



UNIVERSITY CORPORATION
AT MONTEREY BAY

100 Campus Center Seaside, CA 93955-8001 831/582-3500

MEMORANDUM

TO: Corporation Board of Directors

VIA: Alan L. Fisher, VP of Administration and Finance/CFO
Maddison Burton, Associate Executive Director

FROM: Nancy Ayala

DATE: December 5, 2024

SUBJECT: Agenda and Read-Ahead Materials

Enclosed are the agenda and read-ahead materials for the Corporation Board of Directors meeting on Thursday, December 12, 2024. The meeting will begin at 8:30 a.m. in the board room at the Alumni and Visitor's Center. *Please note there will be a Board Fiduciary Training from 10:30 am. to 11:00 am.*

If you have any questions or concerns before the Board meeting, please contact me at nayala@csumb.edu.

Board of Directors

Thursday, December 12, 2024 8:30 a.m. – 10:30 a.m.

10:30 a.m. – 11 a.m. Board Training

Alumni and Visitors Center – Board Room

Light Breakfast will be served.

Meeting Agenda

- | | | |
|--------------|--|-------------|
| I. | Call to Order | V. Quiñones |
| II. | Governance | |
| 2 min. | A. Appointment of Directors
<i>Attachment II-A</i> | V. Quiñones |
| 2 min. | B. Appointment of Standing Committee Members
<i>Attachment II-B</i> | V. Quiñones |
| III. | Approval of Minutes | |
| 2 min. | A. Approval of July 11, 2024, meeting minutes | V. Quiñones |
| 2 min. | B. Approval of October 21, 2024, special board meeting minutes | V. Quiñones |
| IV. | Public Comment | V. Quiñones |
| V. | President’s Remarks | V. Quiñones |
| VI. | Executive Director’s Report | A. Fisher |
| VII. | Business | |
| 15 min. | A. Restricted & Operating Funds Investment Policy and Investment Committee Report Marquette
<i>(Action: Discussion and motion to approve the policy as presented)</i> | |
| 5 min. | B. Policy Review
<i>(Action: Discussion and motion to adopt new policies)</i> | N. Ayala |
| 20 min. | C. Funding Request for Academic 4
<i>(Action: Discussion and motion the funding request as presented)</i> | A. Fisher |
| VIII. | Reports | |
| 5 min. | A. Auxiliary Audit Committee Report | M Miller |
| 15 min. | B. 1 st Quarter 24/25 Financial Report | S. Baggett |
| 10 min. | C. External Funding Update – Sponsored Programs | C. Lopez |
| 10 min | D. Corporate and Foundation Relations – University Advancement | D. Wilson |
| IX. | Open Communications/Announcements | V. Quiñones |
| X. | Closed Session | Board |
| XI. | Board Fiduciary Responsibility Training | S. Pearson |
| XII. | Adjournment | V. Quiñones |

Note: In accordance with Education Code §89921 and Foundation Bylaws Article VI §5, this agenda provides notice of the business to be transacted (i.e., topics for Board discussion). Action may be taken on any item on the agenda. The italicized notations above are for guidance purposes only and the Board may take action on any item listed on the agenda, whether action is specifically prescribed.

**Corporation Executive Director Report
December 12, 2024**

**Presented by Alan Fisher, *Interim Vice President of Administration & Finance*
*Chief Financial Officer***

Key Updates Q3/Q4 2024

Vacancies: ([Corporation Job Board](#))

Administration Vacancies: * not yet posted

- Accounting Manager*
- Accounts Payable (AP) Technician*
- Accountant I*
- Accountant II*
- Contracts Coordinator*
- Events Assistant (part-time, temporary)
- Lead Grants and Contracts Analyst
- Post Award Grants Analyst
- Assignments and Customer Service Specialist
- Assistant Director for Organizational Development
- Front Office Supervisor
- Residential Life Coordinator

KAZU: Lead by Helen Barrington, General Manager

- KAZU is in the process of creating new policies and procedures to serve KAZU's business operations. This is helping to streamline a number of areas.
- Academic year 2024 Internship Cohort student, Oscar Jimenez (OJ), recently spent a semester studying political science and international relations at Kookmin University in Seoul, South Korea and with the support of station staff produced a story related to his studies that aired in October (and is on the [website](#)).

Accounting/Post Award: Lead by Sherry Baggett, Controller

- Completed quarterly financial statements, the annual audits and tax returns, CPB filing for KAZU, endowment survey, NSF Herd Survey
- Signed MOU with CSUMB to support more effective processing of Incentive Accounts.
- Accounts Payable (AP) is currently working with CSUMB's Organizational Performance department to review the overall structure and processes of AP.

Human Resources/ Payroll: Lead by Andrea Bozant, Interim Director of Human Resources

- Finalized and rolled out the new Manager and Supervisor Onboarding Guide to support new and current managers
- Concluded open enrollment and the two-day open enrollment fair, providing resources and guidance to ensure employees made informed benefits decisions. Rates increased and benefits were enhanced.

Contracts: Lead by Nancy Ayala, Governance & Compliance Manager

- Corporation will piggyback on the University's contract and implement MYCOI, a program that tracks Certificates of Insurance by a third party to make the turnaround for service agreements more timely.
- Request for Proposals (RFPs): Investment Consulting Service was awarded to Marquette Associates, Inc.; Property Management: still in process; Upcoming RFP: Auxiliary Auditing Services

Dining: Third Party Contractor, Chartwells / Contract Managed by Teresa Slaton, Director of Auxiliary Services and Operations

- Chartwells collaborated with Athletics and implemented a fresh vending machine in the Otter Sports Center so student-athletes and students working out can access more nutritious snacks. Additionally, Catering is providing concessions for home games, and we are actively working to have Otter Mobile provide concessions for Spring home games.
- The Dining Committee had its first meeting last week. Five students attended the first meeting. Future meetings will be for both faculty/staff and students. This first meeting focused on collecting feedback on new initiatives from this semester (including Monte's Oasis)
- Planning to remodel the Dining Commons (DC) back of house to be done over summer 2025. Funding will come from Chartwells initial investment. Estimated cost is \$900,000 of the \$1.1 million that we have remaining.

Bookstore: Third Party Contractor, Follett / Contract Managed by Teresa Slaton, Director of Auxiliary Services and Operations

- Production is in progress for a plush Monte. They will be available at the Campus Store in time for commencement.
- We are actively working with Otter Cross Cultural Center (OC3) and the affinity centers to reimagine the Affinity Wall in the Campus Store, which will celebrate our students' diverse identities with intentionally selected books and catered apparel/accessories.

Salinas City Center: Lead by Teresa Slaton, Director of Auxiliary Services and Operations

- Working closely with community leaders and elected officials in Salinas to ensure that any potential buyer is well-suited for the region. The Board will review any offers before a sale is finalized.

Child Care Center: Third Party Contractor, Early Development Services (EDS)/ Contract Managed by Maddison Burton, Associate Executive Director

- [Website](#) has been enhanced, and the database for the waitlist has been upgraded to be fully electronic.
- EDS will now be sending quarterly reports to the Corporation regarding Center enrollment to ensure eligibility at the CSUMB Center.

Pay It Forward: Lead by Jessica Carter, Program Director

- PIF Junior, Bio major, and aspiring dentist Shady Azer demonstrated adaptability and effective communication by recording a video presentation for the members of the Stillwater Club at Pebble Beach for their annual fundraiser for our program. We expect to secure funding for at least three full scholarships, with final results pending.
- Heading into our 18th year as a program here at CSUMB, our Fall 2025 Program Nomination Period opened in October. We've already received 50 nominations from 13 different local high schools. We anticipate a strong and steady influx of nominations, aiming for at least 150 to build a solid foundation of candidates.

Student Housing & Residential Life: Lead by Jeff Cooper, Director

- 3,312 participants thus far in Fall 2024 semester for residential programming. 35% increase from Fall 2023.
- Launched *Kelp Chronicles* newsletter to centralize all the important information monthly to residential students.
- For the academic year 2025/2026, student housing policy 402 requiring students to live on campus their second year will be paused to support our enrollment growth.
- Student Housing Policy ([402](#)) will include a temporary exemption for Fall 2025 and Spring 2026, to pause the second year (sophomore) live-on requirement. This will allow more available housing for first-time freshmen.

East Campus Housing: Third Party Contractor, Greystar/ Contract Managed by Teresa Slaton, Director of Auxiliary Services and Operations

- Schoonover Park (SP)- 93.52% Occupied
 - Communications went out to relocate (28) residents from Petersburg Court to another SP court and release Petersburg back to Student Housing by June 2025.
 - Adjusting the market rate for all new residents and current non-CSUMB residents by 8.8% (per CPI). CSUMB residents will continue to receive the 20% discount on the effective market rate.
 - Preparing monthly rent change proposal to be effective July 2025 (formal notification to the board in March). CSUMB rental rates have not been increased since 2021.
- CEHI- 92.54% Occupied
 - Property-Wide Fence project and Curb Painting is in progress.
 - Preparing Ground Sublease Program change to be effective July 2025, which will include a revision of the home sale formula.

CSUMB Foundation & University Corporation

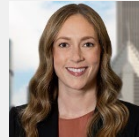


Presented by

Introductions – a culture of client service



- 12 years of investment experience
- Focus on non-profits and endowments & foundations
- B.S., Eastern Illinois University
- Member, Alternative Manager Search Committee, Sustainable Investing Group
- CERTIFIED FINANCIAL PLANNER®
- Fund Development Committee member and volunteer, SecureFutures
- Committee member and volunteer, Economic Literacy Colorado
- Committee member, Colorado Planned Giving Roundtable
- Member, Colorado Children's Foundation



Jessica Noviskis, CFA
Portfolio Strategist,
OCIO Services

- 16 years of investment experience
- Responsible for directing OCIO strategy and oversight of OCIO portfolios
- Chair, Alternative Manager Search Committee
- Member, Investment Committee and OCIO Committee
- B.B.A., University of Wisconsin-Madison
- M.S.M., University of Wisconsin-Milwaukee Lubar School of Business
- CFA® Charterholder
- Member, CFA Society of Chicago



Ali Johanson
Client Analyst,
OCIO Services

- Four years of investment experience
- Member of the OCIO Services Group
- Responsible for daily administration and management
- B.A. in finance and economics, The Ohio State University

Marquette at a glance

\$417B+

assets under advisement

400+

clients

38th

year in business

100%

employee-owned¹

25

partners

140+

employees

99%

client retention²

5

office locations

Chicago, Baltimore, Milwaukee,
Philadelphia, and St. Louis

GIPS[®]

Marquette claims compliance

OCIO Overview

Marquette OCIO services at a glance

Advisors

SINCE 1986

- ✎ Launched the discretionary practice in 2010, relying upon our longstanding advisory experience to assist clients looking to delegate duties
- ✎ Leverage our same Research and same field Consultants

\$27 billion AUM

DISCRETIONARY EXPERTISE

- ✎ 207 portfolios across 85+ relationships
- ✎ 99% OCIO client retention
- ✎ Diverse experience and client set across Taft-Hartley, Non-Profit, Public, Corporate, and Private Clients

14-Year Track Record

PROVEN RESULTS

- ✎ Marquette claims compliance with the Global Investment Performance Standards (GIPS®)
- ✎ 14-year performance track record
- ✎ 11-member OCIO Committee of senior consultants/research

Independent

CLIENTS COME FIRST

- ✎ Open architecture platform reduces potential conflicts
- ✎ Private, 100% employee-owned and operated
- ✎ Your success is our success – we're in this together

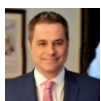
Dedicated to OCIO support



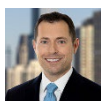
Nat Kellogg, CFA
President
Committee Chair
▶ 22 years of experience



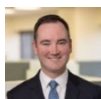
Greg Leonberger, FSA, EA, MAAA, FCA
Director of Research, Managing Partner
▶ 25 years of experience



Lee H. Martin, Ph.D.
Managing Director
▶ 23 years of experience



James R. Wesner, CFA
Managing Director
▶ 24 years of experience



Mike Piotrowski, CAIA
Managing Partner
▶ 20 years of experience



Kevin McDonnell, CPA, RPA
Associate Director of OCIO Services,
Assistant Vice President
▶ 9 years of experience



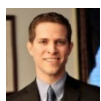
Linsey Schoemehl Payne, Esq.
Chief Compliance Officer,
Managing Partner
▶ 16 years of experience



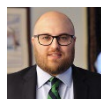
Jessica Noviskis, CFA
Portfolio Strategist, OCIO Services
▶ 16 years of experience



Tim Burdick, CFA
Managing Director
▶ 34 years of experience



Patrick Wing, CFA, CIPM
Managing Partner
▶ 20 years of experience



Terence Ryan
Associate Director of OCIO Services,
Vice President
▶ 18 years of experience



Ryan P. Tracy, CFP®
Voting Member

DEDICATED OCIO TEAM

Kevin McDonnell, CPA, RPA
Associate Director of OCIO Services,
Assistant Vice President

Terence Ryan
Associate Director of OCIO Services,
Vice President

Kat Armstrong, RPA
Assistant Vice President, OCIO Services

Andrea Blomquist
Client Analyst, OCIO Services

Ali Johanson
Client Analyst, OCIO Services

Artem Rozenshteyn
Associate Client Analyst, OCIO Services

Chris Poplawski
Associate Client Analyst, OCIO
Services

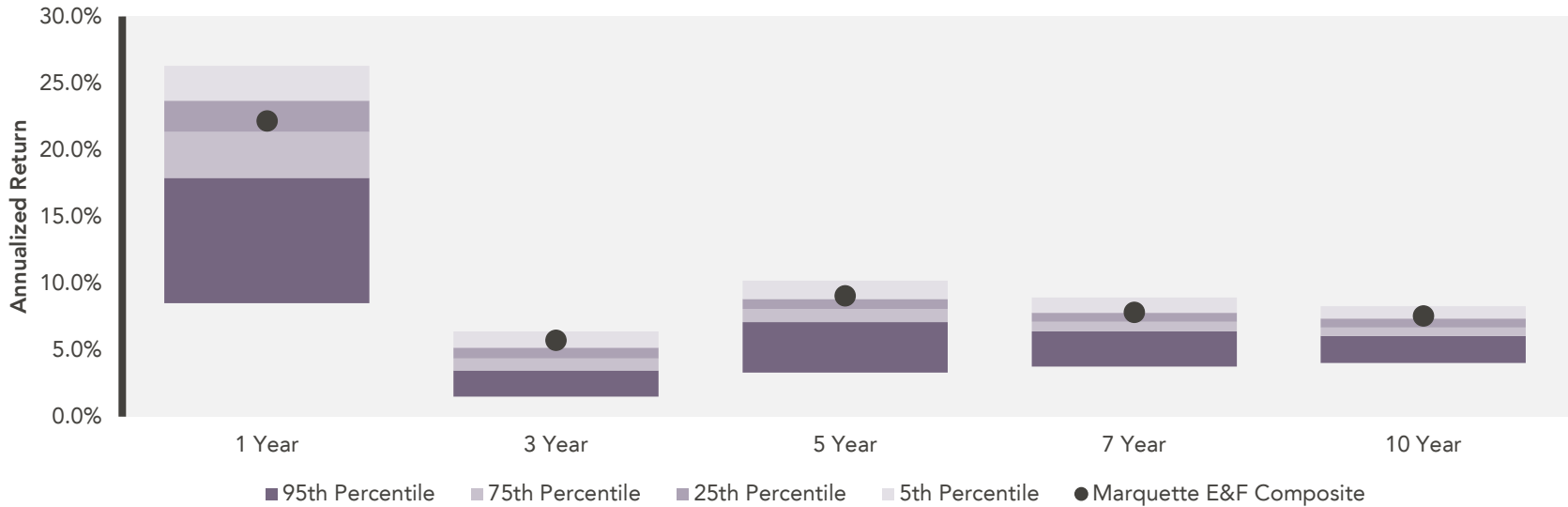
Previous vs. current consulting services

Fiduciary Role`	Verus Traditional Consulting	Marquette Associates OCIO Management
Investment Policy Statement/Asset Allocation		
Create Investment Policy Statement	CSUMB IC	CSUMB IC with Marquette
Evaluate cash flow, liability and liquidity requirements	CSUMB IC	CSUMB IC with Marquette
Asset allocation study including stress tests	CSUMB IC with Verus	CSUMB IC with Marquette
Determine portfolio structure	CSUMB IC	CSUMB IC with Marquette
Investment Managers		
Manager search	CSUMB IC with Verus	Marquette
Manager selection (hire/fire)	CSUMB IC	Marquette
Ongoing monitoring	CSUMB IC with Verus	Marquette
Fee negotiation	CSUMB Staff	Marquette
Operational Support		
Determine source of funding for disbursements	CSUMB Staff	Marquette
Prepopulate trade letters	CSUMB Staff	Marquette
Subscription Document Execution & Completion	CSUMB Staff	Marquette
Private Investment Capital Call & Distribution Facilitation	CSUMB Staff	Marquette
Rebalance assets to target	CSUMB Staff with Verus	Marquette - Auto-rebalance
Coordinate trades with custodian	CSUMB Staff	Marquette
Custody search & fee negotiation	CSUMB Staff	Marquette
Reporting/Client Education		
Monthly performance reports	CSUMB Staff with Verus	Marquette
Quarterly performance reports	Verus	Marquette
Monthly asset allocation review	CSUMB Staff with Verus	Marquette
Custom reports (including custom benchmarks, attribution)	CSUMB Staff with Verus	Marquette
Fiduciary education	N/A	Marquette

Transfer of fiduciary responsibilities

- Under **traditional** consulting, Marquette assumes the fiduciary responsibility defined by Section 3(21) of ERISA as an advisor
- Under **OCIO** consulting, Marquette assumes the fiduciary responsibility defined by Section 3(38) of ERISA as an investment manager:
 - ✓ Marquette accepts legal responsibility and liability for the decisions it makes
 - ✓ Marquette assumes responsibilities via an investment management agreement with client
 - ✓ Client can greatly reduce, but not completely eliminate its fiduciary responsibility

Marquette results



	1-Year	3-Year	5-Year	7-Year	10-Year
Marquette E&F Composite	22.2%	5.7%	9.1%	7.8%	7.6%
Median	21.4%	4.4%	8.1%	7.1%	6.7%

Governance calendar

Monthly

Performance Flashes

Ongoing Investment Cash Flows



Quarterly

Market Review

Full Performance
Package

Expenses

Liquidity Needs

Annually

Asset Allocation
Study

Governing Document
Review
(IPS, Spending Policy, etc.)

Comparison Study
Analysis

Cashflow Planning &
Management

Working with Marquette

Marquette's focus on client service

Attention

- ✓ Acknowledge that every client is unique
- ✓ Strong commitment to client service
- ✓ Proactive
- ✓ Stable and growing firm

Customization

- ✓ Forward-looking asset allocation model
- ✓ Research-based recommendations
- ✓ Alternative investments expertise

Execution

- ✓ Work closely with the Board
- ✓ "No surprises" approach
- ✓ Transparent reporting
- ✓ Focus on results

We do all the heavy lifting

We'll partner with your Investment Committee to develop investment policies that align with the Portfolio's specific objectives and constraints; we will coordinate everything to ensure a seamless transition

Teamwork

We'll work directly with your staff, custodian, accountant, and money managers on all investment-related items



Administration

We'll actively monitor your portfolios, facilitate timely and tactical rebalancing, complete legal documents, and negotiate fees on your behalf

Communication

Marquette has a 24-hour response policy for client requests; your dedicated service team is always on call and available

Purpose:

**Empower our
clients to meet their
investment
objectives**

Vision

Be a trusted partner to our clients through effective investment programs

Mission

Provide independent and thoughtful investment guidance

Why Marquette?

- ✓ Our people
- ✓ Independent expertise
- ✓ Focused client service
- ✓ Careful research



PREPARED BY MARQUETTE ASSOCIATES

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The sources of information used in this document are believed to be reliable. Marquette has not independently verified all of the information in this document and its accuracy cannot be guaranteed. Marquette accepts no liability for any direct or consequential losses arising from its use. The information provided herein is as of the date appearing in this material only and is subject to change without prior notice. Thus, all such information is subject to independent verification, and we urge clients to compare the information set forth in this statement with the statements you receive directly from the custodian in order to ensure accuracy of all account information. Past performance does not guarantee future results and investing involves risk of loss. No graph, chart, or formula can, in and of itself, be used to determine which securities or investments to buy or sell.

Forward-looking statements, including without limitation any statement or prediction about a future event contained in this presentation, are based on a variety of estimates and assumptions by Marquette, including, but not limited to, estimates of future operating results, the value of assets and market conditions. These estimates and assumptions, including the risk assessments and projections referenced, are inherently uncertain and are subject to numerous business, industry, market, regulatory, geopolitical, competitive, and financial risks that are outside of Marquette's control. There can be no assurance that the assumptions made in connection with any forward-looking statement will prove accurate, and actual results may differ materially. Indices have been selected for comparison purposes only. Client account holdings may differ significantly from the securities in the indices and the volatility of the index may be materially different from client account performance. You cannot invest directly in an index.

The inclusion of any forward-looking statement herein should not be regarded as an indication that Marquette considers forward-looking statements to be a reliable prediction of future events. The views contained herein are those of Marquette and should not be taken as financial advice or a recommendation to buy or sell any security. Any forecasts, figures, opinions, or investment techniques and strategies described are intended for informational purposes only. They are based on certain assumptions and current market conditions, and although accurate at the time of writing, are subject to change without prior notice. Opinions, estimates, projections, and comments on financial market trends constitute our judgment and are subject to change without notice. Marquette expressly disclaims all liability in respect to actions taken based on any or all of the information included or referenced in this document. The information is being provided based on the understanding that each recipient has sufficient knowledge and experience to evaluate the merits and risks of investing.

This presentation does not constitute an offer to sell, or a solicitation of an offer to buy, any interest in any investment vehicle, and should not be relied on as such. Targets, ranges and expectations set forth in this presentation are approximations; actual results may differ.

Composite net-of-fee returns are calculated using actual advisory fees charged for discretionary (OCIO) services. Discretionary management fees are charged quarterly in arrears, and as such, each quarter's fee is allocated evenly across the three months of the quarter in which services were performed. The discretionary advisory fees are applied using the cash basis form of accounting. For example, the 1Q invoice amount paid in 2Q will be used for 2Q net-of-fee calculations. The performance data output from our third-party reporting vendor, Investment Metrics, LLC, is already net of the underlying investment manager fees, so the application of the Marquette discretionary management fee results in a net-of-all-management fees presentation. The peer group used for comparison purposes is Investment Metrics. Investment Metrics is a financial software company that provides investment performance calculation and analysis software and peer group data for over 20,000 asset owner plans and \$14 trillion in assets. It is not owned or affiliated with Marquette in any capacity.

ABOUT MARQUETTE ASSOCIATES

Marquette was founded in 1986 with the sole objective of providing investment consulting at the highest caliber of service. Our expertise is grounded in our commitment to client service — our team aims to be a trusted partner and as fiduciaries, our clients' interests and objectives are at the center of everything we do. Our approach brings together the real-world experience of our people and our dedication to creativity and critical thinking in order to empower our clients to meet their goals. Marquette is an independent investment adviser registered under the Investment Advisers Act of 1940, as amended. Registration does not imply a certain level of skill or training. More information about Marquette including our investment strategies, fees and objectives can be found in our ADV Part 2, which is available upon request and on our website. For more information, please visit www.MarquetteAssociates.com.

YEAR END	TOTAL FIRM ASSETS (\$M)	COMPOSITE ASSETS (\$M)	NUMBER OF ACCOUNTS	COMPOSITE ANNUAL PERFORMANCE RESULTS		POLICY INDEX	COMPOSITE DISPERSION	COMPOSITE 3-YR STD. DEVIATION	BENCHMARK 3-YR STD. DEVIATION
				GROSS	NET				
2023	15,639.2	759.6	13	15.49%	15.32%	15.41%	1.22%	11.81%	12.08%
2022	14,062.5	733.2	14	-13.24%	-13.39%	-15.65%	1.63%	14.31%	13.86%
2021	15,144.1	578.1	12	14.69%	14.58%	10.61%	1.36%	11.96%	11.18%
2020	11,818.4	305.5	9	13.44%	13.14%	13.64%	1.78%	12.53%	11.89%
2019	8,216.8	415.8	6	18.33%	18.23%	20.31%	0.91%	7.10%	7.22%
2018	5,086.9	348.9	6	-5.07%	-5.16%	-6.00%	0.99%	6.33%	6.89%
2017	4,529.4	367.9	6	15.75%	15.62%	16.45%	0.92%	5.19%	6.83%
2016	2,990.1	223.7	6	7.52%	7.36%	6.17%	1.15%	5.96%	7.32%
2015	2,753.5	179.6	5	0.65%	0.54%	-1.13%	1.31%	N.A. ²	N.A. ²

*Composite and benchmark data is for partial year, calculated from October 1, 2013 through December 31, 2013.

¹ - Information is not statistically meaningful due to an insufficient number of portfolios in the composite for the entire year.

² - The three-year annualized standard deviation measures the variability of the composite and the benchmark returns over the preceding 36-month period. The three-year annualized standard deviation is not presented for 2013 through 2015 due to less than 36 months of composite and benchmark data.

