Chem | AA/Science | \$ | 180,200 | \$ | 64,800 | \$ | 245,000 | \$245,000 | |
| 47 Federal | R&D | University of Minnesota - NSF | Agricultural Microbiome Research Network | Jose Pablo Dundoire-Arias | Bio & Chem | \$ | 54,925 | \$ | 23,617 | \$ | 78,542 | \$78,542 | |
| 48 State | R&D | UC Ag Natural Resources-CA Garlic & C | Evaluation of anaerobic soil dis infestation and pathogen sup Jose Pablo Dundoire-Arias | Bio & Chem | AA/Science | \$ | 13,662 | \$ | - | \$ | 13,662 | \$13,662 | |
| 49 State | R&D | CA Leafy Greens Research Board/CA De | Advancing understanding of the biology and management of Jose Pablo Dundoire-Arias | Bio & Chem | AA/Science | \$ | 24,992 | \$ | - | \$ | 24,992 | \$24,992 | |

**University Corporation at Monterey Bay
External Funding Report-Activity Type
FY20-21 Quarter 4**

STUDENT SERVICES

| Type | Sponsor | Award Title | PI | Department | Division/College | Award Direct | Award Indir. | Award Total | Ongoing Awards FY20 | New Awards Direct FY21 | New Awards Indirect FY21 | Total New Awards FY21 | Award Term |
|-------------------------|--|--|----------------------|---------------|------------------|----------------------|---------------------|----------------------|------------------------|---------------------------|-----------------------------|--------------------------|-----------------|
| 1 Federal | Student Service CSU Chico Research Fdn-USDA | Cal Fresh Outreach @CSUMB | Joanna Snawder-Manzo | Dean of Stude | AA/SA | \$ 31,404 | \$ 13,602 | \$ 45,006 | \$45,006 | | | | 10/1/18-9/30/21 |
| 2 Federal | Student Service Substance Abuse & Mental Health Servic | CSUMB GLS Campus Suicide Prevention Project-Yr 2 | Gary Rodriguez | Personal Grow | SA | \$ 59,868 | \$ 25,743 | \$ 85,611 | \$85,611 | | | | 9/30/17-9/29/20 |
| 3 Federal | Student Service Substance Abuse & Mental Health Servic | CSUMB GLS Campus Suicide Prevention Project-Yr 3 | Gary Rodriguez | Personal Grow | SA | \$ 65,396 | \$ 28,120 | \$ 93,516 | \$93,516 | | | | 9/30/19-9/20/20 |
| STUDENT SERVICES | | | | | | \$ 156,668 | \$ 67,465 | \$ 224,133 | \$ 224,133 | \$ - | \$ - | \$ - | |
| GRAND TOTAL | | | | | | \$ 60,064,191 | \$ 7,537,026 | \$ 67,601,217 | \$ 46,144,900 | \$ 19,367,623 | \$ 2,088,695 | \$ 21,456,318 | |

University Corporation at Monterey Bay
External Public Funding Report-Sponsored Programs
Current Public Pending Proposals - FY21 4th Quarter 7/1/20-6/30/21

| FY19 | | | | | | | | | | |
|-------------|----------|----------|---|---|---------------------|-------------------------------|---------------------|-------------------|-----------|------------------|
| Type | Proposed | Sponsor | Proposal Title | P.I. | Department | Direct | Indirect | Total | | |
| 1 | Federal | 8/6/18 | San Jose State Research Fdn-NOAA | Salinas River State Beach Dune Restoration Phase 2 | Lienk, Laura Lee | AES | \$ 27,372 | \$ 11,770 | \$ | 39,142 |
| 2 | State | 8/10/18 | CA Sea Grant-State of CA | Assessing the potential for rapid adaptation to climate change in rockfish | Logan, Cheryl | Marine Science | \$ 226,228 | \$ 23,647 | \$ | 249,875 |