	COMPOSITE ANNUAL PERFORMANCE RESULTS		POLICY INDEX
	GROSS	NET	
1-Yr	15.49%	15.32%	15.41%
5-Yr	9.08%	8.90%	8.08%
ITD	6.89%	6.74%	5.92%

The **Marquette Endowments & Foundations Composite** includes all endowment, foundation, and non-profit long-term reserve portfolios created, managed, and maintained by the Marquette Associates OCIO Committee that meet the parameters defined in this disclosure. The total long-only public equity allocations of accounts included in the Marquette Endowments & Foundations Composite generally range from 55% to 75%. Within each account's total equity allocation, the domestic allocation has generally been higher than international. The duration of the fixed income portion of portfolios has generally ranged from 3.5 to 6.5 years. The composite benchmark is a custom-made blend of indices that represent the investment universes of the primary asset classes in which the underlying accounts invest. The component weights for the Policy Index are as follows: 60% MSCI All Country World Index (net), 35% Bloomberg US Aggregate Bond Index, and 5% HFRI FOF Index. The Marquette Endowments & Foundations Composite was formerly known as the Dynamic Portfolio Endowments & Foundations Composite. The inception date of the composite is October 1, 2013, with the creation date of June 30, 2018. The firm's list of composite descriptions is available upon request.

Marquette Associates, Inc., ("Marquette") is an independent investment advisor registered under the Investment Advisers Act of 1940. Marquette claims compliance with the Global Investment Performance Standards (GIPS®) and has prepared and presented this report in compliance with the GIPS standards. Marquette has been independently verified for the periods July 31, 2013 – December 31, 2023. The verification reports are available upon request to Marquette Marketing at marquettemarketing@marquetteassociates.com. A firm that claims compliance with the GIPS standards must establish policies and procedures for complying with all the applicable requirements of the GIPS standards. Verification provides assurance on whether the firm's policies and procedures related to composite and pooled fund maintenance, as well as the calculation, presentation, and distribution of performance, have been designed in compliance with the GIPS standards and have been implemented on a firm-wide basis. Verification does not provide assurance on the accuracy of any specific performance report. GIPS® is a registered trademark of CFA Institute. CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein. Policies for valuing investments, calculating performance, and preparing GIPS Reports are available upon request.

Results are based on fully discretionary accounts under management, which may include accounts no longer with the firm. Composite performance is presented net of foreign withholding taxes on dividends, interest income, and capital gains. Withholding taxes may vary according to the investor's domicile. Composite returns represent investors domiciled in the United States. Past performance is not indicative of future results. The U.S. dollar is the currency used to express performance. Returns are presented gross and net of management fees and include the reinvestment of all income. Net-of-fee performance was calculated using actual management fees. The annual composite dispersion presented is an asset-weighted standard deviation calculated for the accounts in the composite the entire year. The composite dispersion is calculated using gross returns. The 3-year ex-post standard deviation is calculated using net returns.

The investment management fee schedule for Marquette discretionary services is 0.20% on the first \$50 million, 0.10% on the next \$100 million, 0.05% on the next \$100 million, 0.02% on the next \$250 million, and 0.01% on the remainder. Actual discretionary advisory fees incurred by clients may vary upon many factors, including servicing and portfolio complexity.

CSUMB Corporation Allocation Presentation

CSUMB Corporation Operating Fund



Presenters

Ryan P. Tracy, CFP®
Senior Vice President

California State University Monterey Bay

	TICKER	TOTAL ASSETS	ALLOCATIONS	REBALANCING	TOTAL ASSETS	ALLOCATIONS	LEGACY TARGET	POLICY DIFF.
CSUMB- Operating		51,345,254	100.0%	0	51,345,254	100.0%	100.0%	
Cash & Equivalents								
Schwab Cash	SWGXX	2,108	0.0%	0	2,108	0.0%	0.0%	-
Total Cash & Equivalents		2,108	0.0%	0	2,108	0.0%	0.0%	(\$2,108)
U.S. Fixed Income								
Met West Total Return Bond I	MWTIX	6,155,416	12.0%	0	6,155,416	12.0%	12.5%	-
Dodge & Cox Income	DODIX	6,169,279	12.0%	0	6,169,279	12.0%	12.5%	-
Vanguard Inflation- Protected Securities	VAIPX	7,378,338	14.4%	0	7,378,338	14.4%	15.0%	-
Vanguard Short-Term Bond	VBIRX	4,904,937	9.6%	0	4,904,937	9.6%	10.0%	-
Total U.S. Fixed Income		24,607,970	47.9%	0	24,607,970	47.9%	50.0%	(\$24,607,970)
U.S. Equity								
Schwab Fundamental US Large Company Index	SFLNX	3,955,762	7.7%	0	3,955,762	7.7%	7.5%	-
Vanguard 500 Index	VFIAX	4,005,897	7.8%	0	4,005,897	7.8%	7.5%	-
Vanguard FTSE Social Index	VFTNX	8,030,856	15.6%	0	8,030,856	15.6%	15.0%	-
Total U.S. Equity		15,992,515	31.1%	0	15,992,515	31.1%	30.0%	(\$15,992,515)
Non-U.S. Equity								
Schwab Fundamental Intl Large Company Index	SFNNX	2,671,276	5.2%	0	2,671,276	5.2%	5.0%	-
Dodge & Cox Int'l Stock	DODFX	1,321,549	2.6%	0	1,321,549	2.6%	2.5%	-
WCM Focused Intl Growth	WCMIX	2,720,728	5.3%	0	2,720,728	5.3%	5.0%	-
DFA Intl Sustainability Core I	DFSPX	4,029,108	7.8%	0	4,029,108	7.8%	7.5%	-
Total Non-U.S. Equity		10,742,660	20.9%	0	10,742,660	20.9%	20.0%	(\$10,742,660)

Cashflows

Portfolio Value (08/31/2024):	\$51,345,254
Distributions since January 2023:	\$5,000,000
Annualized Distribution:	\$3,000,000 (\$5m/1.67yrs)

Cash Needs

1. Res Hall Plumbing

When:	Current & 2025
Amount:	?

2. Academic IV Building

When:	?
Amount:	\$4,000,000

3. Res Hall Building Conversion (study)

When:	?
Amount:	\$1,000,000

Current spend:

\$3m/\$51M = **5.84%**

Current spend + #2 + #3:

\$8M/\$51M = 15.58%

Current spend + \$20M:

\$23M/\$51M = 44.8%

Note: Initial discussion said needs \$20M in project cashflows over next 3yrs in addition to annual spend of \$3M.

Asset allocation consideration

Allocation by Broad Asset Class	Current Allocation	\$3M Spending (Annual)	\$8M Spending (next 2yrs)	\$23M Spending (next 3yrs)
Fixed Income	48.00%	35.00%	40.50%	61.50%
U.S. Equity	31.10%	44.75%	41.25%	26.25%
Non-U.S. Equity	20.90%	20.25%	18.25%	12.25%
Hedge Funds				
Real Assets				
Illiquid Assets				
Portfolio Characteristics - <u>Gross of proposed MAI Fee</u>				
	Current Allocation	\$3M Spending (Annual)	\$8M Spending (next 2yrs)	\$23M Spending (next 3yrs)
Avg. Ann.10 Yr. Return	6.60%	7.37%	7.10%	6.14%
Avg. Ann. 10 Yr. Volatility	9.30%	10.59%	9.67%	6.33%
Avg. Return/Avg. Volatility	0.71	0.70	0.73	0.97
Portfolio Characteristics - <u>Net of Proposed MAI Fee</u>				
	Current Allocation	\$3M Spending (Annual)	\$8M Spending (next 2yrs)	\$23M Spending (next 3yrs)
Avg. Annualized 10 Yr. Return	6.43%	7.20%	6.93%	5.97%
Avg. Annualized 10 Yr. Volatility	9.30%	10.59%	9.67%	6.33%
Avg. Return/Avg. Volatility	0.69	0.68	0.72	0.94

Asset allocation consideration

	Asset Classes	Grouping	Expected Return	Current Allocation	\$3M Spending (Annual)	\$8M Spending (next 2yrs)	\$23M Spending (next 3yrs)
Fixed Income	Core Bonds	Fixed Income	4.82%	24.00%	23.00%	20.50%	14.25%
	Short Govt/Credit	Fixed Income	4.16%	9.60%		9.00%	39.00%
	91 Day T-Bills	Fixed Income	3.54%	0.00%	2.00%	2.00%	2.00%
	TIPS	Fixed Income	4.74%	14.40%			
	Opportunistic Credit	Fixed Income	7.54%		10.00%	9.00%	6.25%
U.S. Equity	Large-Cap Core	U.S. Equity	7.24%	23.40%	29.50%	27.50%	16.50%
	Large-Cap Value	U.S. Equity	7.30%	7.70%			
	Mid-Cap Core	U.S. Equity	7.70%		8.50%	7.50%	4.75%
	Small-Cap Core	U.S. Equity	8.13%		4.00%	3.50%	2.25%
Non-U.S. Equity	Developed Large-Cap	Non-U.S. Equity	7.58%	7.80%	9.00%	8.00%	5.00%
	Developed Large-Cap Growth	Non-U.S. Equity	7.65%	5.30%			
	Developed Large-Cap Value	Non-U.S. Equity	7.41%	7.80%			
	Non-US Small-Cap	Non-U.S. Equity	8.21%		3.50%	3.25%	2.00%
	Emerging Markets	Non-U.S. Equity	8.11%		5.50%	4.75%	3.00%
	Global Low Volatility ²	Non-U.S. Equity	6.02%		5.00%	5.00%	5.00%

¹Global Equity is composed of 60% U.S. Equity and 40% Non-U.S. Equity based on the MSCI ACWI Index.

²Global Low Volatility is composed of 55% U.S. Equity and 45% Non-U.S. Equity based on the MSCI ACWI Minimum Volatility Index.

³Illiquid Assets

Asset allocation consideration – FI Options

Allocation by Broad Asset Class	40/60	35/65	30/70	25/75	20/80
Fixed Income	60.00%	65.00%	70.00%	75.00%	80.00%
U.S. Equity	27.25%	23.75%	20.25%	16.75%	13.25%
Non-U.S. Equity	12.75%	11.25%	9.75%	8.25%	6.75%
Hedge Funds					
Real Assets					
Illiquid Assets					
Portfolio Characteristics - <u>Gross of proposed MAI Fee</u>					
	40/60	35/65	30/70	25/75	20/80
Avg. Ann.10 Yr. Return	6.57%	6.38%	6.20%	6.01%	5.80%
Avg. Ann. 10 Yr. Volatility	7.11%	6.49%	5.92%	5.42%	5.01%
Avg. Return/Avg. Volatility	0.92	0.98	1.05	1.11	1.16
Portfolio Characteristics - <u>Net of Proposed MAI Fee</u>					
	40/60	35/65	30/70	25/75	20/80
Avg. Annualized 10 Yr. Return	6.39%	6.21%	6.03%	5.83%	5.63%
Avg. Annualized 10 Yr. Volatility	7.11%	6.49%	5.92%	5.42%	5.01%
Avg. Return/Avg. Volatility	0.90	0.96	1.02	1.08	1.12

Asset allocation consideration – FI Options

Asset Classes		Grouping	Expected Return	40/60	35/65	30/70	25/75	20/80
Fixed Income	Core Bonds	Fixed Income	4.82%	48.00%	53.00%	58.00%	63.00%	68.00%
	91 Day T-Bills	Fixed Income	3.54%	2.00%	2.00%	2.00%	2.00%	2.00%
	Opportunistic Credit	Fixed Income	7.54%	10.00%	10.00%	10.00%	10.00%	10.00%
U.S. Equity	Large-Cap Core	U.S. Equity	7.24%	17.00%	14.75%	12.25%	9.75%	7.50%
	Mid-Cap Core	U.S. Equity	7.70%	5.00%	4.25%	3.50%	2.75%	2.00%
	Small-Cap Core	U.S. Equity	8.13%	2.50%	2.00%	1.75%	1.50%	1.00%
Non-U.S. Equity	Broad Non-US Equity	Non-U.S. Equity	7.72%	5.25%	4.50%	3.75%	3.00%	2.25%
	Non-US Small-Cap	Non-U.S. Equity	8.21%	2.00%	1.75%	1.50%	1.25%	1.00%
	Emerging Markets	Non-U.S. Equity	8.11%	3.25%	2.75%	2.25%	1.75%	1.25%
	Global Low Volatility ²	Non-U.S. Equity	6.02%	5.00%	5.00%	5.00%	5.00%	5.00%

¹Global Equity is composed of 60% U.S. Equity and 40% Non-U.S. Equity based on the MSCI ACWI Index.

²Global Low Volatility is composed of 55% U.S. Equity and 45% Non-U.S. Equity based on the MSCI ACWI Minimum Volatility Index.

³Illiquid Assets

Purpose:

Empower our clients to meet their investment objectives

Vision

Be a trusted partner to our clients through effective investment programs

Mission

Provide independent and thoughtful investment guidance

Why Marquette?

- ✓ Our people
- ✓ Independent expertise
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CSUMB Corporation 3Q24 Verus Report

University Corporation at Monterey Bay - Operating

Investment Performance Review
Period Ending: September 30, 2024



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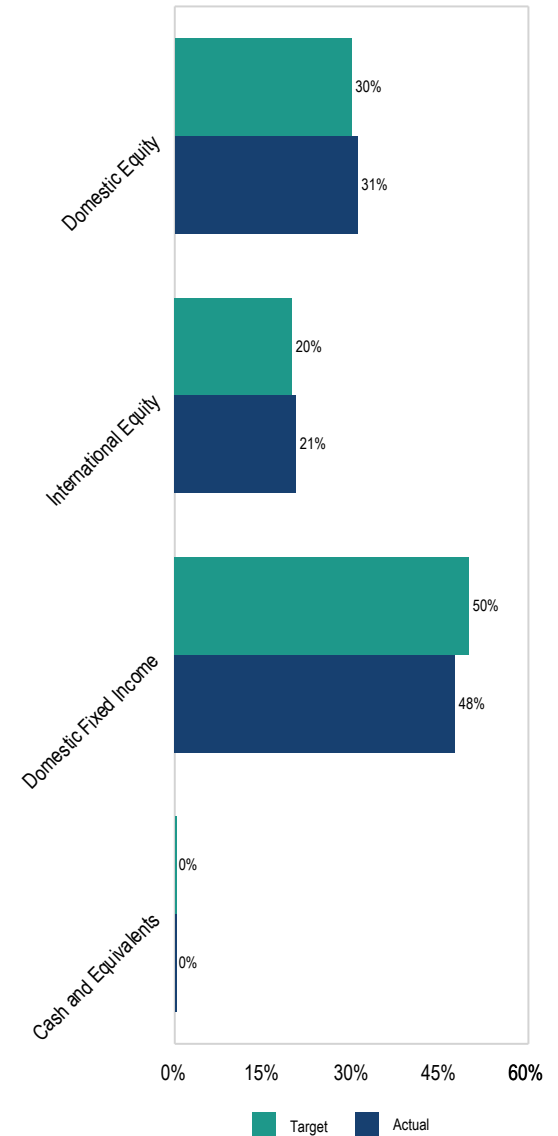
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Operating Total Fund
Executive Summary (Net of Fees)

University Corporation at Monterey Bay - Operating
Period Ending: September 30, 2024

	Market Value	% of Portfolio	3 Mo	YTD	1 Yr	3 Yrs	5 Yrs	10 Yrs	15 Yrs	Inception	Inception Date
Operating Total Fund	52,106,992	100.0	5.7	11.6	21.3	5.5	7.6	5.7	6.1	5.8	Apr-07
<i>Operating Policy Index</i>			5.4	11.3	20.4	4.6	7.2	5.7	5.8	5.1	
Total Domestic Equity	16,325,703	31.3	6.0	20.7	35.6	13.5	16.6	13.0	14.0	10.3	Apr-07
<i>S&P 500 Index</i>			5.9	22.1	36.4	11.9	16.0	13.4	14.1	10.5	
Total Int'l Equity	10,843,276	20.8	7.4	13.5	25.7	6.5	9.7	5.5	6.4	4.0	Apr-07
<i>MSCI EAFE (Net)</i>			7.3	13.0	24.8	5.5	8.2	5.7	6.0	3.6	
Total Domestic Fixed Income	24,935,905	47.9	4.8	5.1	11.1	-0.4	1.5	2.1	2.8	3.4	Apr-07
<i>Blended Fixed Income Benchmark</i>			4.3	4.4	9.8	-0.4	1.1	1.8	2.3	2.8	
Total Cash	2,109	0.0	0.1	0.3	0.4	0.3	0.2	0.3	0.2	0.5	Apr-07
<i>90 Day U.S. Treasury Bill</i>			1.4	4.0	5.5	3.5	2.3	1.6	1.1	1.3	

Actual vs. Target Allocation (%)



Composition of blended benchmarks are shown on data sources and methodology page. Vanguard Short Term Investment Grade Adm and PIMCO All Asset Ins liquidated on 6/1/20. WCM Focused Intl Growth Ins funded on 6/1/20. Fiscal year end: 6/30.

Operating Total Fund
Executive Summary (Net of Fees)

University Corporation at Monterey Bay - Operating
Period Ending: September 30, 2024

	Market Value	% of Portfolio	3 Mo	YTD	1 Yr	3 Yrs	5 Yrs	10 Yrs	15 Yrs	2023	2022	2021	2020	2019	Inception	Inception Date
Operating Total Fund	52,106,992	100.0	5.7	11.6	21.3	5.5	7.6	5.7	6.1	14.3	-11.3	11.9	10.2	13.3	5.8	Apr-07
Operating Policy Index			5.4	11.3	20.4	4.6	7.2	5.7	5.8	13.8	-13.0	10.5	12.9	13.0	5.1	
InvMetrics All E&F < \$50mm			51	55	56	16	55	76	86	48	21	53	75	86		
Total Domestic Equity	16,325,703	31.3	6.0	20.7	35.6	13.5	16.6	13.0	14.0	26.0	-12.7	30.2	14.3	30.2	10.3	Apr-07
S&P 500 Index			5.9	22.1	36.4	11.9	16.0	13.4	14.1	26.3	-18.1	28.7	18.4	31.5	10.5	
InvMetrics All E&F US Equity			79	7	5	1	1	6	1	18	3	3	97	55		
Schwab Fundamental US Large Company Index	4,024,889	7.7	7.8	17.6	30.7	12.2	15.1	11.9	-	18.1	-6.9	31.6	9.2	28.9	13.1	Mar-10
S&P 500 Index			5.9	22.1	36.4	11.9	16.0	13.4	14.1	26.3	-18.1	28.7	18.4	31.5	14.2	
Large Cap			22	64	63	11	39	50	-	64	21	5	68	54		
Vanguard 500 Index Adm	4,091,238	7.9	5.9	22.0	36.3	11.9	15.9	13.3	14.1	26.2	-18.1	28.7	18.4	31.5	10.5	Mar-07
S&P 500 Index			5.9	22.1	36.4	11.9	16.0	13.4	14.1	26.3	-18.1	28.7	18.4	31.5	10.6	
Large Cap			47	29	34	14	26	25	24	38	50	18	45	30		
Vanguard FTSE Social Index Fund- Instl	8,209,575	15.8	5.3	21.6	37.7	10.7	16.2	13.9	14.6	32.7	-24.2	27.8	22.7	34.0	30.9	Mar-23
S&P 500 Index			5.9	22.1	36.4	11.9	16.0	13.4	14.1	26.3	-18.1	28.7	18.4	31.5	28.5	
Large Blend			71	36	12	51	14	3	2	3	98	41	10	6		
Total Int'l Equity	10,843,276	20.8	7.4	13.5	25.7	6.5	9.7	5.5	6.4	19.0	-13.3	14.2	8.9	20.4	4.0	Apr-07
MSCI EAFE Net			7.3	13.0	24.8	5.5	8.2	5.7	6.0	18.2	-14.5	11.3	7.8	22.0	3.6	
Schwab Fundamental Intl Large Company Index	2,698,910	5.2	6.9	11.6	21.9	7.9	9.6	6.0	-	19.9	-7.9	14.4	4.4	18.1	5.7	Jul-11
MSCI EAFE Net			7.3	13.0	24.8	5.5	8.2	5.7	6.0	18.2	-14.5	11.3	7.8	22.0	5.6	
MSCI EAFE Value Index Net			8.9	13.8	23.1	8.9	8.3	4.6	4.9	19.0	-5.6	10.9	-2.6	16.1	4.8	
Foreign Large Value			75	71	57	39	23	10	-	22	42	26	31	52		
Dodge & Cox Int'l Stock	1,368,414	2.6	10.0	13.4	21.2	8.1	9.1	4.8	6.3	16.7	-6.8	11.0	2.1	22.8	4.1	Mar-07
MSCI AC World ex USA Net			8.1	14.2	25.4	4.1	7.6	5.2	5.5	15.6	-16.0	7.8	10.7	21.5	3.8	
MSCI AC World ex USA Value Net			9.3	14.4	24.0	7.5	7.8	4.3	4.6	17.3	-8.6	10.5	-0.8	15.7	2.9	
Foreign Large Value			16	37	65	34	30	48	15	61	26	57	54	12		
WCM Focused Intl Growth Ins	2,695,080	5.2	4.7	15.4	29.0	0.3	10.3	10.0	-	16.6	-28.9	17.0	32.8	35.2	10.1	Jun-20
MSCI AC World ex USA Net			8.1	14.2	25.4	4.1	7.6	5.2	5.5	15.6	-16.0	7.8	10.7	21.5	10.7	
MSCI AC World ex USA Growth Net			6.9	14.1	26.7	0.8	7.1	6.0	6.2	14.0	-23.1	5.1	22.2	27.3	7.8	
Foreign Growth			80	20	27	39	9	1	-	41	73	7	15	7		
DFA Intl Sustainability Core 1	4,080,872	7.8	8.7	13.6	27.6	4.3	8.7	6.1	6.3	18.3	-17.7	12.1	11.6	24.2	20.3	Sep-23
MSCI EAFE (Net)			7.3	13.0	24.8	5.5	8.2	5.7	6.0	18.2	-14.5	11.3	7.8	22.0	18.8	
Foreign Large Blend			16	34	10	56	25	20	29	22	77	27	30	24		

Composition of blended benchmarks are shown on data sources and methodology page. Vanguard Short Term Investment Grade Adm and PIMCO All Asset Ins liquidated on 6/1/20. WCM Focused Intl Growth Ins funded on 6/1/20. Vanguard FTSE Social Index I funded 3/1/23. DFA Intl. Sustainability Core 1 funded 9/1/2023. Fiscal year end: 6/30.