| 3 | Federal | 8/21/18 | City of Salinas-National Endowment for the Arts | Engaging and Revitalizing Salinas Chinatown and the Alisal through the Arts | Ryce, Enid | Salinas Center | \$ 30,000 | \$ 12,900 | \$ | 42,900 |
| 4 | Federal | 10/12/18 | Vera Institute of Justice-US Dept. Justice | Communities Healing and Transforming Trauma (CHATT) to End Gang Violence: Community Bas | Valdez, Christine | Psychology | \$ 34,964 | \$ 15,034 | \$ | 49,998 |
| 5 | State | 12/20/18 | Regents of UC/Santa Cruz-CA Ocean Protection C | Long-term Monitoring of Kelp Forest Ecosystems Inside and Out of CA's Statewide Network of M | Lindholm, James | Marine Science | \$ 4,800 | \$ 1,200 | \$ | 6,000 |
| 6 | Federal | 2/28/19 | University Hawaii at Manoa - NOAA | Advancing Mesophotic Coral Reef Ecosystem Research in American Samoa | Logan, Cheryl | Marine Science | \$ 147,531 | \$ 23,245 | \$ | 170,776 |
| 7 | State | 3/1/19 | SJSU Research Fdn - CA Ocean Protection Counc | Coastal Resilience through Dune Restoration at Salinas River | Lienk, Laura Lee | AES | \$ 26,604 | \$ 11,440 | \$ | 38,044 |
| 8 | State | 4/5/19 | CA Coastal Commission/Coastal Conservancy | Eco-Ambassadors Go to the Coast | Lienk, Laura Lee | AES | \$ 38,080 | \$ 11,424 | \$ | 49,504 |
| 9 | Federal | 5/29/19 | Northwest Research Assoc - US Dept. Interior/US | Prediction of earthquake occurrence and magnitude using preseismic ionospheric changes | Dahlgren, Robert | AES | \$ 8,414 | \$ 1,683 | \$ | 10,097 |
| | | | | | | FY19 Pending Proposals | \$ 543,993 | \$ 112,343 | \$ | 656,336 |
| FY20 | | | | | | | | | | |
| Type | Proposed | Sponsor | Proposal Title | P.I. | Department | Direct | Indirect | Total | | |
| 10 | State | 8/30/19 | CA Dept. Water Resources | Urban Wetland Enhancement and Soccer in Salinas | Laura Lee Lienk | AES | \$ 381,172 | \$ - | \$ | 381,172 |
| 11 | Federal | 10/12/19 | Centers for Disease Control and Prevention/U.S. I | My Choice: Developing a Workplace Disclosure Decision Aid and Resources for Working Parents o | Lisa M Stewart | Social Work | \$ 300,000 | \$ 24,000 | \$ | 324,000 |
| 12 | State | 12/20/19 | County of Monterey/Health Department/Behaviora | Entre nosotros "Among us" | Maria A Gurrola | Social Work | \$ 43,430 | \$ 6,515 | \$ | 49,945 |
| 13 | Local | 1/17/20 | Transportation Agency for Monterey County | Arts for Safe and Sustainable Communities | Enid B Ryce | Office of the Pr | \$ 132,899 | \$ 26,580 | \$ | 159,479 |
| 14 | Federal | 1/21/20 | The Board of Trustees of the Leland Stanford Juni | Diversifying and Integrating Marine Stations (DIMS) Network | Alison J Haupt | Marine Science | \$ 1,636 | \$ 704 | \$ | 2,340 |
| 15 | Federal | 1/29/20 | U.S. Department of Agriculture | Increased Degree Attainment and Retention in FANH Sciences: Creating a Regional Pipeline | John E Banks | UROC | \$ 811,831 | \$ 188,164 | \$ | 999,995 |
| 16 | Federal | 1/31/20 | University of Alaska, Fairbanks - National Science | Advanced-DART | Robert Dahlgren | Applied Enviro | \$ 13,382 | \$ 2,676 | \$ | 16,058 |