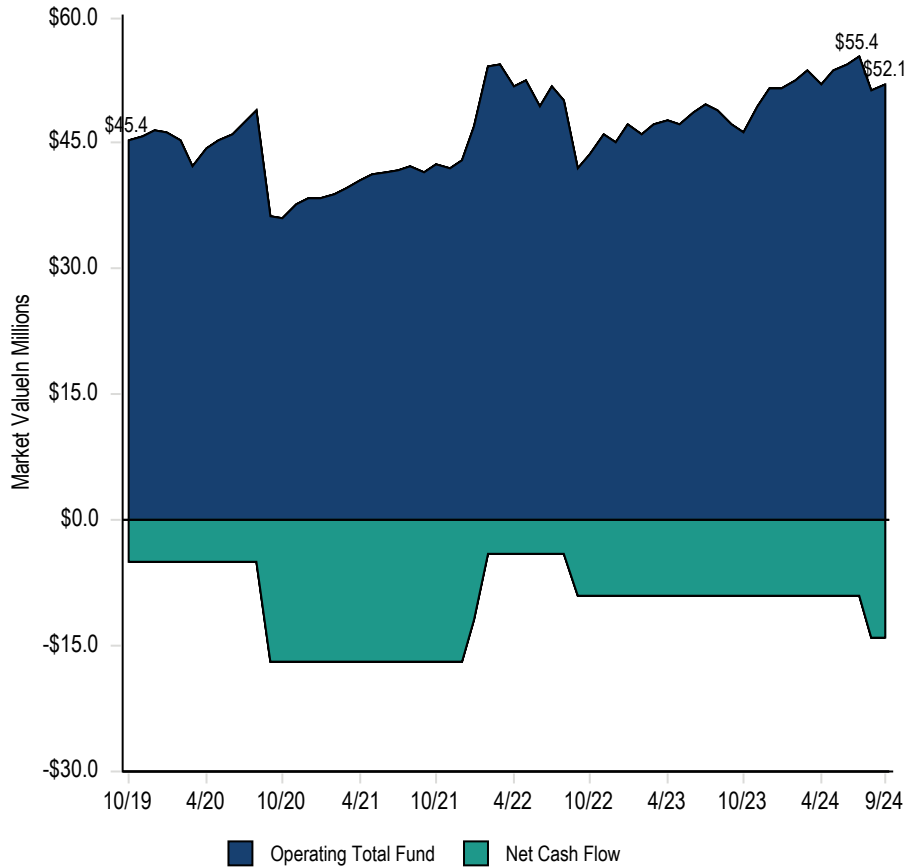
Operating Total Fund
Executive Summary (Net of Fees)

University Corporation at Monterey Bay - Operating
Period Ending: September 30, 2024

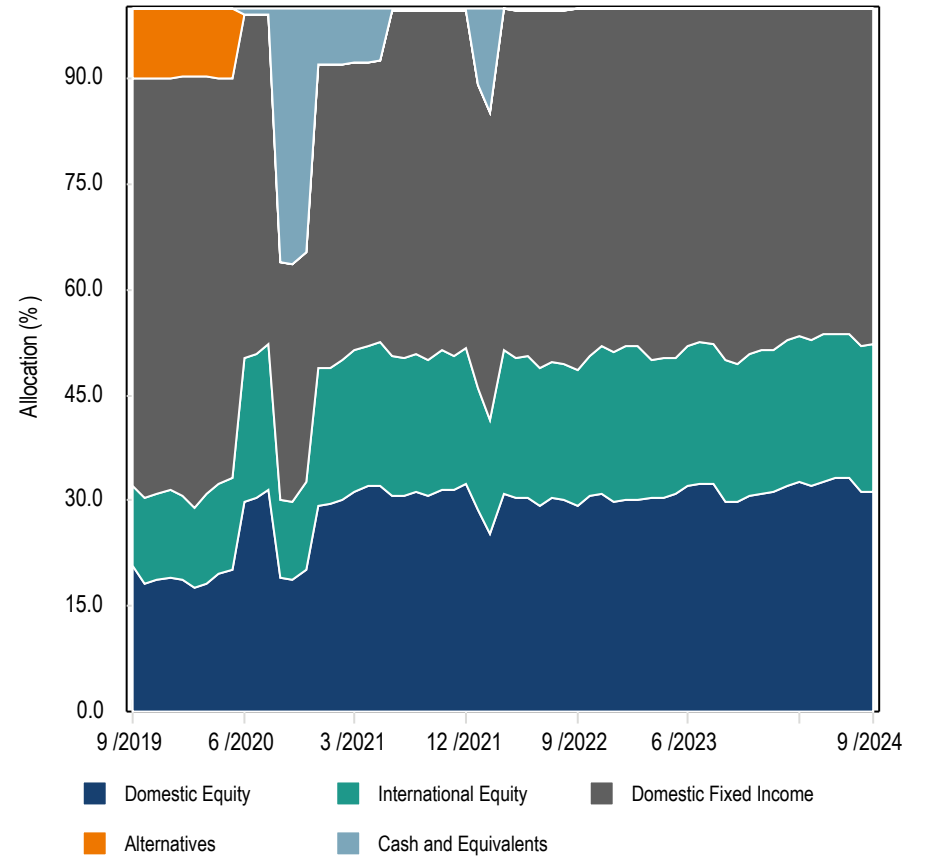
	Market Value	% of Portfolio	3 Mo	YTD	1 Yr	3 Yrs	5 Yrs	10 Yrs	15 Yrs	2023	2022	2021	2020	2019	Inception	Inception Date
Total Domestic Fixed Income	24,935,905	47.9	4.8	5.1	11.1	-0.4	1.5	2.1	2.8	5.5	-11.1	0.9	8.0	7.1	3.4	Apr-07
<i>Blended Fixed Income Benchmark</i>			4.3	4.4	9.8	-0.4	1.1	1.8	2.3	4.9	-10.0	0.2	6.2	6.8	2.8	
<i>InvMetrics All E&F US Fixed Income</i>			58	58	63	45	30	56	89	74	32	11	53	79		
TCW MetWest Total Return Bond I	6,237,479	12.0	5.7	4.8	12.6	-1.8	0.5	1.9	3.6	6.0	-14.8	-1.1	9.1	9.1	1.8	Dec-14
<i>Blmbg. U.S. Aggregate Index</i>			5.2	4.4	11.6	-1.4	0.3	1.8	2.6	5.5	-13.0	-1.5	7.5	8.7	1.7	
<i>Intermediate Core Bond</i>			5	36	11	80	46	39	3	28	91	27	21	25		
Dodge & Cox Income	6,256,386	12.0	5.6	5.8	13.5	0.4	2.1	2.9	3.7	7.7	-10.9	-0.9	9.5	9.7	2.8	Dec-14
<i>Blmbg. U.S. Aggregate Index</i>			5.2	4.4	11.6	-1.4	0.3	1.8	2.6	5.5	-13.0	-1.5	7.5	8.7	1.7	
<i>Intermediate Core Bond</i>			7	6	5	2	1	1	3	1	8	19	15	9		
Vanguard Inflation-Protected Securities Adm	7,489,563	14.4	4.2	5.0	9.8	-0.6	2.5	2.4	3.1	3.8	-11.9	5.7	11.0	8.2	3.5	Mar-07
<i>Bloomberg U.S. TIPS</i>			4.1	4.9	9.8	-0.6	2.6	2.5	3.2	3.9	-11.8	6.0	11.0	8.4	3.6	
<i>Inflation-Protected Bond</i>			43	43	42	38	42	23	26	42	42	26	38	44		
Vanguard Short-Term Bond Adm	4,952,478	9.5	3.5	4.5	8.1	0.9	1.5	1.7	1.8	4.9	-5.6	-1.0	4.7	4.9	1.7	Dec-14
<i>ICE BofA 1-3 Years U.S. Treasury Index</i>			2.9	4.1	6.7	1.3	1.5	1.4	1.3	4.3	-3.6	-0.6	3.1	3.6	1.4	
<i>Short-Term Bond</i>			24	79	61	81	80	66	73	76	67	82	30	40		
Total Cash	2,109	0.0	0.1	0.3	0.4	0.3	0.2	0.3	0.2	0.4	0.1	0.0	0.0	0.6	0.5	Apr-07
<i>90 Day U.S. Treasury Bill</i>			1.4	4.0	5.5	3.5	2.3	1.6	1.1	5.0	1.5	0.0	0.7	2.3	1.3	
Schwab Cash	2,109	0.0	0.1	0.3	0.4	0.3	0.2	0.3	0.2	0.4	0.1	0.0	0.0	0.6	0.4	Jun-16
<i>90 Day U.S. Treasury Bill</i>			1.4	4.0	5.5	3.5	2.3	1.6	1.1	5.0	1.5	0.0	0.7	2.3	2.0	

Composition of blended benchmarks are shown on data sources and methodology page. Vanguard Short Term Investment Grade Adm and PIMCO All Asset Ins liquidated on 6/1/20. WCM Focused Intl Growth Ins funded on 6/1/20. Vanguard FTSE Social Index I funded 3/1/23. DFA Intl. Sustainability Core 1 funded 9/1/2023. Fiscal year end: 6/30.

Market Value History



Asset Allocation History



Operating Total Fund
Risk Analysis by Manager - 5 Years (Net of Fees)

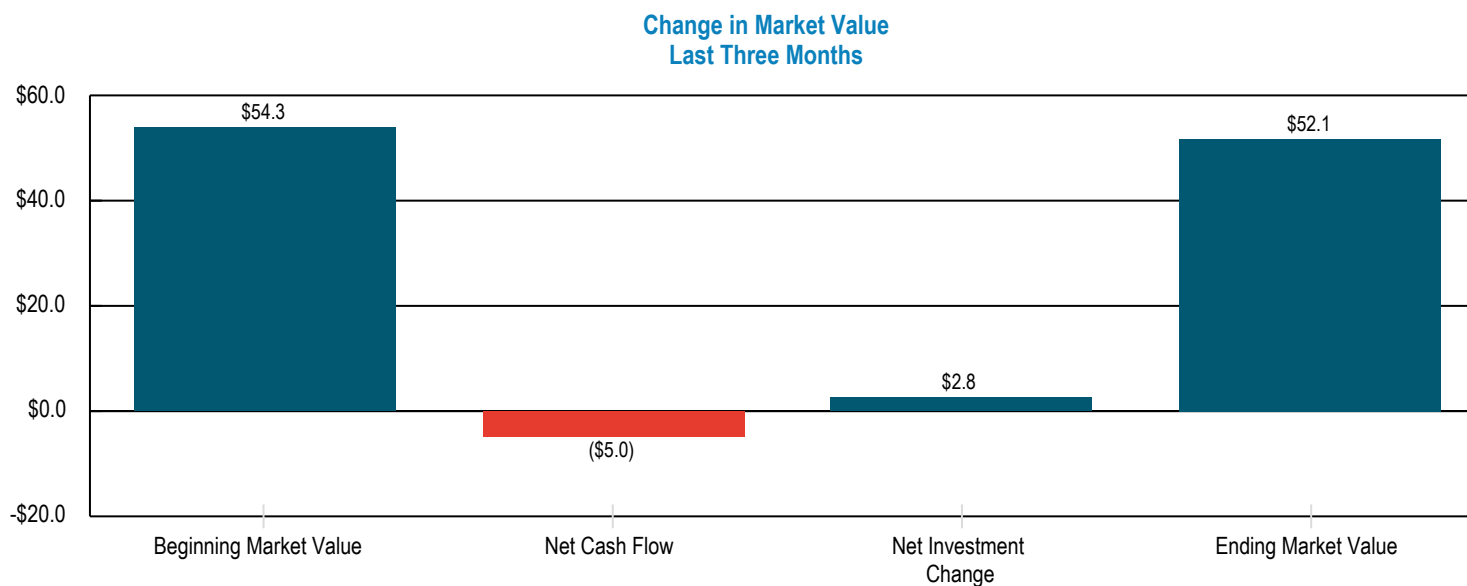
University Corporation at Monterey Bay - Operating
Period Ending: September 30, 2024

	Anlzd Return	Anlzd Standard Deviation	Anlzd Alpha	Beta	R-Squared	Up Mkt Capture Ratio	Down Mkt Capture Ratio	Information Ratio	Tracking Error	Sharpe Ratio	Sortino Ratio
Operating Total Fund	7.61	10.07	0.24	1.02	0.97	103.38	102.13	0.22	1.77	0.55	0.80
Operating Policy Index	7.23	9.73	0.00	1.00	1.00	100.00	100.00	-	0.00	0.53	0.79
Total Domestic Equity	16.57	18.00	0.62	1.00	0.98	100.09	97.26	0.21	2.63	0.82	1.28
S&P 500 Index	15.98	17.89	0.00	1.00	1.00	100.00	100.00	-	0.00	0.79	1.23
Total Int'l Equity	9.70	18.76	1.13	1.05	0.97	106.72	101.76	0.45	3.59	0.47	0.71
MSCI EAFE (Net)	8.20	17.52	0.00	1.00	1.00	100.00	100.00	-	0.00	0.41	0.61
Total Domestic Fixed Income	1.54	5.49	0.30	1.17	0.99	118.79	114.04	0.49	1.01	-0.11	-0.15
Blended Fixed Income Benchmark	1.08	4.67	0.00	1.00	1.00	100.00	100.00	-	0.00	-0.24	-0.31

Operating Total Fund
Portfolio Reconciliation

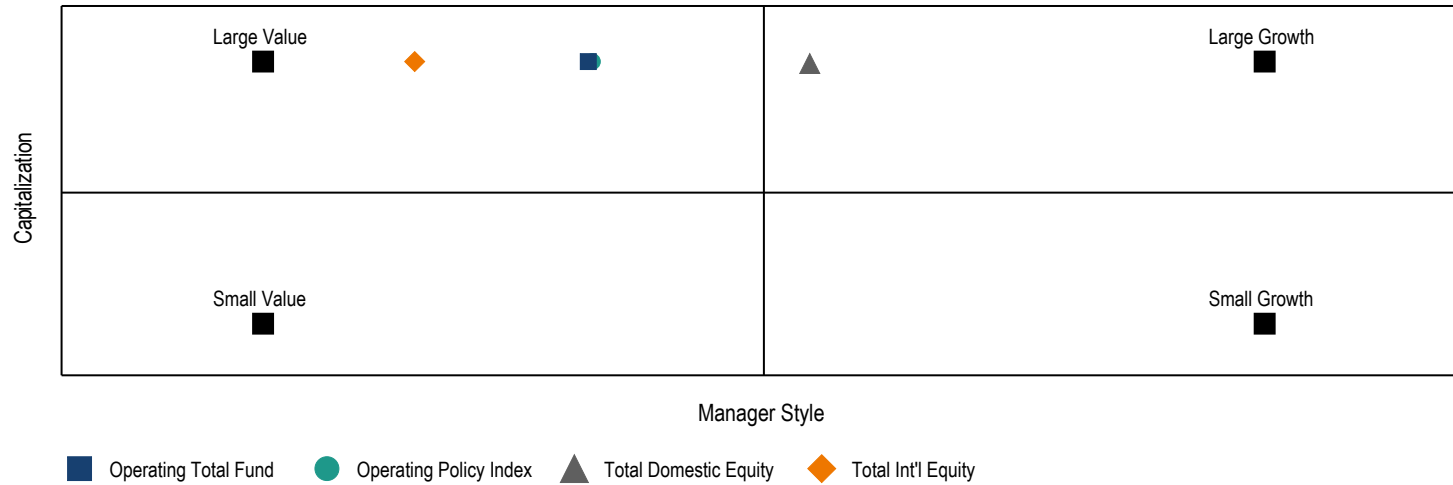
University Corporation at Monterey Bay - Operating
Period Ending: September 30, 2024

	Portfolio Reconciliation						
	Last Three Months	Fiscal Year-To-Date	2023	2022	2021	2020	2019
Beginning Market Value	54,317,343	54,317,343	45,014,699	43,038,109	38,451,184	46,441,541	45,533,130
Net Cash Flow	-5,000,000	-5,000,000	-120	7,999,975	13	-12,000,176	-5,000,126
Net Investment Change	2,789,649	2,789,649	6,434,154	-6,043,509	4,328,729	4,088,545	5,991,959
Ending Market Value	52,106,992	52,106,992	51,448,732	45,014,699	43,038,109	38,451,184	46,441,541

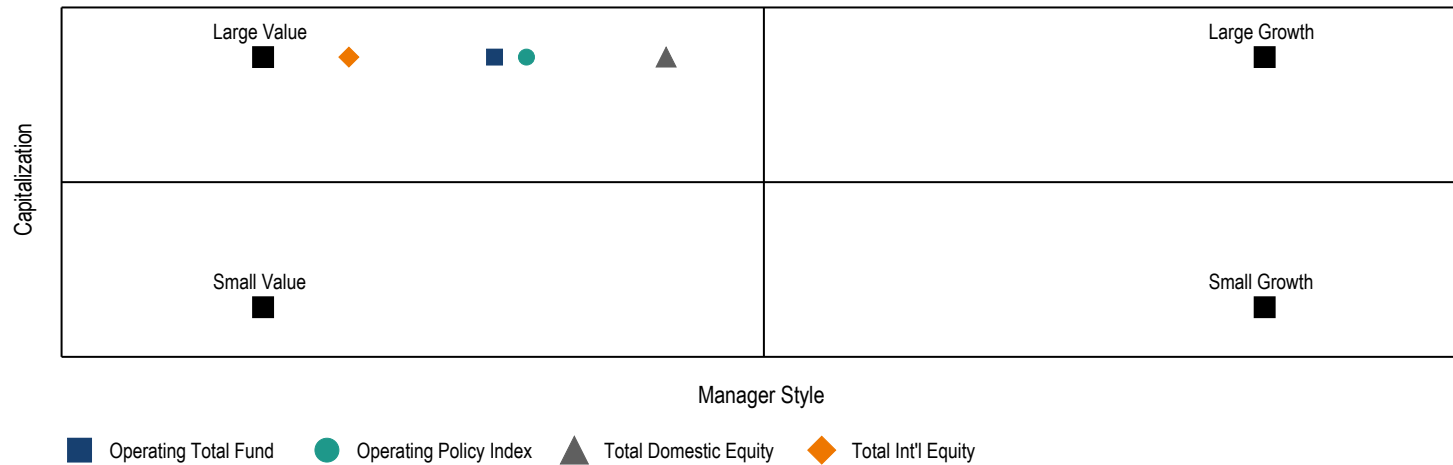


Contributions and withdrawals may include intra-account transfers between managers/funds. Net Investment Change includes fees and expenses.

U.S Effective Style Map
1 Year



U.S Effective Style Map
3 Years



Operating Total Fund
Cash Flow by Manager- YTD

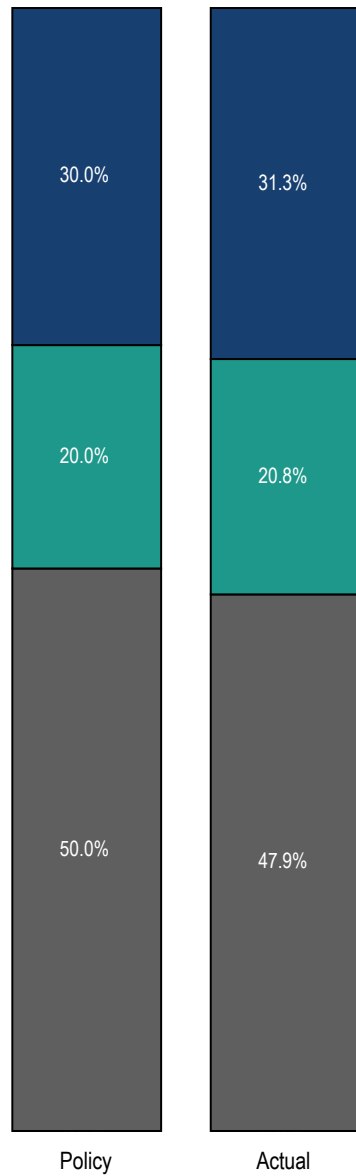
University Corporation at Monterey Bay - Operating
Period Ending: September 30, 2024

Manager Name	Beginning Market Value	Contributions	Distributions	Net Investment Change	Ending Market Value
Schwab Fundamental US Large Company Index	\$3,962,834	\$0	-\$590,000	\$652,055	\$4,024,889
Vanguard 500 Index Adm	\$3,936,869	\$0	-\$660,000	\$814,369	\$4,091,238
Vanguard FTSE Social Index Fund- Instl	\$7,996,271	\$0	-\$1,400,000	\$1,613,304	\$8,209,575
Schwab Fundamental Intl Large Company Index	\$2,689,684	\$0	-\$280,000	\$289,226	\$2,698,910
Dodge & Cox Int'l Stock	\$1,307,936	\$0	-\$105,000	\$165,478	\$1,368,414
WCM Focused Intl Growth Ins	\$2,612,563	\$0	-\$300,000	\$382,517	\$2,695,080
DFA Intl Sustainability Core 1	\$3,894,096	\$0	-\$315,000	\$501,776	\$4,080,872
TCW MetWest Total Return Bond I	\$6,315,946	\$0	-\$375,000	\$296,532	\$6,237,479
Dodge & Cox Income	\$6,315,448	\$0	-\$415,000	\$355,938	\$6,256,386
Vanguard Inflation-Protected Securities Adm	\$7,414,597	\$0	-\$290,000	\$364,966	\$7,489,563
Vanguard Short-Term Bond Adm	\$5,000,507	\$0	-\$270,000	\$221,971	\$4,952,478
Schwab Cash	\$1,980	\$5,000,000	-\$5,000,000	\$129	\$2,109
Total	\$51,448,732	\$5,000,000	-\$10,000,000	\$5,658,260	\$52,106,992

Contributions and withdrawals may include intra-account transfers between managers/funds. Vanguard Short Term Investment Grade Adm and PIMCO All Asset Ins liquidated on 6/1/20. WCM Focused Intl Growth Ins funded on 6/1/20. Vanguard FTSE Social Index I funded 3/1/23. Net Investment Change includes fees and expenses.

Total Fund
Asset Allocation vs. Policy

University Corporation at Monterey Bay - Operating
Period Ending: September 30, 2024



	Current Balance (\$)	Current Allocation (%)	Policy Allocation (%)	Excess Allocation (\$)	Policy Range (%)	Within IPS Range?
Domestic Equity	16,325,703	31.3	30.0	693,605	0.0 - 100.0	Yes
International Equity	10,843,276	20.8	20.0	421,877	0.0 - 100.0	Yes
Domestic Fixed Income	24,935,905	47.9	50.0	-1,117,591	0.0 - 100.0	Yes
Cash and Equivalents	2,109	0.0	0.0	2,109	0.0 - 100.0	Yes
Total	52,106,992	100.0	100.0			

Total Fund
Watch List (net of fees)

University Corporation at Monterey Bay - Operating
Period Ending: September 30, 2024

Fund Name	Allocation Group	Overall Status	Outperformed Median Rank (1yrs)	Outperformed Median Rank (3yrs)	Outperformed Median Rank (5yrs)	Outperformed Index (5yr)	Standard Deviation Less Than 25% Above Index (5yrs)	Concern	Index Fund Tracking Error over 0.25% (1yr)
Schwab Fundamental US Large Company Index	Domestic Equity	■	-	-	-	-	-	-	P
Vanguard 500 Index Adm	Domestic Equity	■	-	-	-	-	-	-	✓
Vanguard FTSE Social Index Fund- Instl	Domestic Equity	■	✓	P	✓	✓	✓	-	-
Schwab Fundamental Intl Large Company Index	International Equity	■	-	-	-	-	-	-	P
Dodge & Cox Int'l Stock	International Equity	■	✓	✓	✓	✓	✓	-	-
WCM Focused Intl Growth Ins	International Equity	■	✓	✓	✓	✓	✓	-	-
DFA Intl Sustainability Core 1	International Equity	■	✓	P	✓	✓	✓	-	-
TCW MetWest Total Return Bond I	Domestic Fixed Income	■	✓	P	✓	✓	✓	P	-
Dodge & Cox Income	Domestic Fixed Income	■	✓	✓	✓	✓	✓	-	-
Vanguard Inflation-Protected Securities Adm	Domestic Fixed Income	■	✓	✓	✓	P	✓	-	-
Vanguard Short-Term Bond Adm	Domestic Fixed Income	■	-	-	-	-	-	-	P
Schwab Cash	Cash and Equivalents	■	-	-	-	P	✓	-	-

In August of 2023, Generalist portfolio managers Laird Landmann and Stephen Kane announced their retirements at the end of 2023 and 2024 respectively. Due to the decades-long tenure of Landmann and Kane and the prior departure of Tad Rivelle in 2021, the Research team views these events as a generational transition. Therefore, we have placed this strategy on Provisional state to notify consultants and clients, while maintaining conviction in current senior management at TCW.