| 17 | State | 2/20/20 | California Department of Parks & Recreation/Cali | Virtual Dive at the Pt. Lobos State Marine Reserve | James B Lindholm | Marine Science | \$ 7,653 | \$ 2,296 | \$ | 9,949 |
| 18 | State | 2/24/20 | Resource Conservation District of Monterey Coun | Monarchs and Pollinators | Laura L Lienk | AES | \$ 30,152 | \$ 6,030 | \$ | 36,182 |
| 19 | State | 2/26/20 | Agricultural Research Institute/California State Un | ARI System: Evaluation of Evapotranspiration Monitoring Approaches for Irrigation Management a | Forrest S Melton | AES | \$ 436,217 | \$ - | \$ | 436,217 |
| 20 | Federal | 3/2/20 | U.S. Department of Health and Human Services | Scholarships for Disadvantaged Students | Maria A Gurrola | Social Work | \$ 3,185,120 | \$ - | \$ | 3,185,120 |
| 21 | Federal | 3/24/20 | U.S. Department of Education | International Business, Foreign Language & Global Competencies Across the Curriculum | Dante D Di Gregorio | School of Busi | \$ 185,382 | \$ 13,631 | \$ | 199,013 |
| 22 | State | 3/30/20 | California State Coastal Conservancy | Eco-Ambassadors and Green Thumbs Go to the Coast | Laura L Lienk | AES | \$ 39,853 | \$ 5,978 | \$ | 45,831 |
| 23 | State | 4/30/20 | Arts Council for Monterey County-CA Arts Coun | CAC Innovations + Intersections | Laura L Lienk | AES | \$ 103,100 | \$ - | \$ | 103,100 |
| 24 | Federal | 5/1/20 | Creek Lands Conservation-NOAA | Salinas River Watershed Steelhead Distribution Study | John Robert Olson | AES | \$ 40,174 | \$ 17,275 | \$ | 57,449 |
| 25 | State | 5/4/20 | City of Salinas-CA Dept. Housing & Community | Mobile Street Outreach and Housing Navigation Services | Kai Medina-Martinez | Social Work | \$ 268,261 | \$ - | \$ | 268,261 |
| 26 | Federal | 5/8/20 | San Jose State University Research Fdn-NASA | Center for Applied Atmospheric Research & Education | Sherry L Palacios | Marine Science | \$ 35,668 | \$ 15,337 | \$ | 51,005 |
| 27 | Federal | 5/14/20 | National Institute of Food and Agriculture/U.S. D | Harnessing the Soil Microbiome to Improve Crop Nutritional Content | Nathaniel K Jue | BIO & Chem | \$ 633,301 | \$ 113,253 | \$ | 746,554 |
| 28 | Federal | 5/15/20 | U.S. Department of Defense | Development of a Comprehensive Theoretical Model for Prediction of New Electrolytes for High | John C Goeltz | BIO & Chem | \$ 26,084 | \$ 11,216 | \$ | 37,300 |
| 29 | Federal | 5/20/20 | California Marine Sanctuary Foundation-Montana | Evaluating animal movement in and near 3 types of agricultural ditches: Key data for co-managemer | Jennifer M Duggan | AES | \$ 71,287 | \$ 7,920 | \$ | 79,207 |
| 30 | State | 6/5/20 | County of Monterey/Health Department/Behaviora | Sembrandos Futuros/Planting Futures | Maria A Gurrola | Social Work | \$ 44,945 | \$ 5,054 | \$ | 49,999 |
| 31 | State | 6/5/20 | County of Monterey/Health Department/Behaviora | Cosechando Futuros/Harvesting futures | Maria A Gurrola | Social Work | \$ 44,547 | \$ 4,995 | \$ | 49,542 |
| | | | | | | FY20 Pending Proposals | \$ 6,836,094 | \$ 451,624 | \$ | 7,287,718 |