Total Fund Investment Fund Fee Analysis

University Corporation at Monterey Bay - Operating
Period Ending: September 30, 2024

Name	Asset Class	Identifier	Expense Ratio (%)	Market Value	Estimated Expense \$
Schwab Fundamental US Large Company Index	Domestic Equity	SFLNX	0.25	\$4,024,889	\$10,062
Vanguard 500 Index Adm	Domestic Equity	VFIAX	0.04	\$4,091,238	\$1,636
Vanguard FTSE Social Index Fund- Instl	Domestic Equity	VFTNX	0.12	\$8,209,575	\$9,851
Schwab Fundamental Intl Large Company Index	International Equity	SFNNX	0.25	\$2,698,910	\$6,747
Dodge & Cox Int'l Stock	International Equity	DODFX	0.62	\$1,368,414	\$8,484
WCM Focused Intl Growth Ins	International Equity	WCMIX	1.04	\$2,695,080	\$28,029
DFA Intl Sustainability Core 1	International Equity	DFSPX	0.24	\$4,080,872	\$9,794
TCW MetWest Total Return Bond I	Domestic Fixed Income	MWTIX	0.45	\$6,237,479	\$28,069
Dodge & Cox Income	Domestic Fixed Income	DODIX	0.41	\$6,256,386	\$25,651
Vanguard Inflation-Protected Securities Adm	Domestic Fixed Income	VAIPX	0.10	\$7,489,563	\$7,490
Vanguard Short-Term Bond Adm	Domestic Fixed Income	VBIRX	0.07	\$4,952,478	\$3,467
Schwab Cash	Cash and Equivalents	SWGXX	0.44	\$2,109	\$9
Total			0.27	\$52,106,992	\$139,290

Mutual fund fees shown are sourced from Morningstar and are as of the most current prospectus

Operating Total Fund
Risk Analysis - 5 Years (Net of Fees)

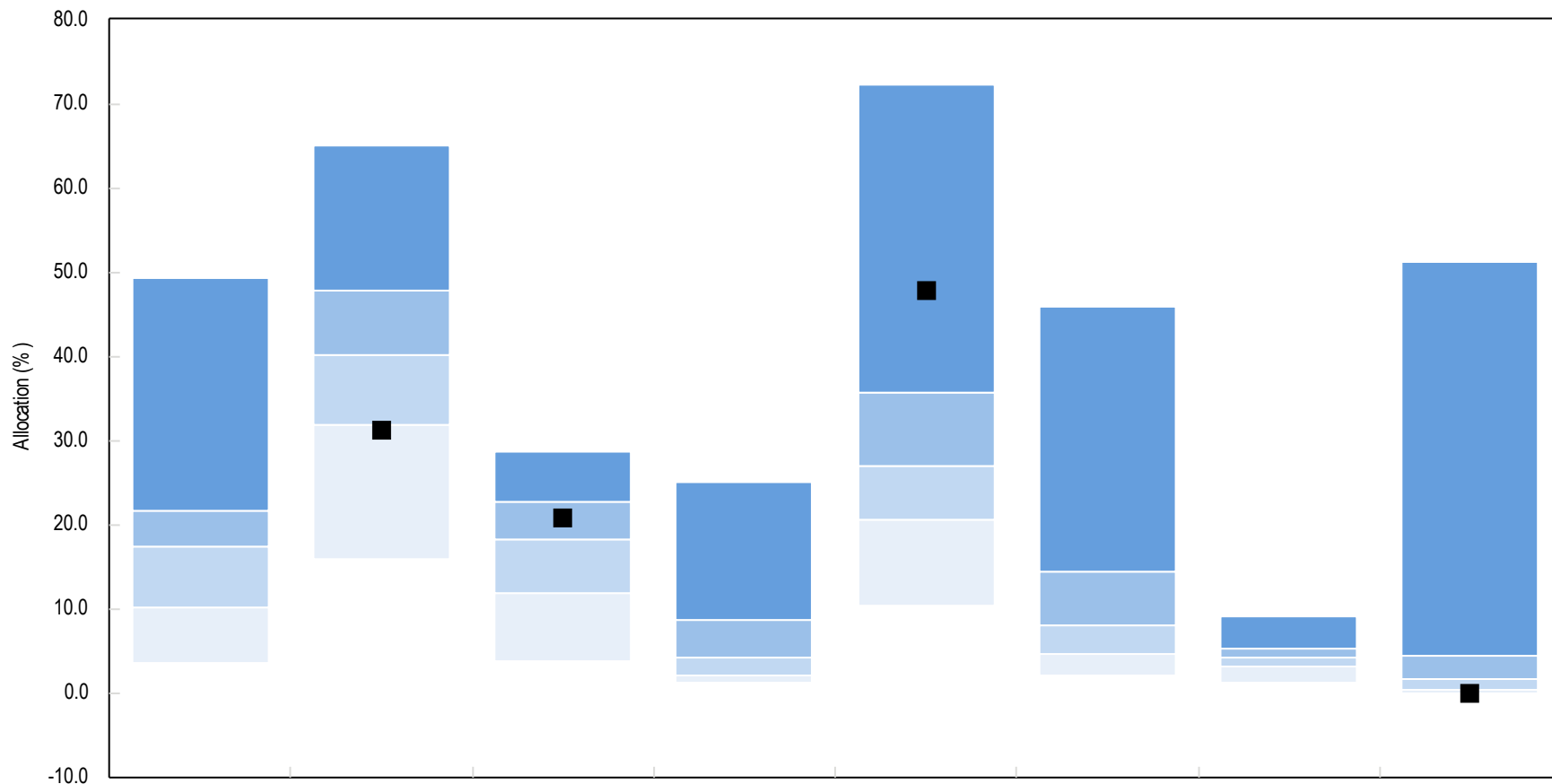
University Corporation at Monterey Bay - Operating
Period Ending: September 30, 2024

	Anlzd Return	Anlzd Standard Deviation	Anlzd Alpha	Beta	R-Squared	Up Mkt Capture Ratio	Down Mkt Capture Ratio	Information Ratio	Tracking Error	Sharpe Ratio	Sortino Ratio
Schwab Fundamental US Large Company Index	15.12	18.68	-0.36	0.99	0.89	95.37	94.47	-0.10	6.18	0.73	1.12
Vanguard 500 Index Adm	15.93	17.89	-0.04	1.00	1.00	99.93	100.08	-4.90	0.01	0.79	1.23
Vanguard FTSE Social Index Fund- Instl	16.22	18.96	-0.46	1.05	0.99	104.63	106.55	0.17	2.40	0.77	1.19
Schwab Fundamental Intl Large Company Index	9.61	19.09	1.12	1.05	0.93	103.84	97.59	0.31	5.12	0.46	0.69
Dodge & Cox Int'l Stock	9.12	20.66	0.87	1.14	0.89	118.10	115.36	0.29	7.35	0.42	0.62
WCM Focused Intl Growth Ins	10.26	19.90	2.75	1.03	0.78	114.61	105.67	0.32	9.30	0.48	0.71
DFA Intl Sustainability Core 1	8.66	18.97	0.06	1.07	0.97	108.04	108.30	0.22	3.28	0.41	0.61
TCW MetWest Total Return Bond I	0.46	6.99	0.12	1.12	0.99	111.79	110.28	0.18	1.02	-0.23	-0.31
Dodge & Cox Income	2.12	6.47	1.80	1.00	0.92	112.17	90.72	0.98	1.82	0.00	0.00
Vanguard Inflation-Protected Securities Adm	2.52	6.06	-0.05	0.98	0.99	97.72	98.31	-0.23	0.47	0.06	0.08
Vanguard Short-Term Bond Adm	1.48	2.79	-0.51	1.33	0.84	135.65	167.86	-0.01	1.27	-0.30	-0.37

Operating Total Fund
Peer Universe Comparison: Asset Allocation

University Corporation at Monterey Bay - Operating
Period Ending: September 30, 2024

Total Plan Allocation vs. InvMetrics All E&F < \$50mm

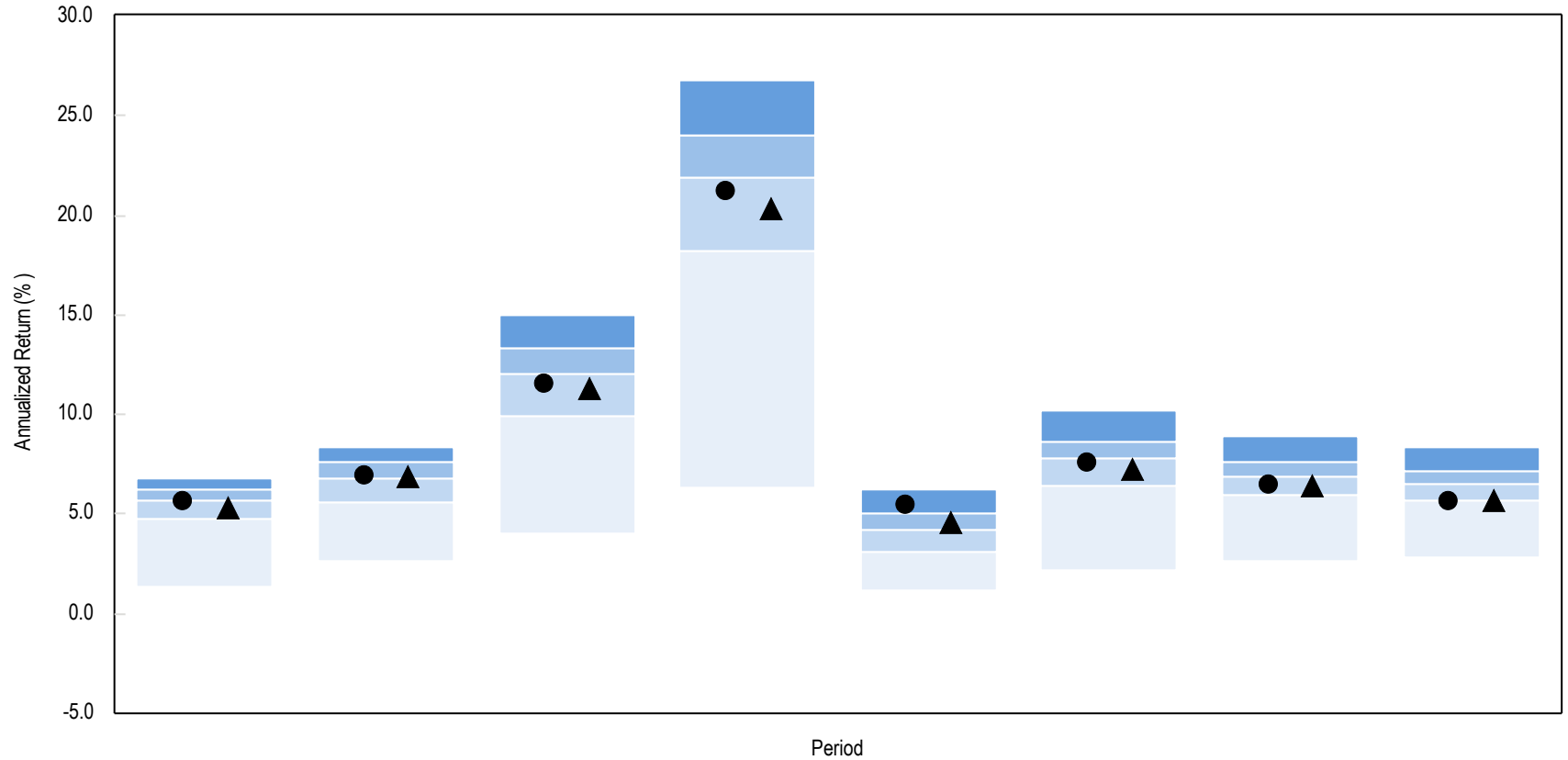


	Global Equity	US Equity	Global ex-US Equity	Global Fixed Income	US Fixed	Alternatives	Total Real Estate	Cash & Equivalents
■ Operating Total Fund	-	31.3 (77)	20.8 (36)	-	47.9 (13)	-	-	0.0 (100)
5th Percentile	49.4	65.0	28.8	25.2	72.4	46.0	9.2	51.3
1st Quartile	21.8	47.9	22.9	8.7	35.6	14.4	5.3	4.5
Median	17.4	40.3	18.3	4.3	27.0	8.1	4.2	1.7
3rd Quartile	10.2	31.9	11.8	2.1	20.7	4.6	3.1	0.4
95th Percentile	3.6	16.0	3.8	1.3	10.4	2.2	1.3	0.0
Population	140	629	583	101	659	358	176	629

Operating Total Fund
Peer Universe Comparison: Cumulative Perf (Net of Fees)

University Corporation at Monterey Bay - Operating
Period Ending: September 30, 2024

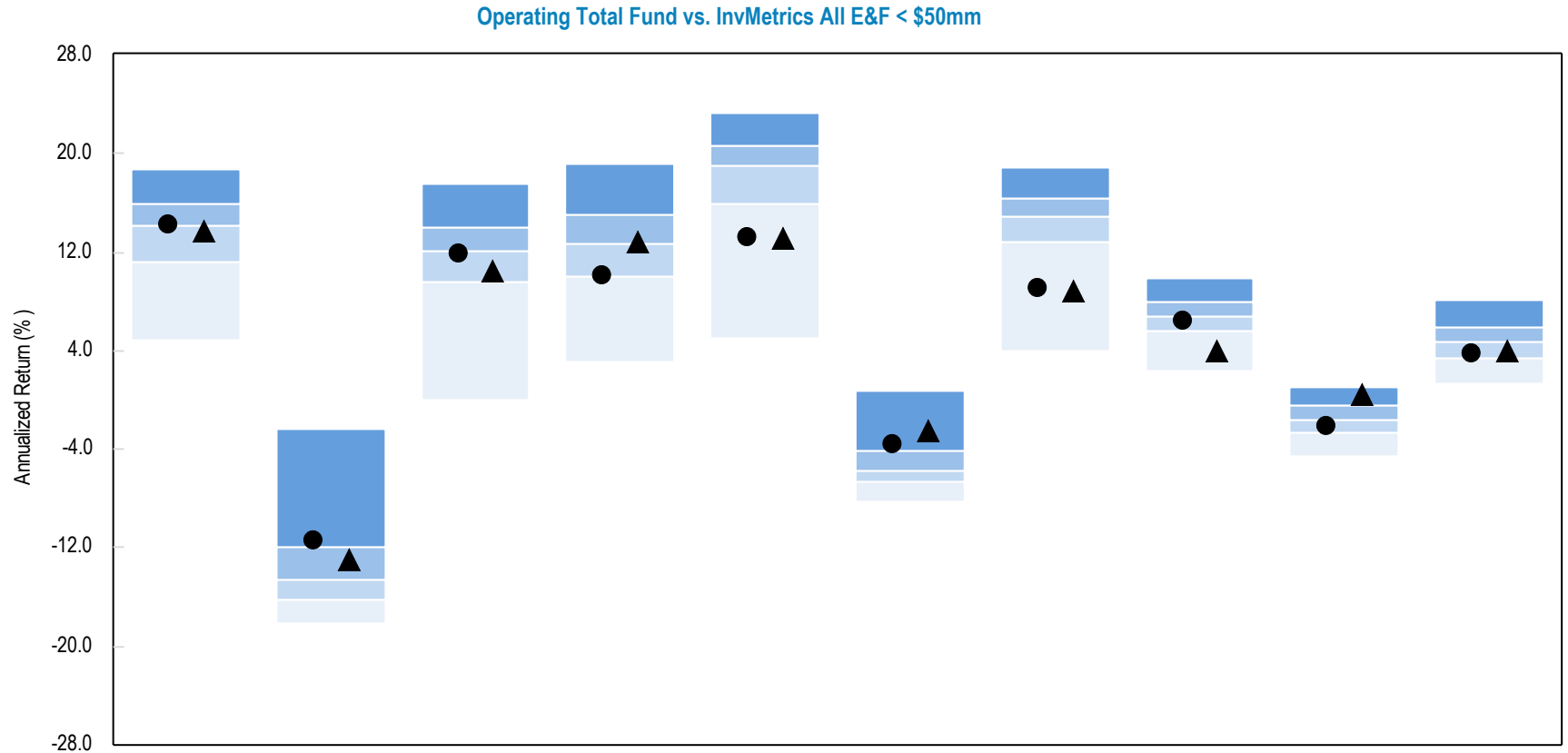
Operating Total Fund vs. InvMetrics All E&F < \$50mm



	3 Mo	2 Quarters	3 Quarters	1 Yr	3 Yrs	5 Yrs	7 Yrs	10 Yrs
● Operating Total Fund	5.7 (51)	7.0 (45)	11.6 (55)	21.3 (56)	5.5 (16)	7.6 (55)	6.5 (61)	5.7 (76)
▲ Operating Policy Index	5.4 (62)	6.9 (48)	11.3 (60)	20.4 (64)	4.6 (39)	7.2 (64)	6.4 (65)	5.7 (77)
5th Percentile	6.8	8.3	15.0	26.8	6.2	10.2	8.9	8.4
1st Quartile	6.2	7.6	13.3	24.1	5.1	8.7	7.6	7.2
Median	5.7	6.8	12.0	21.9	4.2	7.8	6.8	6.5
3rd Quartile	4.8	5.6	9.9	18.2	3.2	6.4	6.0	5.7
95th Percentile	1.3	2.6	4.1	6.3	1.1	2.2	2.6	2.8
Population	542	535	529	523	470	422	356	264

Operating Total Fund
Peer Universe Comparison: Consecutive Periods (Net of Fees)

University Corporation at Monterey Bay - Operating
Period Ending: September 30, 2024



	Period									
	2023	2022	2021	2020	2019	2018	2017	2016	2015	2014
● Operating Total Fund	14.3 (48)	-11.3 (21)	11.9 (53)	10.2 (75)	13.3 (86)	-3.6 (20)	9.1 (89)	6.5 (56)	-2.1 (66)	3.9 (68)
▲ Operating Policy Index	13.8 (54)	-13.0 (34)	10.5 (68)	12.9 (50)	13.0 (86)	-2.5 (14)	8.8 (90)	4.0 (91)	0.4 (12)	4.0 (66)
5th Percentile	18.8	-2.3	17.6	19.2	23.3	0.7	18.9	9.9	1.0	8.1
1st Quartile	15.9	-12.0	14.0	15.1	20.7	-4.1	16.3	7.9	-0.5	5.9
Median	14.1	-14.5	12.1	12.7	18.9	-5.7	14.8	6.7	-1.6	4.7
3rd Quartile	11.2	-16.2	9.6	10.1	16.0	-6.7	12.8	5.7	-2.7	3.4
95th Percentile	4.9	-18.1	0.0	3.0	5.0	-8.3	4.0	2.4	-4.5	1.4
Population	902	1,022	1,005	1,158	1,081	1,015	975	949	876	786

Performance Return Calculations

Performance is calculated using Modified Dietz and for time periods with large cash flow (generally greater than 10% of portfolio value), Time Weighted Rates of Return (TWRR) methodologies. Monthly returns are geometrically linked and annualized for periods longer than one year.

Data Source

Verus is an independent third party consulting firm and calculates returns from best source book of record data. Returns calculated by Verus may deviate from those shown by the manager in part, but not limited to, differences in prices and market values reported by the custodian and manager, as well as significant cash flows into or out of an account. It is the responsibility of the manager and custodian to provide insight into the pricing methodologies and any difference in valuation.

Illiquid Alternatives

Due to the inability to receive final valuation prior to report production, closed end funds (including but are not limited to Real Estate, Hedge Funds, Private Equity, and Private Credit) performance is typically reported at a one-quarter lag. Valuation is reported at a one-quarter lag, adjusted for current quarter flow (cash flows are captured real time). Closed end fund performance is calculated using a time-weighted return methodology consistent with all portfolio and total fund performance calculations. For Private Markets, performance reports also include Verus-calculated multiples based on flows and valuations (e.g. DPI and TVPI) and manager-provided IRRs.

Current Managers

<u>Manager</u>	<u>Fund Incepted</u>	<u>Data Source</u>	<u>Manager</u>	<u>Fund Incepted</u>	<u>Data Source</u>
Vanguard Inflation-Protected Securities Adm	3/7/2007	Charles Schwab	Vanguard Short-Term Bond Adm	12/5/2014	Charles Schwab
Vanguard 500 Index Adm	3/7/2007	Charles Schwab	Dodge & Cox Income	12/5/2014	Charles Schwab
Dodge & Cox Int'l Stock	3/9/2007	Charles Schwab	Schwab Govt Money Fund	6/14/2016	Charles Schwab
Schwab Fundamental US Large Company Index	2/25/2010	Charles Schwab	WCM Focused Intl Growth Ins	6/1/2020	Charles Schwab
Schwab Fundamental Intl Large Company Index	7/11/2011	Charles Schwab	Vanguard FTSE Social Index	3/1/2023	Charles Schwab
TCW MetWest Total Return Bond I	12/4/2014	Charles Schwab	DFA Intl. Sustainability Core 1	9/1/2023	Charles Schwab

Terminated Managers (since January, 2014)

<u>Manager</u>	<u>Fund Incepted</u>	<u>Fund Terminated</u>	<u>Comments</u>
PIMCO Total Return Ins	3/26/2007	12/4/2014	Met West Total Return Bond I replaced PIMCO Total Return Ins
PIMCO Low Duration Ins	3/26/2007	12/4/2014	Vanguard Short-Term Bond Adm replaced PIMCO Low Duration Ins
Schwab Advance Cash Reserve Premier	--	6/4/2016	Schwab Govt Money Fund replaced Schwab Advance Cash Reserve Premier
PIMCO All Asset Ins	3/29/2007	6/1/2020	
Vanguard Short Term Investment Grade Adm	8/23/2011	6/1/2020	

Policy & Custom Index Composition

Policy Index (06/01/2020-Present)	30% S&P 500 Index, 20% MSCI EAFE Index, 25% Bloomberg US Aggregate Index, 15% BofAML 1-3 YR Treasury Index, 10% Bloomberg US TIPS Index.
Policy Index (07/01/2011-05/31/2020)	18% S&P 500 Index, 12% MSCI EAFE Index, 15% Bloomberg US Aggregate Index, 15% BofAML 1-3 YR Treasury Index, 15% Bloomberg US TIPS Index, 10% HFRI Fund of Funds Index, 15% Bloomberg US 1-5 YR Credit Index.
Policy Index (04/01/2009-07/01/2011)	18% S&P 500 Index, 12% MSCI EAFE Index, 15% Bloomberg US Aggregate Index, 15% BofAML 1-3 YR Treasury Index, 15% Bloomberg US TIPS Index, 10% HFRI Fund of Funds Index, 15% 90-Day T-Bills Index

Other Notes

Glossary

Allocation Effect: An attribution effect that describes the amount attributable to the managers' asset allocation decisions, relative to the benchmark.

Alpha: The excess return of a portfolio after adjusting for market risk. This excess return is attributable to the selection skill of the portfolio manager. Alpha is calculated as: Portfolio Return - [Risk-free Rate + Portfolio Beta x (Market Return - Risk-free Rate)].

Benchmark R-squared: Measures how well the Benchmark return series fits the manager's return series. The higher the Benchmark R-squared, the more appropriate the benchmark is for the manager.

Beta: A measure of systematic, or market risk; the part of risk in a portfolio or security that is attributable to general market movements. Beta is calculated by dividing the covariance of a security by the variance of the market.

Book-to-Market: The ratio of book value per share to market price per share. Growth managers typically have low book-to-market ratios while value managers typically have high book-to-market ratios.

Capture Ratio: A statistical measure of an investment manager's overall performance in up or down markets. The capture ratio is used to evaluate how well an investment manager performed relative to an index during periods when that index has risen (up market) or fallen (down market). The capture ratio is calculated by dividing the manager's returns by the returns of the index during the up/down market, and multiplying that factor by 100.

Correlation: A measure of the relative movement of returns of one security or asset class relative to another over time. A correlation of 1 means the returns of two securities move in lock step, a correlation of -1 means the returns of two securities move in the exact opposite direction over time. Correlation is used as a measure to help maximize the benefits of diversification when constructing an investment portfolio.

Excess Return: A measure of the difference in appreciation or depreciation in the price of an investment compared to its benchmark, over a given time period. This is usually expressed as a percentage and may be annualized over a number of years or represent a single period.

Information Ratio: A measure of a manager's ability to earn excess return without incurring additional risk. Information ratio is calculated as: excess return divided by tracking error.

Interaction Effect: An attribution effect that describes the portion of active management that is contributable to the cross interaction between the allocation and selection effect. This can also be explained as an effect that cannot be easily traced to a source.

Portfolio Turnover: The percentage of a portfolio that is sold and replaced (turned over) during a given time period. Low portfolio turnover is indicative of a buy and hold strategy while high portfolio turnover implies a more active form of management.

Price-to-Earnings Ratio (P/E): Also called the earnings multiplier, it is calculated by dividing the price of a company's stock into earnings per share. Growth managers typically hold stocks with high price-to-earnings ratios whereas value managers hold stocks with low price-to-earnings ratios.

R-Squared: Also called the coefficient of determination, it measures the amount of variation in one variable explained by variations in another, i.e., the goodness of fit to a benchmark. In the case of investments, the term is used to explain the amount of variation in a security or portfolio explained by movements in the market or the portfolio's benchmark.

Selection Effect: An attribution effect that describes the amount attributable to the managers' stock selection decisions, relative to the benchmark.

Sharpe Ratio: A measure of portfolio efficiency. The Sharpe Ratio indicates excess portfolio return for each unit of risk associated with achieving the excess return. The higher the Sharpe Ratio, the more efficient the portfolio. Sharpe ratio is calculated as: Portfolio Excess Return / Portfolio Standard Deviation.

Sortino Ratio: Measures the risk-adjusted return of an investment, portfolio, or strategy. It is a modification of the Sharpe Ratio, but penalizes only those returns falling below a specified benchmark. The Sortino Ratio uses downside deviation in the denominator rather than standard deviation, like the Sharpe Ratio.

Standard Deviation: A measure of volatility, or risk, inherent in a security or portfolio. The standard deviation of a series is a measure of the extent to which observations in the series differ from the arithmetic mean of the series. For example, if a security has an average annual rate of return of 10% and a standard deviation of 5%, then two-thirds of the time, one would expect to receive an annual rate of return between 5% and 15%.

Style Analysis: A return based analysis designed to identify combinations of passive investments to closely replicate the performance of funds

Style Map: A specialized form or scatter plot chart typically used to show where a Manager lies in relation to a set of style indices on a two-dimensional plane. This is simply a way of viewing the asset loadings in a different context. The coordinates are calculated by rescaling the asset loadings to range from -1 to 1 on each axis and are dependent on the Style Indices comprising the Map.

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The information presented may be deemed to contain forward-looking information. Examples of forward looking information include, but are not limited to, (a) projections of or statements regarding return on investment, future earnings, interest income, other income, growth prospects, capital structure and other financial terms, (b) statements of plans or objectives of management, (c) statements of future economic performance, and (d) statements of assumptions, such as economic conditions underlying other statements. Such forward-looking information can be identified by the use of forward looking terminology such as believes, expects, may, will, should, anticipates, or the negative of any of the foregoing or other variations thereon comparable terminology, or by discussion of strategy. No assurance can be given that the future results described by the forward-looking information will be achieved. Such statements are subject to risks, uncertainties, and other factors which could cause the actual results to differ materially from future results expressed or implied by such forward looking information. The findings, rankings, and opinions expressed herein are the intellectual property of Verus and are subject to change without notice. The information presented does not claim to be all-inclusive, nor does it contain all information that clients may desire for their purposes. The information presented should be read in conjunction with any other material provided by Verus, investment managers, and custodians.

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Verus receives universe data from InvMetrics, eVestment Alliance, and Morningstar. We believe this data to be robust and appropriate for peer comparison. Nevertheless, these universes may not be comprehensive of all peer investors/managers but rather of the investors/managers that comprise that database. The resulting universe composition is not static and will change over time. Returns are annualized when they cover more than one year. Investment managers may revise their data after report distribution. Verus will make the appropriate correction to the client account but may or may not disclose the change to the client based on the materiality of the change.