University Corporation at Monterey Bay
External Public Funding Report-Sponsored Programs
Current Public Pending Proposals - FY21 4th Quarter 7/1/20-6/30/21

| FY21 | Type | Proposed | Sponsor | Proposal Title | P.I. | Department | Direct | Indirect | Total |
|------|---------|----------|---|---|-----------------------------------|----------------|--------------|------------|--------------|
| 32 | Local | 7/15/20 | City of Seaside | City of Seaside Match for Startup Monterey Bay Launchpad | John B Barbeau/Shyam Kamath | Business | \$ 15,000 | \$ - | \$ 15,000 |
| 33 | State | 7/17/20 | California Office of Statewide Health Planning & | Song Brown for 21-22 | Christopher P Forest/Valerie Jean | MPA | \$ 119,984 | \$ - | \$ 119,984 |
| 34 | Federal | 8/14/20 | Pace University-National Science Foundation | Modeling the Human Harbor; Applying Computational Thinking and Computer Science Core | Susan Elizabeth Alter | Bio & Chem | \$ 16,783 | \$ 7,217 | \$ 24,000 |
| 35 | Federal | 8/28/20 | Cal Poly Pomona Foundation, Inc.-National Scier | REU Site: MATerials and applications: an Undergraduate Research Experience (MATURE) | Christine E Valdez | Psychology | \$ 11,519 | \$ 4,953 | \$ 16,472 |
| 36 | Federal | 8/31/20 | Regents of UC/Santa Cruz-National Science Foun | Subterranean Sea Level Rise: Fostering Resilience and Broadening Participation in California's Cen | Robina Bhatti | SBS | \$ 230,886 | \$ 99,281 | \$ 330,167 |
| 37 | State | 9/17/20 | Resource Conservation District of Monterey Coun | Salinas River Arundo Eradication Project Phase V | Jennifer M Duggan/John Olson | AES | \$ 96,589 | \$ 19,318 | \$ 115,907 |
| 38 | Federal | 10/28/20 | National Institute of Food and Agriculture/U.S. D | FUTURE-Ag: Fostering Undergraduate student Training, Upskilling, and Retention through interdi | Jose Pablo Dundore-Arias | Bio & Chem | \$ 232,956 | \$ 66,910 | \$ 299,866 |
| 39 | Federal | 10/23/20 | San Jose State University Research Foundation-U | Harnessing the Soil Microbiome to Improve Crop Nutritional Content | Nathaniel K Jue | BIO & Chem | \$ 154,341 | \$ 7,601 | \$ 161,942 |
| 40 | Federal | 11/2/20 | National Science Foundation | CRII: SCH: RUI: Using Blockchain Technology to Enhance Care-team Patient Communications | Mohamed Moustafa Abouzahra | Business | \$ 122,373 | \$ 52,261 | \$ 174,634 |
| 41 | State | 10/30/20 | Regents of UC/Office of the President-CA Institut | Assessing wildlife use of 3 types of agricultural ditches: data for co-management of water quality an | Jennifer M Duggan | AES | \$ 14,969 | \$ - | \$ 14,969 |
| 42 | Federal | 11/19/20 | Monterey Bay Aquarium Research Institute-NOAA | (CeNCOOS) The Central and Northern California Ocean Observing System: Information solutions t | Corey Garza | Marine Science | \$ 102,448 | \$ 22,552 | \$ 125,000 |
| 43 | Federal | 11/19/20 | National Science Foundation | NSF Ocean Science Diversity Initiative | Corey Garza | Marine Science | \$ 244,852 | \$ 55,148 | \$ 300,000 |
| 44 | State | 11/25/20 | Regents of UC/Riverside-CA Leafy Greens Resea | Developing nitrogen management strategies for leafy greens using soil health metrics | Arlene L Haffa | Bio & Chem | \$ 24,336 | \$ - | \$ 24,336 |
| 45 | Federal | 1/8/21 | Coalition of Homeless Services Providers-Federal | CHSP Case Management Services - Project Room Key Extension | Maria A Gurrola | Social Work | \$ 28,887 | \$ 5,777 | \$ 34,664 |
| 46 | Federal | 1/10/21 | Pyramid Lake Paiute Tribe-United States Environ | Wetland Index of Biological Integrity Development | John Robert Olson | AES | \$ 52,417 | \$ 22,539 | \$ 74,956 |
| 47 | Federal | 1/12/21 | Monterey County Office of Education-National Sc | ASCEND (Afterschool STEM Collaborative Network Development) | Corin D Slown | Bio & Chem | \$ 48,666 | \$ 20,972 | \$ 69,638 |
| 48 | Federal | 1/13/21 | National Science Foundation | MRI: Acquisition of a Benchtop NMR Instrument with Pulsed Field Gradient and Autosampler for | John C Goeltz, Amy Marie Danov | Bio & Chem | \$ 145,199 | \$ - | \$ 145,199 |
| 49 | Federal | 1/13/21 | National Science Foundation | HSI Pilot Project: Inclusive and Integrative STEM Education through Undergraduate Research | Heather A Haeger,John E Banks, . | UROC | \$ 331,226 | \$ 68,763 | \$ 399,989 |
| 50 | Federal | 1/21/21 | U.S. Department of Health and Human Services | HRSA BHWET Program for Social Work Professionals | Maria A Gurrola | Social Work | \$ 1,863,111 | \$ 56,889 | \$ 1,920,000 |
| 51 | Federal | 1/27/21 | U.S. Department of Agriculture | Increased degree attainment in FANH Sciences: Creating a regional pipeline | John E Banks, Jose Pablo Dund | UROC/Bio & C | \$ 844,576 | \$ 133,118 | \$ 977,694 |
| 52 | Federal | 2/8/21 | Applied Marine Sciences-National Oceanic & Atm | Marine Plastics Debris in Monterey Bay National Marine Sanctuary and the Fate of Agricultural, St | John Robert Olson | AES | \$ 4,408 | \$ 1,895 | \$ 6,303 |
| 53 | Federal | 2/10/21 | City of Salinas-California Department of Housing | CESH2 Housing Placement | Maria A Gurrola, Kai Medina-M | Social Work | \$ 573,795 | \$ 109,402 | \$ 683,197 |
| 54 | Federal | 2/12/21 | California Department of Food and Agriculture-U. | Evapotranspiration monitoring of three major Salinas Valley specialty crops | Lee F Johnson, Forrest S Melton | AES | \$ 427,703 | \$ 18,294 | \$ 445,997 |
| 55 | State | 2/19/21 | Regents of UC/Office of the President | State Monterey Bay World Language Project 21-22 | Yoshiko SaitoAbbott | World Languag | \$ 16,667 | \$ 833 | \$ 17,500 |
| 56 | Federal | 2/19/21 | Regents of UC/Office of the President-U.S. Depart | Federal Monterey Bay World Language Funds | Yoshiko SaitoAbbott | World Languag | \$ 27,778 | \$ 2,222 | \$ 30,000 |
| 57 | State | 2/19/21 | California Public Utilities Commission | CalSPEED: Fixed test and mobile field test software development & support | Sathya R Narayanan, Young-Joon | Computing and | \$ 1,834,282 | \$ 550,285 | \$ 2,384,567 |
| 58 | Federal | 2/26/21 | U.S. Department of Education | Talent Search (CSUMB Monterey County Talent Search) | Kim M Barber | Early Outreach | \$ 2,994,625 | \$ 239,570 | \$ 3,234,195 |