December 5, 2024

To: Board Members

From: Marquette Associates – Ryan Tracy

Subject: Summary of Recommended Updates to the Investment Policy Statements (IPS)

Dear Board Members,

As part of our continuous efforts to align governance, operations, and investment strategies with best practices, I am pleased to present a summary of recommended updates to the Investment Policy Statements (IPS). These updates aim to provide clarity, streamline decision-making processes, and support the Corporation's long-term and short-term goals. Below is a detailed rationale for each proposed enhancement:

- **Roles and Responsibilities in Transitioning to a Discretionary OCIO Relationship**
 - **Objective:** To clearly delineate the roles and responsibilities of the board, investment committee, auxiliary staff, and investment consultant during and after the transition to an OCIO model.
 - **Reasoning:** Moving to a discretionary OCIO relationship requires clear governance frameworks to ensure accountability and operational efficiency. Explicitly defining these roles will:
 - Empower the OCIO to act within established boundaries, ensuring timely investment decisions.
 - Preserve the board's oversight while reducing administrative burdens.
 - Enable auxiliary staff and committees to focus on strategic initiatives rather than day-to-day investment operations.

 - **Corporation Operating Portfolio for University Cash Needs**
 - **Objective:** To establish a dedicated operating portfolio for managing cash needs related to university projects and obligations.
 - **Reasoning:** Defining an operating portfolio aligned with cash flow requirements ensures liquidity and minimizes risks. Key benefits include:
 - Improved cash flow forecasting for capital projects and operational expenses.
 - The ability to invest short-term assets with durations tailored to projected needs, optimizing returns while ensuring liquidity.
 - Clear guidelines on asset class allocations and permissible securities for different cash horizons.
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- **Return Objectives and Asset Class Guidelines for All Portfolios**

- **Objective:** To clearly define the return objectives, available asset classes, target allocations, permissible ranges, and benchmarks for all portfolios.
- **Reasoning:** Establishing these parameters ensures that investment strategies are aligned with the unique objectives of each portfolio. Benefits include:
 - Improved clarity on investment expectations and risk tolerances for stakeholders.
 - Benchmarking capabilities to assess performance at the asset class and portfolio composite levels.
 - Enhanced accountability for all investment activities, ensuring they align with the institution's mission and financial goals.

Conclusion

These updates are designed to enhance governance, operational clarity, and alignment with the university's financial and strategic goals. By formalizing these policies in the IPS, we will establish a robust framework for effective decision-making and performance management.

I look forward to discussing these recommendations in greater detail and addressing any questions you may have.

The proposed Investment Policy Statement will replace the current Restricted & Operating Funds Investment Policy. The Auxiliary Investment Committee and staff recommend adopting the proposed Investment Policy Statement.

Sincerely,

Ryan P. Tracy
Senior Vice President



UNIVERSITY CORPORATION AT MONTEREY BAY

100 Campus Center Seaside, CA 93955-8001 831/582-3500

UNIVERSITY CORPORATION AT MONTEREY BAY INVESTMENT POLICY STATEMENT

ADOPTED & REVIEWED: _____

PURPOSE

This Corporation Investment Policy is intended to provide guidelines for the prudent investment of the Investment Portfolio of the University Corporation at Monterey Bay (the "Corporation") and to outline an overall system of investment policies and practices such that the Corporations' ongoing financial obligations are satisfied. An additional and equally important purpose of this document and other Corporation investment-related policies is to provide donors, prospective donors, and donation recipients with information about investment performance expectations, guidelines for distribution of earnings, and levels of reimbursements of costs to the Corporation.

MISSION

The mission of the Corporation investment portfolio (the "Portfolio") is to support the program and operations of the University through a flow of interest, dividends and appreciation of assets. It is the intent that the purchasing power of the Portfolio will be maintained without putting the principal value of these funds at imprudent risk.

This policy statement is issued for the guidance of participants involved with the investment process, including investment managers and members of the Investment Committee (the "Committee"), to be used in the course of investing assets for the Portfolio.

GENERAL STANDARDS

The Portfolio will be managed consistently with the applicable standards and requirements set forth in the Uniform Prudent Management of Institutional Funds Act (UPMIFA) as adopted by California in 2009.

In seeking to attain the investment goals and objectives set forth in the policy, Committee members must act in good faith, with the care an ordinarily prudent person in a like position would exercise under similar circumstances and in a manner reasonably believed to be in the best interest of the Corporation. Members of the Committee must provide full and fair disclosure to the Committee of all material facts regarding any potential conflicts of interest.

STATEMENT OF GOALS AND OBJECTIVES

This statement is to set forth an appropriate set of goals and objectives for the Portfolio and to define guidelines within which the investment managers may formulate and execute their investment decisions.

- (1) The Portfolio will be managed with a long-term investment perspective with the intent that principal is preserved and enhanced over time. Total return, consistent with prudent investment management, is the primary goal. Total return, as used herein, includes income plus realized and unrealized gains and losses on the Portfolio (“Total Return”).
- (2) The target total rate of return is stated as a range from 5.0 - 7.0%, net of investment expenses, compounded annually. This range takes into consideration the Portfolio spending policy, prudent investment expenses and the fact that capital market conditions and inflation change over time. At times it may be desirable to manage the Portfolio asset allocation at either the low end or high end of the range.
- (3) The total return for the overall Portfolio is expected to meet or exceed the Corporation’s Policy Index (as described in Appendix I) and rank in the top-half of the custom peer group universe (for example, endowments and foundations with assets between \$0 and \$50 million, or a similar universe based on a reasonable sample size).
- (4) Total risk exposure and risk-adjusted returns will be regularly evaluated and compared with the peer group universe. Total portfolio risk exposure as measured by the standard deviation of return should generally rank in the mid-range of comparable portfolios.
- (5) Active investment managers are expected to strive to exceed, net of fees, the risk-adjusted return of the designated benchmark index. Passive managers are expected to closely match the return of the designated index.
 - a. The Committee is aware that there may be deviations from the stated performance targets. Normally, results are evaluated over a five- to ten-year time horizon, but shorter-term results will be regularly reviewed and earlier action taken if in the best interest of the Portfolio for Items 1-5 above.

INVESTMENT GUIDELINES

To achieve the total return objective, the following guidelines will be employed. The Committee recognizes that any statement of guidelines requires periodic update. Should any of these guidelines need revision or involve any imprudent risk to the assets under management, the investment manager is expected to immediately notify the investment consultant and recommend suitable modification. No investment will be made which might reasonably be expected to place in jeopardy the Corporation’s exempt status under the U.S. Internal Revenue Code. It is the policy of the Committee to review these goals and objectives at least once per year and as appropriate, to communicate any material change thereto to the investment managers. The asset allocation of the Portfolio should reflect the proper balance of need for liquidity, preservation of purchasing power, and risk tolerance. The targeted mix to achieve these goals is shown in Appendix I.

The Corporation recognizes the importance of integrating environmental sustainability factors into our investment decision-making process. In addition to complementing our ability to generate superior long-term financial returns, the integration of environmental sustainability factors may also lead to better social and environmental outcomes for The Corporation, our community, and our planet.

This is consistent with CSUMB's current environmental sustainability statement, below:

"CSUMB's broad approach to sustainability recognizes that the decisions we make today impact future generations. Through the lens of justice and equity we work in all areas of operations and teaching to respect, and respond to, the needs of our natural environment."

In general, The Corporation will seek over time to integrate more investment funds that consider environmental sustainability factors in the sourcing and due diligence process for Corporation investments.

REBALANCING GUIDELINES

The Committee authorizes staff and investment consultant to rebalance portfolio assets within the permissible ranges noted in Appendix I. Rebalancing actions will be reported and reviewed by the Committee every quarter. An asset class may be permitted to be above or below its permissible range with the approval of the Committee.

INVESTMENT MANAGERS

The assets of the Portfolio will be managed by external investment managers. Each manager's objective is to maximize total return and achieve results that compare favorably with their respective benchmarks and peers over the long term. An investment manager has full discretion to invest over the broad spectrum of opportunities within the global investment universe of the appointed mandate, provided the manager maintains high fiduciary standards and appropriate risk controls, and complies with the constraints as outlined in these guidelines.

Relationships are expected to be long term; however, an investment manager may be terminated at any time subject to the terms of any contract with said investment manager. Typical reasons for termination may include but are not limited to the following events:

- Restructuring of the investment manager portfolio asset allocation,
- Breach of fiduciary conduct,
- Non-adherence to these guidelines, or the manager's articulated investment strategy,
- Significant changes in the organization's structure or personnel,
- Loss of confidence in the organization's ability to add value,
- Lack of adequate internal controls, and
- Underperformed longer-term performance (typically 5 - 10 years).

Short-term underperformance, by itself, is not typically cause for dismissal; however, as the period of underperformance extends, it may be suggestive of personnel problems, faulty strategies, or a failure to control the investment process. As part of the Corporation's ongoing due diligence process, investment managers are reviewed on an ongoing basis, with quarterly updates provided by the Investment Consultant to the Investment Committee. The updates are centered on a process that monitors and evaluates each manager for changes in the organization, the investment process and performance versus the stated objective. Managers for which concerns, or potential issues have been identified are placed on a watch list, with the status of any manager on the list being reviewed at each quarterly Investment Committee meeting, with action taken (e.g., termination, removal from the watch list, or continued watch list status) as deemed appropriate and with support provided by the consultant.

The amount of assets an investment manager manages for the Portfolio may be increased or decreased as deemed appropriate by the investment consultant. Typical reasons for such adjustments may include but are not limited to the following events:

- To bring the equity and fixed income holdings of the total fund within Portfolio asset allocation guideline requirements,
- To raise cash to meet spending needs,
- To allocate contributions into the Portfolio, and
- To fund new investment managers or reallocate assets from terminated managers.

TRANSFER OF FUNDS & DONATION GUIDELINES

Funds may be admitted to the Portfolio at any time consistent with the Corporation's fiscal policies and procedures in connection with acceptance and administration of gifts. Typically, new money will be invested in the cash equivalent category until such time as the Investment Manager(s) can prudently invest those funds in the fixed income, equity, and/or alternatives components.

Unrestricted donations of marketable securities are to be liquidated in an orderly fashion unless they conform to the investment model as determined by the Investment Manager.

Assets acquired with special restrictions on substitution or sale should be managed in strict accordance with the instruction of the donor.

GUIDELINES FOR FIXED INCOME PORTFOLIO

Fixed income securities include obligations of the U.S. government and its agencies, corporate obligations, mortgage-backed securities, asset backed securities, commercial paper, certificates of deposit, Yankee bonds, emerging market debt and other instruments deemed prudent by the investment managers. Additionally, securities rated below investment grade (i.e., below BBB- by Standard & Poor's, or an equivalent rating by Moody's or Fitch) may be included if deemed prudent by the investment manager. This includes mandates that focus exclusively on below investment grade securities (including high yield, bank loans, less liquid or illiquid senior lending strategies and private debt), emerging market debt or other sectors of the fixed income market. Managers that hold broadly diversified portfolios that are more absolute return focused may be utilized as well.

Fixed-income securities are to be selected and managed to ensure appropriate balances in qualities and maturities, consistent with current market and economic conditions.

International fixed income managers may employ an active currency management program and deal in futures and options within the discipline of that currency management program. The use of futures and options to establish a leveraged position is prohibited.

The investment managers are responsible for making an independent analysis of the creditworthiness of fixed income securities and their appropriateness as an investment, regardless of the classification provided by the rating service.

GUIDELINES FOR EQUITIES

Equity securities may include common stocks, convertible preferred stocks, and debt securities convertible into equity securities. Strategies where the majority of the expected return is projected to be derived from investment in long only public equity may be classified as a public equity investment at the discretion of the investment consultant. Such investments, which may be held in a hedge fund structure and have the ability to short equity securities, shall provide liquidity no longer than on a quarterly basis.

International equity managers may employ an active currency management program and deal in futures and options within the discipline of that currency management program. The use of futures and options to establish a leveraged position is prohibited.

Decisions as to individual security selection, security size and quality, number of industries and holdings, current income levels, turnover and the other tools employed by active managers are left to broad manager discretion, subject to the usual standards of fiduciary prudence.

GUIDELINES FOR MULTI-ASSET STRATEGIES

These strategies include global asset allocation and hedge fund strategies where the manager has the strategy(ies) to improve portfolio diversification and generate attractive risk-adjusted returns, relative to equities and fixed income.

These strategies may employ either a passive or active / tactical approach regarding how the assets are invested. The strategies may include products that are focused on generating absolute or real returns compared to other strategies in the portfolio which may be more benchmark sensitive.

When selecting these strategies, consideration will be given to their potential ability to preserve capital in down markets and their ability to potentially hedge inflation risk. Hedge fund strategies may include direct strategies and fund of funds. The primary objective of these strategies is to provide more consistent returns than equities and provide increased diversification to the portfolio. Investments in direct hedge funds are permitted provided the return expectation and/or diversification benefits of such investments offset the reduced liquidity and transparency when compared to traditional investments.

GUIDELINES FOR PRIVATE MARKET AND OPPORTUNISTIC STRATEGIES

Private market investments include investments in private equity, venture capital, private debt and real assets that are illiquid. The objective of these investments is to earn a return in excess of public market equivalents with the goal of capturing an illiquidity premium that more than compensates for the lack of liquidity and the additional administrative burden compared to traditional investments. Opportunistic investments may include traditional, alternative, niche or hybrid strategies that are viewed attractive from a valuation standpoint. They may be offensive (return oriented) or defensive in nature (focus on protecting principal) depending upon capital market conditions. They may also be liquid or illiquid in nature.

GUIDELINES FOR CASH AND ENHANCED CASH STRATEGIES

The Corporation may hold short term funds for a variety of purposes. These funds may be designated for the ongoing operating support of the Corporation, grants awaiting distribution, the support of special projects or other gifts to the Corporation.

While a majority of these short-term funds must be readily accessible, the Corporation may, from time to time, have short term funds which will not be utilized for a defined period of time. The Corporation will notify the agent of the relevant facts upon the deposit of such funds. The Corporation will utilize mutual funds or commingled vehicles when investing the short-term assets. No individual securities may be purchased.

More than one investment vehicle may be utilized in the cash management process depending upon the investment horizon of the assets to be invested. The primary investment vehicle for very short-term liquidity needs should be a U.S. government money market fund that maintains a \$1 NAV to protect principal value; the return generated is a secondary consideration. This fund(s) should have daily liquidity and be rated AAA by Standard and Poor's or Aaa by Moody's Investor Services.

For cash that has a longer time horizon before the funds will be needed (e.g., six months or more), investment in an enhanced cash vehicle is permitted. The effective duration of any fund employed should be less than twenty-five months, and the focus of the fund should be on very high-quality fixed income investments.

INVESTMENT RESTRICTIONS

The assets of the Portfolio may be held in segregated accounts which hold only assets belonging to the Portfolio, or in commingled vehicles. Given the asset size of the Portfolio, it is anticipated the majority of the assets will be held in commingled vehicles. When the Portfolio assets are invested in commingled vehicles such as mutual funds registered with the SEC under the Investment Company Act of 1940, limited partnerships or limited liability companies, it is accepted that such assets will be managed in accordance with the objectives, policies and restrictions set forth in the investment's prospectus, offering memorandum or applicable document that highlights the investment's guidelines. When investing in commingled vehicles, the consultant will select strategies that are consistent with goals and objectives of the Portfolio.

The Investment Consultant has the ability to invest in commingled pools that have the ability to lend securities. If given the option for a potential investment that offers the same strategy that does not lend securities, the bias is to enter into non-lending funds.

The following are prohibited investments that jeopardize the safety of principal concept or non-profit status of the Corporation. The following types or methods of investments are expressly prohibited:

- (1) Trading in securities on margin;
- (2) Investing in working interests in oil or gas wells;
- (3) Derivatives which increase portfolio risk above the level that could be achieved in the portfolio using only traditional investment securities. In addition, derivatives will not be used to acquire exposure to changes in the value of assets or indexes that by themselves would not be purchased for the portfolio. Derivative usage is strictly limited to use by underlying investment manager strategies.
- (4) Purchasing mortgages directly; and
- (5) Unregistered or restricted stock.

ROLES AND RESPONSIBILITIES

Investment Committee

The roles and responsibilities of the Committee are identified in the Investment Committee Charge which is included in Appendix II.

Investment Consultant

The Investment Consultant partners with the Committee to establish and adjust policy objectives and guidelines, including developing long-term asset allocation strategies. Within the discretionary mandate, the consultant is responsible for selecting an appropriate mix of investment manager styles and strategies and conducting all manager searches and selections. Additionally, the consultant will oversee performance calculation, evaluation, and analysis. The consultant is expected to provide timely and relevant information—both written and oral—on investment strategies, instruments, managers, and related topics, as needed by the Committee.

Investment Managers

The selection of investment managers is the responsibility of the Investment Consultant. Each investment manager retained is expected to meet with staff and/or the Committee upon request to review investment activity, results, and other relevant information. They are also required to provide quarterly reports within 30 days of the end of each quarter and to communicate promptly any material changes in the manager's organization, investment process, or philosophy.

Custodian Bank

The majority of the Portfolio's assets will be held in commingled vehicles and thereby the custodian will

be appointed by the investment manager. The custodian is responsible for the safekeeping of assets held in separate accounts and all associated reporting and accounting requirements of the Corporation's staff.

STANDARDS OF INVESTMENT PERFORMANCE

Performance of this Portfolio will be evaluated on a periodic basis. Consideration will be given to the degree to which performance results meet the goals and objectives as set forth herewith. Toward that end, the following standards will be used in evaluating investment performance:

- (1) The compliance of each investment manager with the guidelines as expressed herein.
- (2) The extent to which the total rate of return performance of the Portfolio achieves or exceeds the targeted goals.
- (3) All investment managers shall manage and invest the assets in good faith, with the care an ordinarily prudent person in a like position would exercise under similar circumstances.

IMPLEMENTATION

All moneys invested for the Portfolio by its investment managers after the adoption of this Investment Policy are expected to conform to this policy.

ADOPTION

The Policy was most recently revised and approved by the Corporation Board of Trustees at a meeting on _____.

Signed: _____

Title: _____

Signed: _____

Title: _____

Signed: _____

Title: _____

APPENDIX I
UNIVERSITY CORPORATION AT MONTEREY BAY
LONG-TERM PORTFOLIO INVESTMENT POLICY STATEMENT
ASSET CLASSES, TARGETS AND RANGES

The rate of return target for the Long-Term Portfolio is stated as a range from 5.0 - 7.0 %. In order to have a reasonable probability of earning the desired level of return over a market cycle, the Committee has adopted the long-term asset allocation policy detailed below.

ASSET CLASS	TARGET %	PERMISSIBLE % RANGE	BENCHMARK
CASH	1.0%	0.0% – 5.0%	90 Day U.S. T-Bill
Cash	1.0%	0.0% - 5.0%	90 Day U.S. T-Bill
FIXED INCOME	59.0%	50.0% - 65.0%	Bloomberg Agg.
Core & Core Plus Fixed Income	49.0%	45.0% - 55.0%	Bloomberg Agg.
Opportunistic/Multi-Asset Credit	10.0%	8.0% - 12.0%	Bloomberg Global Agg.
U.S. EQUITY	24.5%	19.5% - 29.5%	Russell 3000
U.S. All Cap Equity*	16.0%	16.5% - 24.5%	Russell 3000
U.S. Large Cap Equity*	8.5%	6.5% - 10.5%	S&P 500 / Russell 1000 ESG
U.S. Mid Cap Equity	0.0%	0.0% - 8.0%	Russell Mid Cap
U.S. Small Cap Equity	0.0%	0.0% - 4.0%	Russell 2000
NON-U.S. EQUITY	10.5%	8.5% - 12.5%	MSCI ACWI ex US IMI
International Developed Large Cap Equity**	5.25%	4.0% - 6.5%	MSCI EAFE / ESG Leaders Index
International Developed Small Cap Equity	2.0%	1.0% - 3.0%	MSCI EAFE Small Cap
Emerging Market Equity	3.25%	2.0% - 4.5%	MSCI Emerging Markets
GLOBAL EQUITY	5.0%	3.5% - 6.5%	MSCI ACWI Min Vol Index Net
Global Low Volatility	5.0%	3.5% - 6.5%	MSCI ACWI Min Vol Index Net
REAL ASSETS	0.0%	0.0% - 0.0%	50% NFI – ODCE / 50% CPI
Private Real Estate	0.0%	0.0% - 0.0%	NFI - ODCE
Private Infrastructure	0.0%	0.0% - 0.0%	Consumer Price Index (CPI)
ALTERNATIVES	0.0%	0.0% - 0.0%	Custom***
Private Credit/Debt	0.0%	0.0% - 0.0%	Custom***
Private Equity/Venture	0.0%	0.0% - 0.0%	Custom***

* The U.S. Large Cap Equity exposure will be split equally between the indexed exposure and environmental sustainability sensitivity strategies.
** The International Developed Large Cap Equity exposure will be split equally between the index exposure and environmental sustainability strategies.
***The custom benchmark for the private market / alternatives category is tied to the composition of the managers employed to invest those asset classes.

LONG-TERM PORTFOLIO POLICY INDEX

The Long-Term Policy Index is a custom benchmark designed to indicate the returns which a passive investor would earn by consistently following the asset allocation targets set forth in this investment policy statement. The Long-Term Policy Index is useful in separating the impact of investment policy from execution of the investment strategy in evaluating the performance of the Corporation's investment program. The Long-Term Policy Index is calculated per the below:

ASSET CLASS	TARGET %	BENCHMARK
CASH	1.0%	90 Day U.S. T-Bill
FIXED INCOME & REAL ASSETS	59.0%	Bloomberg Agg.
EQUITIES & ALTERNATIVES	29.0%	MSCI ACWI IMI
EQUITIES – U.S. ENVIROMENTAL SENSITIVITY	8.5%	Russell 1000 ESG
EQUITIES – NON-U.S. ENVIRONMENTAL SENSITIVITY	2.5%	MSCI EAFE ESG Leaders Index

LONG-TERM PORTFOLIO DEFINITION:

The Long-Term Portfolio, as outlined in the Investment Policy Statement (IPS), comprises funds that are not specifically designated for the organization's short- to medium-term operating needs. These excess funds are allocated to the Long-Term Portfolio with the objective of achieving growth over an extended time horizon. The primary goals of the portfolio are capital appreciation and the generation of returns that support the organization's long-term financial objectives. Investments in this portfolio are typically diversified across asset classes, balancing risk and return to align with the organization's strategic financial goals. This approach facilitates the prudent management of funds intended for future needs.

**UNIVERSITY CORPORATION AT MONTEREY BAY
OPERATING PORTFOLIO INVESTMENT POLICY STATEMENT
ASSET CLASSES, TARGETS AND RANGES**

The rate of return target for the Operating Portfolio is stated as a range from 3.0 - 5.0%. In order to have a reasonable probability of earning the desired level of return over a market cycle, the Committee has adopted the short-term asset allocation policy detailed below.

ASSET CLASS	TARGET %	PERMISSIBLE % RANGE	BENCHMARK
CASH	TBD	0.0% – 100.0%	90 Day U.S. T-Bill
Cash	TBD	0.0% - 100.0%	90 Day U.S. T-Bill
Enhanced Cash	TBD	0.0% - 100.0%	90 Day U.S. T-Bill
FIXED INCOME	TBD	0.0% - 25.0%	Bloomberg U.S. Gov't 1-3yr
Short-term Government Bonds	TBD	0.0% - 25.0%	Bloomberg U.S. Gov't 1-3yr
Core & Core Plus Bonds	TBD	0.0% - 25.0%	Bloomberg Agg.
U.S. EQUITY	0.0%	0.0% - 0.0%	Russell 3000
U.S. All Cap Equity*	0.0%	0.0% - 0.0%	Russell 3000
U.S. Large Cap Equity*	0.0%	0.0% - 0.0%	S&P 500 / Russell 1000 ESG
U.S. Mid Cap Equity	0.0%	0.0% - 0.0%	Russell Mid Cap
U.S. Small Cap Equity	0.0%	0.0% - 0.0%	Russell 2000
NON-U.S. EQUITY	0.0%	0.0% - 0.0%	MSCI ACWI ex US IMI
International Developed Large Cap Equity**	0.0%	0.0% - 0.0%	MSCI EAFE / ESG Leaders Index
International Developed Small Cap Equity	0.0%	0.0% - 0.0%	MSCI EAFE Small Cap
Emerging Market Equity	0.0%	0.0% - 0.0%	MSCI Emerging Markets
GLOBAL EQUITY	0.0%	0.0% - 0.0%	MSCI ACWI Min Vol Index Net
Global Low Volatility	0.0%	0.0% - 0.0%	MSCI ACWI Min Vol Index Net
REAL ASSETS	0.0%	0.0% - 0.0%	50% NFI – ODCE / 50% CPI
Private Real Estate	0.0%	0.0% - 0.0%	NFI - ODCE
Private Infrastructure	0.0%	0.0% - 0.0%	Consumer Price Index (CPI)
ALTERNATIVES	0.0%	0.0% - 0.0%	Custom***
Private Credit/Debt	0.0%	0.0% - 0.0%	Custom***
Private Equity/Venture	0.0%	0.0% - 0.0%	Custom***

* The U.S. Large Cap Equity exposure will be split equally between the indexed exposure and environmental sustainability sensitivity strategies.
** The International Developed Large Cap Equity exposure will be split equally between the index exposure and environmental sustainability strategies.
***The custom benchmark for the private market / alternatives category is tied to the composition of the managers employed to invest those asset classes.

OPERATING PORTFOLIO DURATION GUIDELINES

ASSET CLASS	ALLOCATION POLICY	PERMISSIBLE SECURITIES
CASH	For cash needs: 0 – 6 months	Securities duration of 7 months or less
ENHANCED CASH	For cash needs: 7 – 24 months	Securities duration of 25 months or less
SHORT-TERM GOVERNMENT BONDS	For cash needs: 24 months plus	Securities duration of 37 months or less
CORE & CORE PLUS BONDS	For cash needs: 24 months plus	No restrictions on duration

OPERATING PORTFOLIO POLICY INDEX

The Operating Portfolio Policy Index is a custom benchmark designed to indicate the returns which a passive investor would earn by consistently following the asset allocation targets set forth in this investment policy statement. The Operating Portfolio Policy Index is useful in separating the impact of investment policy from execution of the investment strategy in evaluating the performance of the Corporation's investment program. The Operating Portfolio Policy Index is calculated per the below:

ASSET CLASS	TARGET %	BENCHMARK
CASH	100.0%	90 Day U.S. T-Bill

OPERATING PORTFOLIO DEFINITION:

The Operating Portfolio, as outlined in the Investment Policy Statement (IPS), comprises funds allocated to meet the organization's short- to medium-term operational requirements. The primary objectives for this portfolio are liquidity and capital preservation, ensuring that sufficient resources are available to cover ongoing operational expenses. Investments within this portfolio are typically conservative, focusing on low-risk assets to maintain stability and accessibility. This approach aligns with facilitating effective financial management.

APPENDIX II INVESTMENT COMMITTEE CHARGE

CHARGE

The Investment Committee is responsible for overseeing the management of the university's corporation portfolio in collaboration with the OCIO (Outsourced Chief Investment Officer) investment consultant. Key duties include engaging and evaluating investment consultants and managers, ensuring alignment with the corporation's objectives, and performing additional responsibilities as assigned by the Board of Trustees.

COMMITTEE STRUCTURE

The Investment Committee shall be comprised of industry professionals, key stakeholders, university staff, as well as representatives from both the Foundation and Corporation. The Committee is chaired by a designated member. The Committee shall meet regularly to review the corporation's performance and strategy, providing timely updates to the Board as requested.

RESPONSIBILITIES

The specific responsibilities of the Investment Committee relating to the investment management of assets include:

- (1) Establishing reasonable and consistent investment objectives, policies and guidelines that will direct the investment of the assets;
- (2) Determining the risk tolerance and investment time horizon and communicating these to the appropriate parties;
- (3) Conveying the financial needs of the University and Corporation to the investment consultant on a timely basis;
- (4) Prudently and diligently selecting qualified investment professionals, including the investment consultant(s);
- (5) Quarterly evaluation of the performance of all investments to both monitor investment objective progress and assure adherence to Policy guidelines;
- (6) Periodic review of these guidelines to ensure consistency and to make changes to meet evolving circumstances;
- (7) Developing and enacting proper controls and procedures.

APPENDIX III

SOCIALLY RESPONSIBLE INVESTING POLICY

INTRODUCTION

The Board of Trustees of the California State University adopted resolution RFIN 7-78-6 regarding Social Responsibility and Investments. This resolution urges auxiliary boards that make corporate investments to issue statements of social responsibility and to follow those precepts in examining past and considering future investment policies.

POLICY

The Corporation Board of Directors recognizes and accepts its social responsibility with respect to the investment of funds. However, recognizing the increasingly complex nature of the contemporary economic world and the trade-offs that need to be made given the Corporation's current asset size, the Corporation will be guided by the following practical considerations about investment decisions:

The primary fiduciary responsibility in investing and managing the Corporation's economic assets is to maximize the financial return on those resources, taking into account the amount of risk appropriate for the assets.

The Corporation shall not attempt to achieve absolute "purity" in its investment portfolio nor spend scarce resources searching for problems in the investment portfolio. However, those examples brought the Corporation's attention will be analyzed as carefully and objectively as possible.

It is recognized that investments in pooled funds, which the Corporation may select for diversification or cost management purposes, cannot be controlled or modified. The Corporation shall carefully consider possible social concerns pertaining to investments within pooled funds; however, the Corporation must balance the cost associated with correcting ethical concerns relative to its overall financial objectives.

ADOPTION AND REVIEW

The Corporation Board of Directors has adopted this Socially Responsible Investing Policy, Dated: April 2011 and reviewed as of the reference date of this investment policy statement.

The Investment Committee of the Corporation Board of Directors will review this policy five years from its adoption date to determine its effectiveness and appropriateness. The policy may be evaluated before that time as necessary to reflect Socially Responsible Investing Policy substantial organizational, financial, or physical change(s) at the Corporation or any change required by law or by other governing policy. Any proposed amendments or variations of this policy would require a majority approval by the Corporation Board of Directors.

TO: Board of Directors

DATE: December 4, 2024

FROM: Nancy Ayala

REF: Board Meeting #3
December 12, 2024

SUBJECT: Policy Review

RECOMMENDATION

APPROVE THE SUNSETTING OF THREE POLICIES AND APPROVE THE THREE CSU POLICIES THAT WILL REPLACE THE PROPOSED SUNSETTED POLICIES.

BACKGROUND

The Corporation strives to parallel University (CSU) policies and procedures as much as possible and, where applicable, to minimize confusion within the campus community. The following three policies are proposed for sunseting, and the Corporation will adopt its pertinent CSU Policy:

- 203 Procurement Policy will be sunsetted and replaced with the [CSU's General Provisions for Acquisitions of Goods](#) and [General Provisions for Acquisitions of Services](#). The Corporation takes one exception to the proposed adopted CSU policy. All grant-related acquisitions of goods and services will not follow the CSU General Provisions of Goods and General Provisions for Acquisitions of Services. Grant-related acquisitions of goods and services will continue to adhere to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance, or UG) [2 CFR 200.320](#). The 203 Procurement Policy mirrored UG procurement guidelines; there will be no changes or disruptions to current grant-related purchases or service processes.
- 361-001-E Travel Policy will be sunsetted and replaced with the [CSU Travel Policy \(Travel and Business Expense Payments\)](#). There is no reason to have a separate policy. The Corporation takes no exceptions to the proposed adopted CSU policy.
- The 101 Record Retention Policy will be sunsetted and replaced with the [CSU Records Retention and Disposition Policy](#) to ensure the Corporation complies with legal and regulatory requirements and implements operational best practices. The Corporation takes no exception to the proposed adopted CSU policy.

UNIVERSITY CORPORATION AT MONTEREY BAY
BOARD POLICY

Policy 106

CSU Adopted Policies

Section: 100- Administration

Issue Date: 12/12/24

Last Reviewed:

Previous Versions:

Previous #: 203 Procurement, 361-001-E Travel Policy, 101 Record Retention Policy

1) PURPOSE

The University Corporation will adopt CSU policies when there is no regulatory requirement for a separate policy. This will ensure compliance with legal and regulatory requirements and implementation of operational best practices.

2) POLICY

The University Corporation will adopt the following CSU Policies:

Procurement

[General Provisions for Acquisitions of Goods](#)

[General Provisions for Acquisitions of Services](#)

Exception: All grant-related acquisitions of goods and services will not follow the CSU General Provisions of Goods and General Provisions for Acquisitions of Services. Grant-related acquisitions of goods and services will continue to adhere to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance, or UG) [2 CFR 200.320](#).

Travel

[Travel Policy \(Travel and Business Expense Payments\)](#).

Records Retention

[Records Retention and Disposition Policy](#)

The Corporation hereby adopts, in its entirety, the aforementioned policies with exceptions(s).

3) RELATED DOCUMENTS



TO: Board of Directors **DATE:** December 5, 2024

FROM: Alan L. Fisher **REF:** Board Meeting #3
Interim Vice President of Administration & Finance, Chief Financial Officer December 12, 2024

SUBJECT: A4 – ADDITIONAL FUNDING REQUEST

RECOMMENDATION

APPROVE THE REQUEST FOR AN ADDITIONAL \$500,000 GIFT AND A \$500,000 ADVANCE TO THE COST ALLOCATION PLAN FOR THE DESIGN & CONSTRUCTION OF THE EDWARD “TED” TAYLOR SCIENCE AND ENGINEERING BUILDING ALSO KNOWN AS A-4.

AUTHORIZE THE EXECUTIVE DIRECTOR OR DESIGNEE TO FINALIZE THE PAYMENT TERMS OF THIS PROJECT, WHICH MAY BE SPENT OVER SEVERAL FISCAL YEARS.

BACKGROUND

At the March 21, 2024, meeting, the Board approved a \$2M gift and \$2M loan plus 5% interest for the Design and Construction of the Edward "Ted" Taylor Science and Engineering Building, also known as Building A-4. After further review and the Chancellor's Office recommendation, the \$2M was restructured from a loan to an advance to the University against the cost allocation plan.

PURPOSE

The University needs an additional \$500K gift and a \$500K advance to the cost allocation plan to complete the design and construction of the Edward “Ted” Taylor Science and Engineering Building. In total, the University Corporation will gift \$2.5 million to CSUMB for the design and construction of the Academic 4 building, plus advance to the cost allocation plan for an additional \$2.5 million to CSUMB. The final terms will be determined by the Vice President of Administration & Finance, Chief Financial Officer, and CSUMB and Corporation staff.

At the Board meeting on December 12, 2024, the Board will be asked to approve \$500K gift and \$500K advance to the cost allocation plan to complete the design and construction of the Edward “Ted” Taylor Science and Engineering Building and authorize the Executive Director and/or designee to execute any agreements on behalf of the Corporation and finalize payment terms of this project. Corporation staff recommends the approval of the requests.

University Corporation at Monterey Bay
Operating Statement of Revenues and Expenses
September 30, 2024

	<u>Annual Budget</u>	<u>1st Qtr Budget</u>	<u>Actuals</u>	<u>% Annual Budget</u>	<u>Variance</u>
Operating Revenues:					
Housing	\$ 50,755,941	18,437,709	\$ 15,870,252	31.3%	(2,567,457)
Sales and services of auxiliary enterprises, net	10,823,815	4,199,546	4,841,444	44.7%	641,898
Other operating revenues	4,099,260	583,800	473,847	11.6%	(109,953)
Total Operating Revenues	<u>65,679,016</u>	<u>23,221,055</u>	<u>21,185,543</u>	<u>32.3%</u>	<u>(2,035,512)</u>
Operating Expenses:					
Salaries and benefits	10,085,367	2,521,342	1,995,782	19.8%	525,560
Contract services	20,868,779	4,792,312	4,084,557	19.6%	707,755
Utilities	7,582,708	1,895,677	1,407,814	18.6%	487,863
Supplies and services	726,275	181,569	260,169	35.8%	(78,600)
Travel	116,577	26,894	12,711	10.9%	14,183
Scholarships	20,000	5,000	-	0.0%	5,000
Professional services	200,600	79,983	124,813	62.2%	(44,830)
Concessions	215,000	43,000	46,785	21.8%	(3,785)
Repairs and maintenance	5,872,879	1,468,220	3,191,995	54.4%	(1,723,775)
Rental expense	161,000	40,250	22,948	14.3%	17,302
Equipment	915,576	228,894	814,083	88.9%	(585,189)
Information technology	665,160	166,290	187,028	28.1%	(20,738)
Insurance	3,293,505	823,376	678,322	20.6%	145,054
Depreciation and amortization	11,425,829	2,856,457	2,580,989	22.6%	275,468
Cost of real estate sold	1,900,000	475,000	-	0.0%	475,000
Other operating costs	1,833,099	364,215	552,088	30.1%	(187,873)
Total Operating Expenses	<u>65,882,354</u>	<u>15,968,479</u>	<u>15,960,084</u>	<u>24.2%</u>	<u>8,395</u>
Operating Income	<u>(203,338)</u>	<u>7,252,576</u>	<u>5,225,459</u>		<u>(2,027,117)</u>

University Corporation at Monterey Bay
Statements of Revenues, Expenses and Changes in Net Position

	<u>September 30, 2024</u>	<u>September 30, 2023</u>
Operating Revenues:		
Housing	\$ 15,870,252	\$ 15,103,799
Grants and contracts:		
Federal	4,003,539	2,981,524
State	767,740	734,417
Local	6,155	17,697
Nongovernmental	363,939	429,716
Sales and services of auxiliary enterprises, net	4,843,132	4,317,393
Other operating revenues	883,657	619,429
Total Operating Revenues	<u>26,738,414</u>	<u>24,203,975</u>
Operating Expenses:		
Salaries and benefits	5,062,462	5,064,185
Contract services	4,923,505	4,289,991
Subawards	537,352	23,957
Utilities	1,409,684	1,462,143
Supplies and services	440,072	382,275
Travel	196,313	118,667
Scholarships	2,269,289	1,496,663
Professional services	125,362	31,278
Concessions	46,785	38,132
Repairs and maintenance	3,192,076	1,136,051
Rental expense	31,274	4,518
Equipment	795,178	152,873
Information technology	262,244	211,796
Insurance	685,355	577,619
Depreciation and amortization	2,581,085	2,871,894
Cost of real estate sold	-	242,167
Other operating costs	978,947	832,873
Total Operating Expenses	<u>23,536,983</u>	<u>18,937,082</u>
Operating Income/(Loss)	<u>3,201,431</u>	<u>5,266,893</u>

University Corporation at Monterey Bay
Statements of Revenues, Expenses and Changes in Net Position

	<u>September 30, 2024</u>	<u>September 30, 2023</u>
Nonoperating Revenues (Expenses):		
Gifts and donations, noncapital	\$ 857,892	\$ 620,616
Investment income	387,062	305,526
Realized and unrealized gains on investments	2,484,471	(1,712,540)
University support, noncapital	(950,000)	-
Contributions to Foundation	(23,712)	(3,107)
Interest on capital-related debt	(908,107)	(933,662)
Gain on disposal of assets	(47,275)	-
Other nonoperating revenues, net	108,799	329,726
Total Nonoperating Revenues (Expenses)	<u>1,909,130</u>	<u>(1,393,441)</u>
Income before other changes to Net Position	<u>5,110,561</u>	<u>3,873,452</u>
Other Changes in Net Position:		
Other Changes in Net Position:		
University support, capital	-	(55,404)
Total Other Changes in Net Position	<u>-</u>	<u>(55,404)</u>
Increase in Net Position	5,110,561	3,818,048

**University Corporation at Monterey Bay
Statements of Net Position**

Assets	<u>September 30, 2024</u>	<u>June 30, 2024</u>
<u>Current Assets:</u>		
Cash and cash equivalents	\$ 9,376,100	\$ 9,536,319
Short-term investments	369,248	367,837
Receivables:		
Grants and contracts, net	6,228,395	7,674,429
Property manager	231,676	362,427
University	4,453,545	782,676
Foundation	16,652	12,150
Otter Student Union	169,858	113,642
CSU	19,355	19,355
Housing, net	210,946	279,892
Other, net	2,435,776	2,200,119
Pledges receivable, net	355,081	457,081
Leases receivable	5,009,991	20,643
Prepaid expenses and other assets	325,958	164,736
Real estate held for sale	3,871,161	2,614,990
Total Current Assets	<u>33,073,742</u>	<u>24,606,296</u>
<u>Noncurrent Assets:</u>		
Pledges receivable, net of current	606,666	606,666
Leases receivable, net of current	812,270	419,300
Long-term investments	52,104,883	54,315,359
Capital assets, net	144,584,492	142,173,507
Other noncurrent assets	40,000	40,000
Total Noncurrent Assets	<u>198,148,311</u>	<u>197,554,832</u>
Total Assets	<u>231,222,053</u>	<u>222,161,128</u>

**University Corporation at Monterey Bay
Statements of Net Position**

	<u>September 30, 2024</u>	<u>June 30, 2024</u>
Liabilities		
<u>Current Liabilities:</u>		
Accounts payable	\$ 6,565,314	\$ 10,255,292
Payable to University	11,338,237	10,101,284
Payable to Foundation	271,982	186,491
Payable to Otter Student Union	39,280	96,325
Payable to CSU	1,312,875	525,150
Accrued salaries and benefits payable	1,485,473	1,681,341
Unearned revenue:		
Housing	68,615	70,985
Other	6,520,288	6,894,148
Lease liability, current	4,649,597	4,415,635
Notes payable, current	1,525,000	1,525,000
Other liabilities	498,991	204,484
Total Current Liabilities	<u>34,275,652</u>	<u>35,956,135</u>
<u>Noncurrent Liabilities:</u>		
Lease liability, net of current	39,563,495	39,191,337
Notes payable, net of current	75,241,724	75,340,811
Depository accounts	356,945	353,035
Total Noncurrent Liabilities	<u>115,162,164</u>	<u>114,885,183</u>
Total Liabilities	<u>149,437,816</u>	<u>150,841,318</u>
<u>Deferred Inflows of Resources:</u>		
Leases	5,771,164	417,298
Total deferred inflows of resources	<u>5,771,164</u>	<u>417,298</u>
Net Position		
<u>Net Position:</u>		
Net investment in capital assets	\$ 31,542,972	\$ 29,556,059
Restricted for:		
Expendable:		
Pledges receivable	961,747	1,063,747
Net gifts and donations	5,504,490	5,401,635
Net sponsored programs	22,443	38,119
Other	168,547	90,450
Unrestricted	<u>37,812,874</u>	<u>34,752,502</u>
Total Net Position	<u>\$ 76,013,073</u>	<u>\$ 70,902,512</u>

University Corporation at Monterey Bay
Supplemental Information - Other Revenues and Expenses
September 30, 2024

Other Operating Revenues - detail of significant items

OLLI Workshop fees	\$ 71,857
Cost recovery from Foundation (Corporation employees)	44,241
Computing Talent Initiative project revenue	290,693
Montage-Nursing Concurrent Enrollment Program	20,196
Water and sewage cost recovery from housing occupants	227,427
Verizon and Legacy lease receivable payments (deferred inflow)	177,740

Other Operating Expenses - detail of significant items

Participant support (programs such as TRIO and SLI)	\$ 257,022
Bank fees for wires and credit card processing	60,551
Hospitality and community outreach (including advertising)	156,343
Registration fees for professional development	31,635
Speaker fees and Honoraria for OLLI and grant programs	24,760
Residential Life student programs and activities	62,295
KAZU Programming subscriptions	120,393

**UNIVERSITY CORPORATION at MONTEREY BAY
2023/2024 REPORT OF PUBLIC EXTERNAL FUNDING
SPONSORED PROGRAMS**

**SUMMARY REPORT
7/1/23-6/30/24**

I. PUBLIC AWARDS RECEIVED 2023/2024 (4th QUARTER)

(Awards Goal Compared to Actual Awards)

	23/24 GOAL		AMOUNT THRU 6/30/24		NUMBER OF AWARDS		FY 22/23 COMPARISON	
							AMOUNT THRU 6/30/23	NUMBER OF AWARDS
NEW PUBLIC FUNDS								
DIRECT	\$15,000,000		\$ 28,245,766		54		\$ 20,980,629	77
INDIRECT	<u>\$2,000,000</u>		<u>\$3,144,512</u>		(plus 37 supplements)		<u>\$1,984,021</u>	(plus 38 supplements)
TOTAL PUBLIC	\$17,000,000		\$ 31,390,278				\$22,964,650	
II. ONGOING PUBLIC AWARDS 2023/2024			\$77,766,815		121		\$68,921,571	131
Total Awards (New + Ongoing)			\$109,157,093		175		\$91,886,221	208
III. PENDING PUBLIC PROPOSALS								
			\$61,940,222		91		\$63,039,532	103

University Corporation at Monterey Bay

FY23-24Q4 7/1/23-6/30/24

Sponsored Programs - AWARDS

118	State	R&D	CSU Fresno Fdn-CSU ARI - CSU Chan Weighing lysimeter studies to determine evapotranspiration	Adam J Purdy	AES	AA/Science	\$ 54,000	\$ -	\$ 54,000	\$54,000						7/1/21-6/30/24
119	State	Academic St	CA Office of Statewide Health Planning MSW Program Expansion	Maria A Gurnola	Social Work	AA/HSHS	\$ 3,579,132	\$ -	\$ 3,579,132	\$3,579,132						3/30/23-6/30/27
120	State	Public Servi	City of Salinas - State of California Dept CESH 2	Maria A Gurnola	Social Work	AA/HSHS	\$ 142,001	\$ 27,909	\$ 169,910	\$169,910						2/1/22-12/31/23
121	State	Public Servi	Monterey County Health Dept/Behaviora No Zip Code Left Behind: Addressing Inequities through Co	Ignacio A Navarro/Kim M Juds	HHSPP	AA/HSHS	\$ 534,056	\$ 53,406	\$ 587,462	\$587,462						9/1/22-6/16/26
122	State	Student Sch	CA Dept. Fish & Wildlife CSU COAST Summer Internships 2023-25 CDFW	Krista P Kamer	COAST	AA/GS&R	\$ 51,471	\$ -	\$ 51,471	\$51,471						7/1/23-6/30/25
123	State	R&D	Agricultural Research Institute/California Etiology and Integrated Management of Pythium wilt of Lett	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 98,944	\$ 11,873	\$ 110,817	\$110,817						1/1/23-12/30/23
124	State	R&D	Regents of UC/Riverside - CA Leafy Gre Lettuce variety evaluation for tolerance to Pythium wilt and I	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 7,047	\$ -	\$ 7,047	\$7,047						4/1/23-3/31/24
125	State	R&D	Regents of UC/Riverside - CA Leafy Gre Tackling the triple threat of thrips, pythium, and impatiens n	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 13,190	\$ -	\$ 13,190	\$13,190						1/25/23-9/30/24
126	State	R&D	Agricultural Research Services - Californi USDA Lettuce Breeding for Improved Resistance to Pythium	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 11,890	\$ -	\$ 11,890	\$11,890						4/1/23-9/30/24
127	State	R&D	CA Leafy Greens Research Board-CA De Carbon Nanoparticle Addition to Fertilizers as a Strategy to I	Arun D Jani	Bio & Chem	AA/Science	\$ 6,500	\$ -	\$ 6,500	\$6,500						4/1/23-3/31/24
128	State	R&D	California Commission on Teaching Cre The Science Teacher Integrated Education Program at CSUM	Dennis A Kombe/Corin D Slow	Education & L	AA/Education/Sc	\$ 98,393	\$ 29,517	\$ 127,910	\$127,910						3/1/23-3/31/25
129	State	Student Sch	San Francisco Bay Conservation and Dev CSU COAST Summer Internships 2023-28: San Francisco E	Krista P Kamer	COAST	AA/GS&R	\$ 4,000	\$ -	\$ 4,000	\$4,000						5/1/23-4/30/24
130	State	Academic St	California Office of Statewide Health Pla Song-Brown Primary Care Physician Assistant Training Pro	Christopher P Forest, Valerie Je PA	AA/HSHS	\$ 168,000	\$ -	\$ 168,000	\$0	\$ 168,000	\$ -	\$ -	\$ 168,000	\$ 168,000	\$ 6/30/23-8/30/24	
131	State	R&D	Agricultural Research Institute/California Mapping Fuel Moisture in Coastal California to inform Fire	Adam J Purdy	AES	AA/Science	\$ 26,000	\$ -	\$ 26,000	\$0	\$ 26,000	\$ -	\$ -	\$ 26,000	\$ 7/1/23-6/30/25	
132	State	R&D	Regents of UC/Santa Cruz - UCOP Improving water system resilience to climate change with lev	John Robert Olson, Susan Eliza	AES	AA/Science	\$ 194,545	\$ 15,384	\$ 209,929	\$0	\$ 194,545	\$ 15,384	\$ -	\$ 209,929	\$ 8/1/23-7/31/25	
133	State	Academic St	Foundation of CA Community Colleges Growing Grading for Growth in STEM	Lipika Deka, Alison G Lynch, J Math & Statis	AA/Science	\$ 286,539	\$ 13,461	\$ 300,000	\$0	\$ 286,539	\$ 13,461	\$ -	\$ 300,000	\$ 8/1/23-7/31/25		
134	State	R&D	Regents of UC/Santa Cruz - UCOP Building data science communities for improving student su	Judith Canner	Math & Statis	AA/Science	\$ 75,269	\$ 6,022	\$ 81,291	\$0	\$ 75,269	\$ 6,022	\$ -	\$ 81,291	\$ 7/1/23-6/30/26	
135	State	R&D	Agricultural Research Institute/California Leafy Greens Root Growth and Morphological Response to C	Arun D Jani	Bio & Chem	AA/Science	\$ 18,310	\$ -	\$ 18,310	\$0	\$ 18,310	\$ -	\$ -	\$ 18,310	\$ 7/1/23-12/3/24	
136	State	R&D	CA Sea Grant/Regents of UC-CA Ocean Long-term Monitoring of Mid-Depth Rocky Reef Ecosystem	James B Lindholm	Marine Scienc	AA/Science	\$ 572,293	\$ 74,801	\$ 647,094	\$0	\$ 572,293	\$ 74,801	\$ -	\$ 647,094	\$ 6/1/23-9/30/24	
137	State	R&D	CSU ARI - CSU Chancellor Office Expanding the implementation and advancing development o	Nathaniel Jue	Bio & Chem	AA/Science	\$ 179,513	\$ 21,542	\$ 201,055	\$0	\$ 179,513	\$ 21,542	\$ -	\$ 201,055	\$ 7/1/23-6/30/26	
138	State	R&D	SJSURF - CSU COAST CCFRP Assessment of Marine Protected Area Performance	Salvador Jorgensen	Marine Scienc	AA/Science	\$ 22,330	\$ 2,233	\$ 24,563	\$0	\$ 22,330	\$ 2,233	\$ -	\$ 24,563	\$ 8/7/23-5/31/26	
139	State	Public Servi	Coalition of Homeless Services Provider County Wide Outreach	Renie E Rondon Jackson	Social Work	AA/HSHS	\$ 214,979	\$ 40,021	\$ 255,000	\$0	\$ 214,979	\$ 40,021	\$ -	\$ 255,000	\$ 10/15/23-6/30/24	
140	State	R&D	CA Leafy Greens Research Board-CA De Screening of Romaine and Head Lettuce Varieties for Toleran	Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 17,704	\$ -	\$ 17,704	\$0	\$ 17,704	\$ -	\$ -	\$ 17,704	\$ 4/1/23-9/30/24	
141	State	R&D	Regents of UC/University of California C Supporting Teachers in Implementing Justice-Centered Clim	Alyssa Sayavedra	Ed & Leadersh	AA/Education	\$ 50,321	\$ 5,032	\$ 55,353	\$0	\$ 50,321	\$ 5,032	\$ -	\$ 55,353	\$ 8/1/23-7/31/25	
142	State	R&D	Regents of UC - CA Ocean Protection C Long Term Monitoring Deep Rocky Reefs at the Ano Nuevo	James B Lindholm	Marine Scienc	AA/Science	\$ 14,600	\$ 3,650	\$ 18,250	\$0	\$ 14,600	\$ 3,650	\$ -	\$ 18,250	\$ 6/1/23-12/31/23	
143	State	Public Servi	CA Wildlife Conservation Board Pollinator Plant Propagation	Laura L Lienk	AES	AA/Science	\$ 3,090	\$ 618	\$ 3,708	\$0	\$ 3,090	\$ 618	\$ -	\$ 3,708	\$ 1/1/24-1/31/27	
144	State	R&D	Wildlife Conservation Society/New York 5234101A: Applying environmental DNA analysis to biodiv	Susan Elizabeth Alter	Bio & Chem	AA/Science	\$ 57,971	\$ 10,435	\$ 68,406	\$0	\$ 57,971	\$ 10,435	\$ -	\$ 68,406	\$ 5/1/22-12/15/25	
145	State	Student Sch	San Francisco Bay Conservation and Dev CSU COAST Summer Internships 2023-28: San Francisco E	Krista P Kamer	COAST	AA/GS&R	\$ 4,000	\$ -	\$ 4,000	\$0	\$ 4,000	\$ -	\$ -	\$ 4,000	\$ 5/1/24-4/30/25	
146	State	Public Servi	CA Coastal Commission Whale Tail-4th grade-Flows to the Bay	James Seth Gustafson	AES	AA/Science	\$ 46,442	\$ 3,558	\$ 50,000	\$0	\$ 46,442	\$ 3,558	\$ -	\$ 50,000	\$ 4/15/24-4/15/26	
							TOTAL STATE	\$ 14,293,536	\$ 1,332,303	\$ 15,625,839	\$ 13,142,391	\$ 2,285,738	\$ 197,710	\$ 2,483,448		

Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term			
147	Local	Public Servi Central CA Coast Alliance for Health	Everyone's Harvest Fresh Rx	Vanessa Lopez-Littleton	HHSPP	AA/HSHS/Scienc	\$ 8,100	\$ -	\$ 8,100	\$8,100			9/1/20-12/31/23			
148	Local	Public Servi Transportation Agency for Monterey Cou	SCYP Partnership with TAMC	Daniel M Fernandez	AES	AA/Science	\$ 99,024	\$ 23,347	\$ 122,371	\$122,371			8/25/21-6/30/26			
149	Local	Public Servi Monterey Peninsula Unified School Dist	Eco-Ambassadors 22-23 School Gardens	Laura L Lienk	AES	AA/Science	\$ 10,909	\$ 1,091	\$ 12,000	\$12,000			7/27/22-6/30/24			
150	Local	Public Servi Monterey Peninsula Unified School Dist	MPUSD Visits 23-24	Laura L Lienk	AES	AA/Science	\$ 11,997	\$ 1,200	\$ 13,197	\$0	\$ 11,997	\$ 1,200	\$ 13,197	\$ 8/1/23-5/31/24		
151	Local	Public Servi Monterey Peninsula Unified School Dist	MPUSD Field Trips 23-24	Laura L Lienk	AES	AA/Science	\$ 42,407	\$ 4,240	\$ 46,647	\$0	\$ 42,407	\$ 4,240	\$ 46,647	\$ 8/1/23-5/31/24		
152	Local	R&D	Monterey Peninsula Water Management I Carmel River Cross Section & Ben Grain Size Survey	James Joseph Guilingier	AES	AA/Science	\$ 16,630	\$ 5,820	\$ 22,450	\$0	\$ 16,630	\$ 5,820	\$ 22,450	\$ 9/5/23-2/28/24		
153	Local	Academic St	Blue Strike Environmental ReGEN Otter Eats	Joanna L Snawder-Manzo	Dean Students	SA/Dean Student	\$ 6,902	\$ 2,416	\$ 9,318	\$0	\$ 6,902	\$ 2,416	\$ 9,318	\$ 1/17/24-12/31/24		
							TOTAL LOCAL GOV	\$ 195,969	\$ 38,114	\$ 234,083	\$ 142,471	\$ 77,936	\$ 13,676	\$ 91,612		

Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term	
154	Other	Student Sch	Google LLC Computing Talent Initiative	Sathya Narayanan	SCD	AA/Science	\$ 100,000	\$ -	\$ 100,000	\$100,000			12/15/19-12/14/25	
155	Other	Academic St	CSU Creates/CSU Office of the Chancell The AHRC/MM Project: Supporting Black Students Throug	Vanessa Lopez-Littleton, Dennis	HHS&PP/Educa	AA/HSHS/Educa	\$ 87,619	\$ 4,381	\$ 92,000	\$92,000			7/1/22-7/1/23	
156	Other	R&D	American Chemical Society Investigating Inhibitor Selectivity and Affinity to Prevent As	Arunkumar Sharma	Bio & Chem	AA/Science	\$ 60,000	\$ -	\$ 60,000	\$30,000	\$ 30,000	\$ -	\$30,000	\$ 9/1/22-8/31/25
157	Other	R&D	Santa Lucia Conservancy Santa Lucia Conservancy Hydrology Monitoring 2023	John Robert Olson, James Josep	AES	AA/Science	\$ 14,036	\$ 2,105	\$ 16,141	\$16,141			1/31/23-1/31/24	
158	Other	R&D	Central Coast Water Quality Preservation Cooperative Monitoring Program Annual Report 2023	John Robert Olson	AES	AA/Science	\$ 18,285	\$ 7,863	\$ 26,148	\$26,148			2/1/23-12/31/23	
159	Other	Student Sch	Aquarium of the Pacific CSU COAST Summer Internships 2023-27: Aquarium of th	Krista P Kamer	COAST	AA/GS&R	\$ 12,000	\$ -	\$ 12,000	\$4,000	\$ 8,000	\$ -	\$8,000	\$ 5/1/23-4/30/29
160	Other	Student Sch	Friends of Cabrillo Marine Aquarium CSU COAST Summer Internships 2023-27: Cabrillo Marine	Krista P Kamer	COAST	AA/GS&R	\$ 16,000	\$ -	\$ 16,000	\$8,000	\$ 8,000	\$ -	\$8,000	\$ 5/1/23-4/30/28
161	Other	Student Sch	CA OST CSU COAST Summer Internships 2023-27: California Ocea	Krista P Kamer	COAST	AA/GS&R	\$ 12,000	\$ -	\$ 12,000	\$4,000	\$ 8,000	\$ -	\$8,000	\$ 5/1/23-4/30/28
162	Other	R&D	Mathematical Association of America MAA Travel Funds	Steven B Kim	Math & Statis	AA/Science	\$ 5,000	\$ -	\$ 5,000	\$5,000			6/1/23-5/31/24	
163	Other	R&D	OpenET, Inc-Oregon Water Resources De Development of a Geodatabase with Time Series Satellite-Ba	Adam J Purdy	AES	AA/Science	\$ 55,833	\$ 11,167	\$ 67,000	\$28,000	\$ 32,500	\$ 6,500	\$39,000	\$ 6/1/23-6/30/24
164	Other	R&D	OpenET, Inc-Colorado River Authority o OpenET Applications for Water Resources Management w	Adam J Purdy	AES	AA/Science	\$ 565,701	\$ 113,140	\$ 678,841	\$0	\$ 565,701	\$ 113,140	\$678,841	\$ 6/1/23-2/23/27
165	Other	Academic St	CSU Creates/CSU Office of the Chancell Pilot Recitation Program for anatomy and physiology	Eric A Martic, Trish G Sevenc	Kinesiology	AA/HSHS	\$ 142,846	\$ 7,142	\$ 149,988	\$0	\$ 142,846	\$ 7,142	\$149,988	\$ 1/23-8/1/24
166	Other	Public Servi	Big Sur Land Trust Carr Lake Demonstration Garden 2023-2024 Weekend Stewa	Laura L Lienk	AES	AA/Science	\$ 5,815	\$ 872	\$ 6,687	\$0	\$ 5,815	\$ 872	\$6,687	\$ 10/1/23-6/30/24
167	Other	Academic St	CSU Office of the Chancellor-ECMC Fo Equity Minded Pedagogy Support	Vanessa Lopez-Littleton	HSHS	AA/HSHS	\$ 16,281	\$ 814	\$ 17,095	\$0	\$ 16,281	\$ 814	\$17,095	\$ 3/6/23-7/31/23
168	Other	R&D	Environmental Defense Fund Forest Health Applications of Evapotranspiration Data	Adam J Purdy	AES	AA/Science	\$ 22,494	\$ 4,499	\$ 26,993	\$0	\$ 22,494	\$ 4,499	\$26,993	\$ 1/15/24-9/30/24
169	Other	R&D	Carmel River Watershed Conservancy Quantifying Wildfire Regime Impacts on Carmel Watershed I	James Joseph Guilingier	AES	AA/Science	\$ 10,831	\$ 1,083	\$ 11,914	\$0	\$ 10,831	\$ 1,083	\$11,914	\$ 3/1/24-3/1/25

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Sponsored Programs - AWARDS

170	Other	Public Servi Coastal Conservation & Research	Planting with CCR in North Monterey County	Laura L Lienk	AES	AA/Science	\$ 7,077	\$ 3,043	\$ 10,120	\$0	\$ 7,077	\$ 3,043	\$10,120	10/1/24-12/31/25
171	Other	R&D Central Coast Water Quality Preservation	Cooperative Monitoring Program Annual Report - 2024	John Robert Olson	AES	AA/Science	\$ 18,913	\$ 8,132	\$ 27,045	\$0	\$ 18,913	\$ 8,132	\$27,045	2/1/24-12/31/24
172	Other	Student Sch The Nature Conservancy	COAST Internships 2024 TNC	Krista P Kamer	COAST	AA/GS&R	\$ 8,000	\$ -	\$ 8,000	\$0	\$ 8,000	\$ -	\$8,000	5/29/24-11/1/24
173	Other	Public Servi Aspire Healthplan	Exploratory Studey to Identify the Feasibility of Future Rese	Kim M Judson, Ignacio A Nava	HHSP	AA/HS	\$ 45,455	\$ 4,545	\$ 50,000	\$0	\$ 45,455	\$ 4,545	\$50,000	5/1/24-9/30/24
174	Other	Student Sch CA Marine Sanctuary Foundation	COAST Internships 2024-28 OCC	Krista P Kamer	COAST	AA/GS&R	\$ 8,000	\$ -	\$ 8,000	\$0	\$ 8,000	\$ -	\$8,000	5/1/24-4/30/25
175	Other	Student Sch Orange County Coastkeepers	COAST Internships 2024-28 CMSF	Krista P Kamer	COAST	AA/GS&R	\$ 4,000	\$ -	\$ 4,000	\$0	\$ 4,000	\$ -	\$4,000	5/1/24-4/30/25
TOTAL OTHER							\$ 1,236,186	\$ 168,786	\$ 1,404,972	\$ 313,289	\$ 941,913	\$ 149,770	\$ 1,091,683	
GRAND TOTAL							\$ 97,342,011	\$ 11,815,085	\$ 109,157,096	\$ 77,766,815	\$ 28,245,766	\$ 3,144,512	\$ 31,390,278	

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Sponsored Programs Activity Report**

ACADEMIC SUPPORT

Type	Activity Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term
1 Federal	Academic St U.S. Department of Education		Title V-METAS Mentorship & Equity in Transitions to Ach	Dale Grubb	Academic Plan	AA/AP&IE	\$ 2,399,413	\$ -	\$ 2,399,413	\$1,799,676	\$ 599,737	\$ -	\$ 599,737	10/1/20-9/30/24
2 Federal	Academic St US Dept. Education		The Making Accessible and Effective Systems for Teacher R	Cathi Draper Rodriguez	Education & L	AA/Education	\$ 3,750,000	\$ -	\$ 3,750,000	\$3,750,000				10/1/18-9/30/24
3 State	Academic St CA Commission on Teacher Credentialin		SPED-Education Specialist Mild/Moderate and Moderate/Sev	Cathi Draper-Rodriguez	Education & L	AA/Education	\$ 227,273	\$ 22,727	\$ 250,000	\$250,000				12/5/16-12/31/23
4 State	Academic St CA Commission on Teacher Credentialin		LS-MS-Bilingual Authorization ITEP-Bilingual Elementary	Cathi Draper-Rodriguez	Education & L	AA/Education	\$ 227,273	\$ 22,727	\$ 250,000	\$250,000				12/5/16-12/31/23
5 Federal	Academic St U.S. Department of Education		Project POPPY: Preparing Observational Practitioners throug	Erin M Ramirez, Megan Sulst	Teacher Educa	AA/Education	\$ 4,171,935	\$ 37,853	\$ 4,209,788	\$3,547,835	\$ 656,711	\$ 5,242	\$ 661,953	10/1/2019-9/30/24
6 Federal	Academic St Regents of UC/Santa Cruz - USDA		Cultivando Lideres: A regional partnership for training and se	Jessica Bautista	UROC	AA/GS&R	\$ 780,906	\$ 96,754	\$ 877,660	\$0	\$ 780,906	\$ 96,754	\$ 877,660	6/15/23-6/14/28
7 Federal	Academic St US Dept of Education		Ronald E. McNair Scholars 2022-2027 Yr 1,2	John E Banks	UROC	AA/GS&R	\$ 513,788	\$ 20,464	\$ 534,252	\$261,888	\$ 261,744	\$ 10,620	\$ 272,364	10/1/22-9/30/24
8 State	Academic St UEI Sacramento - Chancellor's Office		CSU LSAMP Yr 5 STEM Pathways & Research Alliance+	John E Banks	UROC	AA/GS&R	\$ 211,780	\$ -	\$ 211,780	\$100,890	\$110,890	\$0	\$110,890	9/1/22-8/31/24
9 Federal	Academic St U.S. Department of Agriculture		Increased degree attainment in FANH Sciences: Creating a re	John E Banks, Jose Pablo Dund	UROC-BIO	AA/GS&R-COS	\$ 842,912	\$ 132,402	\$ 975,314	\$975,314				9/1/2021-8/31/25
10 Other	Academic St CSU Office of the Chancellor-ECMC Fo		Equity Minded Pedagogy Support	Vanessa Lopez-Littleton	HSHS	AA/HSHS	\$ 16,281	\$ 814	\$ 17,095	\$0	\$ 16,281	\$ 814	\$17,095	3/6/23-7/31/23
11 Other	Academic St CSU Creates/CSU Office of the Chancell		Pilot Recitation Program for anatomy and physiology	Eric A Martic, Trish G Sevene	Kinesiology	AA/HSHS	\$ 142,846	\$ 7,142	\$ 149,988	\$0	\$ 142,846	\$ 7,142	\$149,988	1/23-8/1/24
12 State	Academic St California Office of Statewide Health Pla		Song-Brown Primary Care Physician Assistant Training Proj	Christopher P Forest, Valerie Je PA		AA/HSHS	\$ 168,000	\$ -	\$ 168,000	\$0	\$ 168,000	\$ -	\$ 168,000	6/30/23-8/30/24
13 State	Academic St California Office of Statewide Health Pla		Song-Brown Primary Care Physician Assistant Training Proj	Christopher P Forest, Valerie Je	Physician Ass	AA/HSHS	\$ 60,000	\$ -	\$ 60,000	\$60,000				6/30/22-8/31/23
14 State	Academic St CA Office of Statewide Health Planning		MSW Program Expansion	Maria A Gurrola	Social Work	AA/HSHS	\$ 3,579,132	\$ -	\$ 3,579,132	\$3,579,132				3/30/23-6/30/27
15 Other	Academic St CSU Creates/CSU Office of the Chancell		The AHRC/MM Project: Supporting Black Students Throug	Vanessa Lopez-Littleton, Dennis	HHS&PP/Edu	AA/HSHS/Educa	\$ 87,619	\$ 4,381	\$ 92,000	\$92,000				7/1/22-7/1/23
16 Federal	Academic St Hartnell College-USDA		Hartnell College Learning to Lead: Career Pathways Support	Andrew Lawson	Provost	AA/Provost	\$ 1,637,133	\$ 105,407	\$ 1,742,540	\$0	\$ 1,637,133	\$ 105,407	\$ 1,742,540	6/1/23-5/31/28
17 Federal	Academic St US Dept. Agriculture/NIFA		Nurturing Relationships to Harvest Success: Leveraging Soci	Jose Pablo Dundore-Arias/Andrx	Bio & Chem	AA/Science	\$ 4,192,081	\$ 807,919	\$ 5,000,000	\$0	\$ 4,192,081	\$ 807,919	\$ 5,000,000	6/1/23-5/31/28
18 State	Academic St Foundation of CA Community Colleges		Growing Grading for Growth in STEM	Lipika Deka, Alison G Lynch, J Math & Statis		AA/Science	\$ 286,539	\$ 13,461	\$ 300,000	\$0	\$ 286,539	\$ 13,461	\$ 300,000	8/1/23-7/31/25
19 State	Academic St Fdn. For CA Community Colleges-CA		(Increasing Student Success Through Faculty Engagement An	Michael B Scott, Jennifer Click	Math & Statis	AA/Science	\$ 92,593	\$ 7,407	\$ 100,000	\$100,000				7/1/22-6/30/24
20 Federal	Academic St US Dept of Education		Building an Effective Ecosystem for Equity in STEM Career	Luis Edgar Cabrales Arriaga	Mechatronics	AA/Science	\$ 1,200,000	\$ -	\$ 1,200,000	\$600,000	\$ 600,000	\$ -	\$ 600,000	10/1/22-9/30/24
21 Federal	Academic St Monterey Peninsula College - US Dept o		Title V: Ready Set Transfer Yr 1-4	Sharon Anderson	Advising, Car	AA/Univ College	\$ 380,346	\$ -	\$ 380,346	\$380,346				10/1/18-9/30/23
22 Federal	Academic St Cabrillo College - US Dept. Ed Title V		'Cabrillo/CSUMB Partnership to Strengthen Transfer Pathwa	Sharon Anderson	Advising, Car	AA/Univ College	\$ 130,976	\$ -	\$ 130,976	\$130,976				10/1/20-9/30/23
23 Federal	Academic St National Endowment for the Humanities		Improving Learning and Achievement with Reading/Writing-	Nelson Graff	CAD	AA/Univ College	\$ 69,539	\$ 29,902	\$ 99,441	\$99,441				1/1/19-12/31/23
24 Local	Academic St Blue Strike Environmental		ReGEN Otter Eats	Joanna L Snawder-Manzo	Dean Students	SA/Dean Student	\$ 6,902	\$ 2,416	\$ 9,318	\$0	\$ 6,902	\$ 2,416	\$ 9,318	1/17/24-12/31/24
25 Federal	Academic St US Dept of Education		College Assistance Migrant Program (CAMP), Yr 1-5	Clementina Macias	College Supp	SA/Enrollment M	\$ 1,977,082	\$ 148,518	\$ 2,125,600	\$1,700,000	\$395,896	\$29,704	\$425,600	7/1/19-6/30/24
26 Federal	Academic St U.S. Department of Education		Student Support Services Program-STEM Yr 1	Clementina Macias	College Supp	SA/Enrollment M	\$ 983,740	\$ 74,288	\$ 1,058,028	\$785,663	\$ 253,573	\$ 18,791	\$ 272,364	9/1/20-8/31/24
27 Federal	Academic St U.S. Department of Education		Student Support Services Program Yr 1	Clementina Macias	College Supp	SA/Enrollment M	\$ 1,110,416	\$ 80,273	\$ 1,190,689	\$884,175	\$ 286,362	\$ 20,152	\$ 306,514	9/1/20-8/31/24
ACADEMIC SUPPORT TC							\$ 29,246,505	\$ 1,614,855	\$ 30,861,360	\$ 19,347,336	\$ 10,395,601	\$ 1,118,422	\$ 11,514,023	

INSTRUCTION

Type	Activity Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term
1 State	Institutional CA Dept. Forestry & Fire Protection		CSUMB Wildfire Fuel Reduction Project	Ken Folsom	Emergency Pre	A&F/Police	\$ 245,536	\$ 29,464	\$ 275,000	\$275,000				11/30/21-3/15/24
INSTITUTIONAL SUPPOI							\$ 245,536	\$ 29,464	\$ 275,000	\$ 275,000	\$ -	\$ -	\$ -	

PUBLIC SERVICE

Type	Activity Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term
1 Federal	Public Servi Regents of UC/Office of the President-U		Federal-Monterey Bay World Languages 22-23	Yoshiko Saito Abbott	WLC	AA/AHSS	\$ 27,778	\$ 2,222	\$ 30,000	\$0	\$ 27,778	\$ 2,222	\$ 30,000	7/1/23-6/30/24
2 State	Public Servi Regents of UC/University of California		(State Funds Monterey Bay World Language Project 22-23	Yoshiko Saito Abbott	WLC	AA/AHSS	\$ 35,714	\$ 1,786	\$ 37,500	\$17,500	\$ 19,047	\$ 953	\$ 20,000	7/1/22-6/30/24
3 State	Public Servi CSU Fresno Foundation - CA Energy C		Central Valley Regional Innovation Cluster	Brad Barbeau	iED	AA/Business	\$ 153,846	\$ 46,154	\$ 200,000	\$200,000				8/2/22-3/31/26
4 State	Public Servi Monterey County Health Dept/Behaviora		No Zip Code Left Behind: Addressing Inequities through Co	Ignacio A Navarro/Kim M Juds	HHSPP	AA/HSHS	\$ 534,056	\$ 53,406	\$ 587,462	\$587,462				9/1/22-6/16/26
5 Federal	Public Servi Monterey County Health Dept - US Dept		Monterey County Health Dept. Health Literacy Evaluation	Kim M Judson, Ignacio A Nava	HHSPP	AA/HSHS	\$ 279,720	\$ 120,280	\$ 400,000	\$400,000				9/1/21-6/30/23
6 Other	Public Servi Aspir Healthplan		Exploratory Study to Identify the Feasibility of Future Rese	Kim M Judson, Ignacio A Nava	HHSPP	AA/HSHS	\$ 45,455	\$ 4,545	\$ 50,000	\$0	\$ 45,455	\$ 4,545	\$50,000	5/1/24-9/30/24
7 Federal	Public Servi County of Monterey/Health Department/I		Health Equity Plan for Monterey County Health Department	Vanessa A Lopez-Littleton	HHSPP	AA/HSHS	\$ 34,964	\$ 15,035	\$ 49,999	\$49,999				9/26/22-12/31/23
8 Local	Public Servi Central CA Coast Alliance for Health		Everyone's Harvest Fresh Rx	Vanessa Lopez-Littleton	HHSPP	AA/HSHS/Scien	\$ 8,100	\$ -	\$ 8,100	\$8,100				9/1/20-12/31/23
9 State	Public Servi California Office of Statewide Health Pla		Map It: The Road to a Professional Career in Healthcare	Alyssa E Erikson	Nursing	AA/HSHS	\$ 23,148	\$ 1,852	\$ 25,000	\$25,000				6/30/22-12/31/23
10 Federal	Public Servi Central CA Coast Alliance for Health		ARPA Street Outreach	Maria A Gurrola	Social Work	AA/HSHS	\$ 260,182	\$ 49,818	\$ 310,000	\$310,000				7/1/23-7/31/24
11 Federal	Public Servi City of Salinas/US Dept. Treasury		Emergency Motel Room	Maria A Gurrola	Social Work	AA/HSHS	\$ 378,093	\$ 71,907	\$ 450,000	\$0	\$ 378,093	\$ 71,907	\$ 450,000	7/1/23-6/30/24
12 State	Public Servi County of Monterey/Dept. Social Servic		County-Wide Outreach and Case Management	Maria A Gurrola	Social Work	AA/HSHS	\$ 420,070	\$ 79,930	\$ 500,000	\$500,000				7/1/22-6/30/24
13 State	Public Servi City of Salinas - State of California Dept		CESH 2	Maria A Gurrola	Social Work	AA/HSHS	\$ 142,001	\$ 27,909	\$ 169,910	\$169,910				2/1/23-12/31/23
14 State	Public Servi Coalition of Homeless Services Provider		County Wide Outreach	Renie E Rondon Jackson	Social Work	AA/HSHS	\$ 214,979	\$ 40,021	\$ 255,000	\$0	\$ 214,979	\$ 40,021	\$ 255,000	10/15/23-6/30/24
15 Local	Public Servi Transportation Agency for Monterey Cou		SCYP Partnership with TAMC	Daniel M Fernandez	AES	AA/Science	\$ 99,024	\$ 23,347	\$ 122,371	\$122,371				8/25/21-6/30/26