| 59 | Federal | 3/3/21 | National Science Foundation | Understanding substrate mobility as a disturbance in hard rock marine communities | David C Garza | Marine Science | \$ 244,500 | \$ 105,135 | \$ 349,635 |
| 60 | Federal | 3/19/21 | University of Southern California-NOAA/U.S. De | Quantifying impacts of urban stressors on marine biodiversity and evolution using genome-wide dat | Susan Elizabeth Alter | Bio & Chem | \$ 28,833 | \$ 12,398 | \$ 41,231 |
| 61 | Federal | 3/19/21 | Florida A&M University-NOAA/U.S. Department | NOAA Center for Coastal and Marine Ecosystems ((Restoration of Year 5 Funds)) | David C Garza | Marine Science | \$ 81,926 | \$ 7,108 | \$ 89,034 |
| 62 | State | 3/26/21 | Agricultural Research Institute/California State Un | ARI C- Comparing Greenhouse Gases and Volatile Organic Compounds between Iterative ASD and | Arlene L Haffa | Bio & Chem | \$ 71,931 | \$ - | \$ 71,931 |
| 63 | State | 4/12/21 | CSU Agricultural Research Institute | Weighing lysimeter studies to determine evapotranspiration and develop new crop coefficients for fo | Forrest S Melton | AES | \$ 86,000 | \$ - | \$ 86,000 |
| 64 | Federal | 4/14/21 | Regents of UC Berkeley - US Dept. HHS | 22-24 CalSWEC Title IV E Scholarships | Maria A Gurrola | Social Work | \$ 614,831 | \$ 41,234 | \$ 656,065 |
| 65 | State | 4/15/20 | CA Public Utilities Commission | Additional funds for CalSPEED - CPUC Broadband Testing | Sathya R Narayanan, Young-Joon | SC&D | \$ 339,342 | \$ 101,922 | \$ 441,264 |
| 66 | Federal | 4/20/21 | County of Monterey/Health Dept-US Dept. HHS | Advancing Health Literacy to Enhance Equitable Community Responses to COVID-19 | Kim Judson, Ignacio Navarro | ICCS/HHSP | \$ 279,720 | \$ 120,280 | \$ 400,000 |
| 67 | State | 4/23/21 | Foundation for CA Community Colleges-CA Gov | GPS to STEM Success: Applications, Standards-Based Grading, and Social-Emotional Learning in | Alison G Lynch, Lipika Deka | Mathematics & | \$ 1,283,230 | \$ 590,175 | \$ 1,873,405 |
| 68 | Federal | 5/3/21 | US Bureau of Land Management-USDI | Return of the Natives to BLM/FONM Ensuring Native Plant Success and Future Conservation Leac | Laura L Lienk | AES | \$ 232,229 | \$ 40,640 | \$ 272,869 |
| 69 | Federal | 5/7/21 | CA Governor's Office of Emergency Services-FEM | Seismic Retrofit of Monterey County Peninsula Regional Emergency Coordination Center | Ken Folsom | Police Dept | \$ 1,312,500 | \$ 87,500 | \$ 1,400,000 |
| 70 | Federal | 5/7/21 | CA Governor's Office of Emergency Services-FEM | CSUMB Wildfire Fuel Reduction Project | Ken Folsom | Police Dept | \$ 225,000 | \$ 15,000 | \$ 240,000 |
| 71 | Federal | 5/16/21 | Bureau of Land Management/U.S. Department of | Return of the Natives to BLM/FONM Ensuring Native Plant Success and Future Conservation Leac | Laura L Lienk | AES | \$ 232,229 | \$ 10,640 | \$ 242,869 |
| 72 | Other | 5/18/21 | Big Sur Land Trust | Carr Lake Demonstration Garden 21-22 Weekend Stewardship and Education Events | Laura L Lienk | AES | \$ 13,595 | \$ 2,039 | \$ 15,634 |
| 73 | State | 5/19/21 | CA Dept. Forestry and Fire Protection | CSUMB Wildfire Fuel Reduction Project | Ken Folsom | Police Dept | \$ 245,536 | \$ 29,464 | \$ 275,000 |
| 74 | Local | 5/21/21 | Monterey Peninsula Unified School District | Eco Ambassadors School Gardens for AY21-22 | Laura L Lienk | AES | \$ 10,915 | \$ 1,091 | \$ 12,006 |
| 75 | Local | 5/21/21 | Monterey Peninsula Unified School District | Eco-Ambassadors Beach Field Trips for 4th grade classes | Laura L Lienk | AES | \$ 16,367 | \$ 1,637 | \$ 18,004 |

University Corporation at Monterey Bay
External Public Funding Report-Sponsored Programs
Current Public Pending Proposals - FY21 4th Quarter 7/1/20-6/30/21

| FY21 Continued | | | | | | | | | |
|----------------|----------|---------|---|---|---------------------------------|--------------------------------|----------------------|---------------------|----------------------|
| Type | Proposed | Sponsor | Proposal Title | P.I. | Department | Direct | Indirect | Total | |
| 76 | Federal | 6/1/21 | Coalition of Homeless Services Providers-Federal | CHSP Case Management Services Continuation | Maria A Gurrola | Social Work | \$ 50,277 | \$ 10,055 | \$ 60,332 |
| 77 | Federal | 6/1/21 | City of Salinas-Federal Emergency Management A | Project Room Key 3.0 | Maria A Gurrola, Kai Medina-Ma | Social Work | \$ 409,481 | \$ 81,896 | \$ 491,377 |
| 78 | Federal | 6/10/21 | Florida A&M University-NOAA/U.S. Department | Renewal: NOAA Center for Coastal and Marine Ecosystems | David C Garza | Marine Science | \$ 2,262,392 | \$ 169,385 | \$ 2,431,777 |
| 79 | Federal | 6/10/21 | University of Colorado Boulder-National Science | NSF Convergence Accelerator (Track E): Combining the power of citizen science and artificial intell | David C Garza | Marine Science | \$ 182,629 | \$ 61,331 | \$ 243,960 |
| 80 | Local | 6/11/21 | Monterey Peninsula Regional Park District | Planning and Restoration at Marina Dunes 21-22 | Laura L Lienk | AES | \$ 32,393 | \$ 2,591 | \$ 34,984 |
| 81 | Federal | 6/14/21 | Tufts University-National Science Foundation | NSF Convergence Accelerator (Track E): Ocean Science | Cheryl A Logan | Marine Science | \$ 91,154 | \$ 39,196 | \$ 130,350 |
| 82 | Federal | 6/14/21 | US Dept. Education | Integrating Interventions to Increase STEM Degree Attainment though Course-based and Co-curricular | John E Banks, Thomas G. Horvat | UROC/CoS | \$ 4,997,344 | \$ 422,262 | \$ 5,419,606 |
| 83 | Other | 6/18/21 | Zambian Carnivore Programme-World Wildlife Fu | Conservation Science in Zambia 4 | Frederick G Watson | AES | \$ 16,336 | \$ 1,634 | \$ 17,970 |
| 84 | Local | 6/18/21 | Transportation Agency for Monterey County | SCYP Partnership with TAMC | Daniel M Fernandez | AS | \$ 304,765 | \$ 85,070 | \$ 389,835 |
| 85 | Federal | 6/22/21 | US Dept. Education | Mejor Juntos Project: Interdiscip. Prep. of School Psychologists & School Social Workers with spe | Catherine M Draper Rodriguez, A | Education & L | \$ 1,212,778 | \$ 37,222 | \$ 1,250,000 |
| 86 | Federal | 6/25/21 | Coalition of Homeless Services Providers-Federal | CHSP Case Management Services-Continuation | Maria A Gurrola | Social Work | \$ 136,851 | \$ 27,370 | \$ 164,221 |
| 87 | Federal | 6/27/21 | U.S. Department of Education | Salinas Valley Futures GearUp | Kim M Barber | Early Outreach | \$ 8,037,037 | \$ 642,963 | \$ 8,680,000 |
| 88 | Federal | 6/28/21 | National Park Service/U.S. Department of Interior | Student Internships | Claudia V Carter | Extended Educ | \$ 8,478 | \$ 1,483 | \$ 9,961 |
| 89 | Federal | 6/28/21 | Bureau of Land Management/U.S. Department of | IRON Restoration at BLM 21-22-Graduate Assistants | Laura L Lienk | Applied Enviro | \$ 34,043 | \$ 5,957 | \$ 40,000 |
| 90 | Federal | 6/30/21 | University of Minnesota-National Science Founda | Agricultural Microbiome Research Coordination Network | Jose Pablo Dundore-Arias | Biology & Che | \$ 25,493 | \$ 10,962 | \$ 36,455 |
| | | | | | | FY21 Pending Proposals | \$ 33,700,511 | \$ 4,331,440 | \$ 38,031,951 |
| | | | | | | TOTAL PENDING PROPOSALS | \$ 41,080,598 | \$ 4,895,407 | \$ 45,976,005 |

Grants & Contracts (Direct vs Indirect) FY20-21 4th Quarter

| Quarter | Type | Federal | State | Private | Local | Other | Grand Total | IDC % |
|--------------------------|----------------|----------------------|---------------------|---------------------|------------------|-------------------|----------------------|---------------|
| 1st Quarter | Direct | 2,602,983.42 | 388,444.78 | 297,540.76 | 12,995.68 | 35,019.24 | 3,336,983.88 | |
| | Indirect | 282,966.25 | 49,218.32 | 14,058.82 | 30.74 | 1,248.20 | 347,522.33 | |
| 1st Quarter Total | | 2,885,949.67 | 437,663.10 | 311,599.58 | 13,026.42 | 36,267.44 | 3,684,506.21 | 10.41% |
| 2nd Quarter | Direct | 2,614,427.47 | 361,358.99 | 211,738.38 | 6,916.66 | 72,705.84 | 3,267,147.34 | |
| | Indirect | 230,447.33 | 52,718.68 | 14,378.27 | 230.44 | 271.81 | 298,046.53 | |
| 2nd Quarter Total | | 2,844,874.80 | 414,077.67 | 226,116.65 | 7,147.10 | 72,977.65 | 3,565,193.87 | 9.12% |
| 3rd Quarter | Direct | 2,900,212.69 | 478,618.63 | 293,080.81 | 19,422.59 | 93,262.83 | 3,784,597.55 | |
| | Indirect | 249,601.76 | 77,045.55 | 10,044.00 | 1,303.83 | 4,409.90 | 342,405.04 | |
| 3rd Quarter Total | | 3,149,814.45 | 555,664.18 | 303,124.81 | 20,726.42 | 97,672.73 | 4,127,002.59 | 9.05% |
| 4th Quarter | Direct | 3,755,434.54 | 655,291.71 | 318,343.02 | 29,743.01 | 8,862.81 | 4,767,675.09 | |
| | Indirect | 306,056.42 | 78,439.84 | 61,453.14 | 2,697.91 | 7,334.32 | 455,981.63 | |
| 4th Quarter Total | | 4,061,490.96 | 733,731.55 | 379,796.16 | 32,440.92 | 16,197.13 | 5,223,656.72 | 9.56% |
| Grand Total | | 12,942,129.88 | 2,141,136.50 | 1,220,637.20 | 73,340.86 | 223,114.95 | 16,600,359.39 | |
| | Total Direct | 11,873,058.12 | 1,883,714.11 | 1,120,702.97 | 69,077.94 | 209,850.72 | 15,156,403.86 | |
| | Total Indirect | 1,069,071.76 | 257,422.39 | 99,934.23 | 4,262.92 | 13,264.23 | 1,443,955.53 | 9.53% |
| | TOTAL | 12,942,129.88 | 2,141,136.50 | 1,220,637.20 | 73,340.86 | 223,114.95 | 16,600,359.39 | |