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16	State	Public Servi CA Coastal Commission	Whale Tail-4th grade-Flows to the Bay	James Seth Gustafson	AES	AA/Science	\$ 46,442	\$ 3,558	\$ 50,000	\$ 0	\$ 46,442	\$ 3,558	\$ 50,000	4/15/24-4/15/26
17	Federal	Public Servi Bureau of Land Management/U.S. Depart	Return of the Natives to BLM/FONP Ensuring Native Plant	Laura L Lienk	AES	AA/Science	\$ 231,768	\$ 40,560	\$ 272,328	\$228,317	\$ 37,456	\$ 6,555	\$ 44,011	10/1/21-9/30/24
18	Federal	Public Servi Bureau of Land Management/U.S. Depart	Return of the Natives and Grad Assistants Help Restore Ft O	Laura L Lienk	AES	AA/Science	\$ 141,593	\$ 24,779	\$ 166,372	\$121,648	\$ 38,063	\$ 6,661	\$ 44,724	10/1/22-9/30/23
19	Federal	Public Servi US Bureau of Land Management	Amphibian Monitoring and Bullfrog Abatement on FONM	Laura L Lienk	AES	AA/Science	\$ 34,044	\$ 5,958	\$ 40,002	\$ 0	\$ 34,044	\$ 5,958	\$ 40,002	10/1/23-9/30/24
20	Federal	Public Servi RCD Monterey County-US DoD	Milkweeds/Pollinators Ft Hunter Liggett	Laura L Lienk	AES	AA/Science	\$ 28,150	\$ 12,105	\$ 40,255	\$ 0	\$ 28,150	\$ 12,105	\$ 40,255	10/1/23-3/31/26
21	State	Public Servi City of Salinas - State of California	Creeks of Salinas Encampment Restoration and Clean Up	Laura L Lienk	AES	AA/Science	\$ 55,742	\$ 2,787	\$ 58,529	\$58,529				7/1/22-6/30/24
22	State	Public Servi CA Dept. Parks & Recreation	Salinas Together for Healthy Equitable Environment	Laura L Lienk	AES	AA/Science	\$ 539,113	\$ -	\$ 539,113	\$539,113				7/1/20-6/30/27
23	State	Public Servi CA Wildlife Conservation Board	Pollinator Plant Propagation	Laura L Lienk	AES	AA/Science	\$ 3,090	\$ 618	\$ 3,708	\$ 0	\$ 3,090	\$ 618	\$ 3,708	1/1/24-1/31/27
24	Local	Public Servi Monterey Peninsula Unified School Dist	Eco-Ambassadors 22-23 School Gardens	Laura L Lienk	AES	AA/Science	\$ 10,909	\$ 1,091	\$ 12,000	\$12,000				7/27/22-6/30/24
25	Local	Public Servi Monterey Peninsula Unified School Dist	MPUSD Visits 23-24	Laura L Lienk	AES	AA/Science	\$ 11,997	\$ 1,200	\$ 13,197	\$ 0	\$ 11,997	\$ 1,200	\$ 13,197	8/1/23-5/31/24
26	Local	Public Servi Monterey Peninsula Unified School Dist	MPUSD Field Trips 23-24	Laura L Lienk	AES	AA/Science	\$ 42,407	\$ 4,240	\$ 46,647	\$ 0	\$ 42,407	\$ 4,240	\$ 46,647	8/1/23-5/31/24
27	Other	Public Servi Big Sur Land Trust	Carr Lake Demonstration Garden 2023-2024 Weekend Stewa	Laura L Lienk	AES	AA/Science	\$ 5,815	\$ 872	\$ 6,687	\$ 0	\$ 5,815	\$ 872	\$ 6,687	10/1/23-6/30/24
28	Other	Public Servi Coastal Conservation & Research	Planting with CCR in North Monterey County	Laura L Lienk	AES	AA/Science	\$ 7,077	\$ 3,043	\$ 10,120	\$ 0	\$ 7,077	\$ 3,043	\$10,120	10/1/24-12/31/25
29	Federal	Public Servi US Dept. Agriculture/NIFA	Developing capacity to quantify climate-smart practices in co	Arlene L Haffa, Arun D Jani	Bio & Chem	AA/Science	\$ 4,420,039	\$ 579,937	\$ 4,999,976	\$ 0	\$ 4,420,039	\$ 579,937	\$ 4,999,976	9/28/23-9/27/28
30	State	Public Servi CA Volunteers-State of California	California For All College Fellowships-Dreamers	Christine Eileen Hernandez	Service Learni	AA/Univ Collge	\$ 403,846	\$ 61,154	\$ 465,000	\$465,000				5/4/22-9/30/24
31	Federal	Public Servi California Volunteers-US. Dept. Treasury	ARPA Califomians for All College Fellowship	Christine Hernandez/Rhonda Mc	Service Learni	AA/Univ Collge	\$ 1,492,249	\$ 316,937	\$ 1,809,186	\$1,809,186				2/23/22-8/31/23
32	Federal	Public Servi Napa County Office of Ed - Corporation	AmeriCorps 2022-2023	Robin Pelc	Service Learni	AA/Univ Collge	\$ 180,038	\$ -	\$ 180,038	\$180,038				8/1/22-7/31/23
33	Federal	Public Servi Corporation for National Public Service	AmeriCorps VIP Program 23-24	Robin Pelc	Service Learni	AA/Univ Collge	\$ 180,696	\$ -	\$ 180,696	\$ 0	\$ 180,696	\$ -	\$ 180,696	8/1/23-7/31/24
34	Federal	Public Servi U.S. Department of Education	Watsonville High School and Soledad High School Upward	Kim M Barber	EOSP	SA/Enrollment M	\$ 697,217	\$ 50,301	\$ 747,518	\$366,431	\$ 356,291	\$ 24,796	\$ 381,087	9/1/22-8/31/24
35	Federal	Public Servi U.S. Department of Education	North Monterey County and Pajaro Valley Upward Bound	Kim M Barber	EOSP	SA/Enrollment M	\$ 566,786	\$ 40,320	\$ 607,106	\$297,601	\$ 289,491	\$ 20,014	\$ 309,505	9/1/22-8/31/24
36	Federal	Public Servi U.S. Department of Education	CSUMB Monterey County Talent Search	Kim M Barber	EOSP	SA/Enrollment M	\$ 1,221,807	\$ 97,745	\$ 1,319,552	\$646,839	\$ 622,882	\$ 49,831	\$ 672,713	9/1/22-8/31/24
37	Federal	Public Servi CA Dept Ed - US Dept Agriculture	USDA Summer Foods Program for Upward Bound	Kim M Barber	EOSP	SA/Enrollment M	\$ 26,276	\$ -	\$ 26,276	\$ 0	\$ 26,276	\$ -	\$ 26,276	6/1/23-9/30/23
38	Federal	Public Servi US Dept of Education	Coastal GearUP Year 2	Kim M Barber	EOSP	SA/Enrollment M	\$ 4,570,972	\$ 364,318	\$ 4,935,290	\$2,516,030	\$ 2,240,796	\$ 178,464	\$ 2,419,260	9/30/20-9/29/24
39	Federal	Public Servi U.S. Department of Education	Gear Up Salinas Valley Futures	Kim M Barber	EOSP	SA/Enrollment M	\$ 3,445,556	\$ 274,444	\$ 3,720,000	\$1,240,000	\$ 2,297,036	\$ 182,962	\$ 2,479,998	10/1/21-9/30/24
PUBLIC SERVICE TOTAL							\$ 21,020,759	\$ 2,424,179	\$ 23,444,938	\$ 10,871,074	\$ 11,373,400	\$ 1,200,462	\$ 12,573,862	

RESEARCH & DEVELOPMENT

Type	Activity Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term	
1	Federal	R&D	National Endowment for the Humanities	Branding Sacrificial Motherhood in Digital Media in the 21s	Hojin Song	HCOM	AA/AHSS	\$ 55,000	\$ -	\$ 55,000	\$55,000			\$ -	2/1/23-7/31/24
2	State	R&D	Regents of UC/University of California (Supporting Teachers in Implementing Justice-Centered Clim	Alyssa Sayavedra	Ed & Leaders	AA/Education	\$ 50,321	\$ 5,032	\$ 55,353	\$ 0	\$ 50,321	\$ 5,032	\$ 55,353	8/1/23-7/31/25	
3	State	R&D	California Commission on Teaching Crea	The Science Teacher Integrated Education Program at CSUM	Dennis A Kombe/Corin D Slow	Education & L	AA/Education/Sc	\$ 98,393	\$ 29,517	\$ 127,910	\$127,910				3/1/23-3/31/25
4	Federal	R&D	UC Regents/San Diego-CA Sea Grant	CSU COAST SSINP-Sea-Level Rise Awards: CA Sea Grant	Krista P Kamer	COAST	AA/GS&R	\$ 290,316	\$ 10,750	\$ 301,066	\$301,066				2/1/22-1/31/25
5	State	R&D	COAST/CSU Chancellor's Office	CSU COAST SSINP-Sea Level Rise Awards: COAST Fund	Krista P Kamer	COAST	AA/GS&R	\$ 794,876	\$ -	\$ 794,876	\$794,876				5/1/21-4/30/25
6	Federal	R&D	National Science Foundation	HSI Pilot Project: Inclusive and Integrative STEM Education	John E Banks, Andrew Lawson	UROC/CoS	AA/GS&R-COS	\$ 331,227	\$ 68,763	\$ 399,990	\$399,990				10/1/21-9/30/23
7	Federal	R&D	Center for Disease Control & Prevention/	My Choice: Developing a Workplace Disclosure Decision Ai	Lisa M Stewart	Social Work	AA/HS/HS	\$ 200,000	\$ 16,000	\$ 216,000	\$108,000	\$ 100,000	\$ 8,000	\$ 108,000	9/1/22-8/31/24
8	Federal	R&D	National Science Foundation	Cross-Institutional Faculty Learning To Improve Critical Tra	Andrew Lawson	Provost	AA/Provost	\$ 302,273	\$ 97,727	\$ 400,000	\$ 0	\$ 302,273	\$ 97,727	\$ 400,000	10/1/23-9/30/26
9	Other	R&D	OpenET, Inc-Colorado River Authority o	OpenET Applications for Water Resources Management with	Adam J Purdy	AES	AA/Science	\$ 565,701	\$ 113,140	\$ 678,841	\$ 0	\$ 565,701	\$ 113,140	\$678,841	6/1/23-2/23/27
10	Federal	R&D	US Dept. Agriculture/ARS	Site-specific soil pest management in strawberry and vegetabl	Adam J Purdy	AES	AA/Science	\$ 346,530	\$ 35,557	\$ 382,087	\$382,087			\$ 0	8/1/19-7/31/24
11	Federal	R&D	U.S. Department of Agriculture	Characterization and modeling of strawberry yield data using	Adam J Purdy	AES	AA/Science	\$ 331,305	\$ 33,130	\$ 364,435	\$263,055	\$ 92,164	\$ 9,216	\$ 101,380	8/1/22-10/31/24
12	Federal	R&D	Desert Research Institute-U.S. Geological	OpenET: Open Evapotranspiration Data Production	Adam J Purdy	AES	AA/Science	\$ 121,760	\$ 24,352	\$ 146,112	\$146,112				10/1/22-4/30/24
13	Federal	R&D	CA Institute of Technology/Jet Propulsio	ECOSTRESS ET validation phase 2	Adam J Purdy	AES	AA/Science	\$ 42,325	\$ 8,465	\$ 50,790	\$50,790				11/1/22-10/31/23
14	Federal	R&D	Public Policy Institute of California	Beneficial transitions to groundwater sustainability for agricu	Adam J Purdy	AES	AA/Science	\$ 87,500	\$ 17,500	\$ 105,000	\$ 0	\$ 87,500	\$ 17,500	\$ 105,000	6/1/23-5/31/26
15	Federal	R&D	OpenET-US Bureau of Reclamation	OpenET in Upper Colorado River Basin and New Mexico	Adam J Purdy	AES	AA/Science	\$ 87,500	\$ 17,500	\$ 105,000	\$ 0	\$ 87,500	\$ 17,500	\$ 105,000	8/1/23-7/31/24
16	State	R&D	CSU Fresno Fdn-CSU ARI - CSU Chan	Weighing lysimeter studies to determine evapotranspiration a	Adam J Purdy	AES	AA/Science	\$ 54,000	\$ -	\$ 54,000	\$54,000				7/1/21-6/30/24
17	State	R&D	Agricultural Research Institute/California	Mapping Fuel Moisture in Coastal California to inform Fire	Adam J Purdy	AES	AA/Science	\$ 26,000	\$ -	\$ 26,000	\$ 0	\$ 26,000	\$ -	\$ 26,000	7/1/23-6/30/25
18	Other	R&D	OpenET, Inc-Oregon Water Resources De	Development of a Geodatabase with Time Series Satellite-Ba	Adam J Purdy	AES	AA/Science	\$ 55,833	\$ 11,167	\$ 67,000	\$28,000	\$ 32,500	\$ 6,500	\$39,000	6/1/23-6/30/24
19	Other	R&D	Environmental Defense Fund	Forest Health Applications of Evapotranspiration Data	Adam J Purdy	AES	AA/Science	\$ 22,494	\$ 4,499	\$ 26,993	\$ 0	\$ 22,494	\$ 4,499	\$26,993	1/15/24-9/30/24
20	Federal	R&D	United States Geological Survey/U.S. De	OpenET: Cooperative Agreement for CESU-affiliated Partner	Adam J Purdy, Lee F Johnson	AES	AA/Science	\$ 1,004,149	\$ 125,851	\$ 1,130,000	\$ 0	\$ 1,004,149	\$ 125,851	\$ 1,130,000	9/29/23-9/30/25
21	Federal	R&D	US Fish & Wildlife Service	Evaluation of Monterey Gilla Management, Current Extent,	Fred Watson	AES	AA/Science	\$ 16,771	\$ 2,935	\$ 19,706	\$19,706				9/1/19-12/31/24
22	Local	R&D	Monterey Peninsula Water Management I	Carmel River Cross Section & Ben Grain Size Survey	James Joseph Guiling	AES	AA/Science	\$ 16,630	\$ 5,820	\$ 22,450	\$ 0	\$ 16,630	\$ 5,820	\$ 22,450	9/5/23-2/28/24
23	Other	R&D	Carmel River Watershed Conservancy	Quantifying Wildfire Regime Impacts on Carmel Watershed	James Joseph Guiling	AES	AA/Science	\$ 10,831	\$ 1,083	\$ 11,914	\$ 0	\$ 10,831	\$ 1,083	\$11,914	3/1/24-3/1/25
24	Federal	R&D	Regents of UC/UCOP-US Geological Su	Assessing Wildlife use of 3 types of agricultural ditches: dat	Jennifer Duggan	AES	AA/Science	\$ 24,960	\$ -	\$ 24,960	\$24,960				9/1/21-8/31/23
25	Federal	R&D	So CA Coastal Water Research Project A	Streamflow Duration Assessment Method (SDAM) Develop	John Robert Olson	AES	AA/Science	\$ 90,747	\$ 39,021	\$ 129,768	\$129,768				8/1/21-3/31/23
26	Federal	R&D	Pyramid Lake Paiute Tribe	Wetland Index of Biological Integrity Development	John Robert Olson	AES	AA/Science	\$ 38,462	\$ 16,537	\$ 54,999	\$27,499	\$ 19,231	\$ 8,269	\$ 27,500	4/1/22-4/1/24
27	Other	R&D	Central Coast Water Quality Preservation	Cooperative Monitoring Program Annual Report 2023	John Robert Olson	AES	AA/Science	\$ 18,285	\$ 7,863	\$ 26,148	\$26,148				2/1/23-12/31/23
28	Other	R&D	Central Coast Water Quality Preservation	Cooperative Monitoring Program Annual Report - 2024	John Robert Olson	AES	AA/Science	\$ 18,913	\$ 8,132	\$ 27,045	\$ 0	\$ 18,913	\$ 8,132	\$27,045	2/1/24-12/31/24
29	Other	R&D	Santa Lucia Conservancy	Santa Lucia Conservancy Hydrology Monitoring 2023	John Robert Olson, James Josep	AES	AA/Science	\$ 14,036	\$ 2,105	\$ 16,141	\$16,141				1/31/23-1/31/24
30	State	R&D	Regents of UC/Santa Cruz - UCOP	Improving water system resilience to climate change with lev	John Robert Olson, Susan Eliza	AES	AA/Science	\$ 194,545	\$ 15,384	\$ 209,929	\$ 0	\$ 194,545	\$ 15,384	\$ 209,929	8/1/23-7/31/25
31	Federal	R&D	CA Dept. Food & Ag	Evapotranspiration monitoring Salinas Valley specialty crops	Lee F Johnson	AES	AA/Science	\$ 427,635	\$ 18,294	\$ 445,929	\$445,929				11/1/21-6/30/24
32	Federal	R&D	CA Dept of Food and Ag - USDA	Advancing adoption of decision-support systems for irrigatio	Lee F Johnson, Adam J Purdy	AES	AA/Science	\$ 463,098	\$ 26,949	\$ 490,047	\$ 0	\$ 463,098	\$ 26,949	\$ 490,047	11/1/23-6/30/26
33	Federal	R&D	United States Navy/U.S. Department of I	Federally Listed Species Recovery and Dune Habitat Restora	Robert K Burton	AES	AA/Science	\$ 125,000	\$ 25,000	\$ 150,000	\$ 0	\$ 125,000	\$ 25,000	\$ 150,000	6/1/23-5/31/26

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34	Federal	R&D	Bay Area Environmental Research-NASA ARC-CREST Science Mission Operations by NSERC and R Susan Alexander	AES	AA/Science	\$ 18,845,782	\$ 4,357,780	\$ 23,203,562	\$23,203,562					3/1/12-9/30/24
35	Federal	R&D	Bay Area Environmental Research Institute Ames Research Center Co-operative for Research in Earth Sci Susan E Alexander	AES	AA/Science	\$ 448,111	\$ 95,336	\$ 543,447	\$0	\$ 448,111	\$ 95,336	\$ 543,447	10/1/23-9/30/28	
36	State	R&D	Regents of UC/UCANRR - CA Dept. of A Integrated Sustainable Nitrogen Management in Vegetable Cr Arlene L Haffa	Bio & Chem	AA/Science	\$ 82,069	\$ 20,516	\$ 102,585	\$102,585				9/1/20-3/31/24	
37	State	R&D	CSU ARI-CSU Chancellor's Office ARI-S Quantifying & Modeling soil health nutrient manager Arlene L Haffa	Bio & Chem	AA/Science	\$ 112,505	\$ -	\$ 112,505	\$112,505				7/1/22-6/30/25	
38	State	R&D	CSU ARI-CSU Chancellor's Office ARI-S 22-24 Vegetable Cropping Arlene L Haffa	Bio & Chem	AA/Science	\$ 256,298	\$ -	\$ 256,298	\$112,505	\$ 143,793	\$ -	\$ 143,793	7/1/23-6/30/24	
39	Federal	R&D	Elkhorn Slough Fdn-US Dept. Agriculture Monitoring Soil Health and Water Status in Biochar-Amends Arun D Jani	Bio & Chem	AA/Science	\$ 3,496	\$ 1,504	\$ 5,000	\$0	\$ 3,496	\$ 1,504	\$ 5,000	6/15/23-2/28/24	
40	State	R&D	CA Leafy Greens Research Board-CA De Carbon Nanoparticle Addition to Fertilizers as a Strategy to I Arun D Jani	Bio & Chem	AA/Science	\$ 7,890	\$ -	\$ 7,890	\$7,890				4/1/22-9/30/23	
41	State	R&D	CSU ARI-CSU Chancellor's Office Carbon Nanoparticle Application in Arugula Production as a Arun D Jani	Bio & Chem	AA/Science	\$ 105,221	\$ -	\$ 105,221	\$53,119	\$ 52,102	\$ -	\$ 52,102	7/1/22-12/31/24	
42	State	R&D	Vilmorin North America-CA Dept. Food Exploring Baby Leaf Gemplasm for Disease Resistance, Dro Arun D Jani	Bio & Chem	AA/Science	\$ 93,642	\$ 5,471	\$ 99,113	\$99,113				10/1/23-12/31/25	
43	State	R&D	CA Leafy Greens Research Board-CA De Carbon Nanoparticle Addition to Fertilizers as a Strategy to I Arun D Jani	Bio & Chem	AA/Science	\$ 6,500	\$ -	\$ 6,500	\$6,500				4/1/23-3/31/24	
44	State	R&D	Agricultural Research Institute/California Leafy Greens Root Growth and Morphological Response to C Arun D Jani	Bio & Chem	AA/Science	\$ 18,310	\$ -	\$ 18,310	\$0	\$ 18,310	\$ -	\$ 18,310	7/1/23-12/3/24	
45	Federal	R&D	Christopher Newport Univ-National Scienc RUI: Collaborative Research: Quantifying the Interfacial Part Arunkumar Sharma	Bio & Chem	AA/Science	\$ 17,210	\$ 5,680	\$ 22,890	\$22,890				8/1/22-9/30/23	
46	Other	R&D	American Chemical Society Investigating Inhibitor Selectivity and Affinity to Prevent As Arunkumar Sharma	Bio & Chem	AA/Science	\$ 60,000	\$ -	\$ 60,000	\$30,000	\$ 30,000	\$ -	\$30,000	9/1/22-8/31/25	
47	Federal	R&D	National Science Foundation RUI: Molecular Design for Redox Active Deep Eutectic Solv Corin D Slown	Bio & Chem	AA/Science	\$ 180,200	\$ 64,800	\$ 245,000	\$245,000				9/1/18-7/30/24	
48	Federal	R&D	National Science Foundation MRI: Acquisition of a Benchtop NMR Instrument with Pul: Corin D Slown	Bio & Chem	AA/Science	\$ 145,200	\$ -	\$ 145,200	\$145,200				8/1/2021-7/30/24	
49	Federal	R&D	Lawrence Berkeley National Laboratory-U RESTOR-C: RESTORation of soil Carbon by precision biol Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 42,048	\$ 13,724	\$ 55,772	\$0	\$ 42,048	\$ 13,724	\$ 55,772	11/29/23-9/30/27	
50	Federal	R&D	University of Minnesota - NSF Agricultural Microbiome Research Network Yr. 1-3 Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 80,418	\$ 34,580	\$ 114,998	\$114,998				8/1/2019-7/31/23	
51	State	R&D	CA Leafy Greens Research Board-CA De Screening of Romaine and Head Lettuce Varieties for Toleran Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 17,704	\$ -	\$ 17,704	\$0	\$ 17,704	\$ -	\$ 17,704	4/1/23-9/30/24	
52	State	R&D	CA Dept. Food & Agriculture Investigating the diversity and distribution of soilborne Pyth Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 30,000	\$ 9,000	\$ 39,000	\$39,000				9/1/21-8/31/23	
53	State	R&D	CA Leafy Greens Research Board-CA De Assessing the effects of temperature and crop rotation as detae Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 56,438	\$ -	\$ 56,438	\$56,438				4/1/22-9/30/23	
54	State	R&D	Agricultural Research Institute/California Etiology and Integrated Management of Pythium wilt of Lett Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 98,944	\$ 11,873	\$ 110,817	\$110,817				1/1/23-12/30/23	
55	State	R&D	Regents of UC/Riverside - CA Leafy Gre Lettuce variety evaluation for tolerance to Pythium wilt and I Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 7,047	\$ -	\$ 7,047	\$7,047				4/1/23-3/31/24	
56	State	R&D	Regents of UC/Riverside - CA Leafy Gre Tackling the triple threat of thrrips, pythium, and impatiens n Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 13,190	\$ -	\$ 13,190	\$13,190				1/25/23-9/30/24	
57	State	R&D	Agricultural Research Services - Californi USDA Lettuce Breeding for Improved Resistance to Pythium Jose Pablo Dundore-Arias	Bio & Chem	AA/Science	\$ 11,890	\$ -	\$ 11,890	\$11,890				4/1/23-9/30/24	
58	State	R&D	CSU ARI - CSU Chancellor Office Expanding the implementation and advancing development o Nathaniel K Jue	Bio & Chem	AA/Science	\$ 179,513	\$ 21,542	\$ 201,055	\$0	\$ 179,513	\$ 21,542	\$ 201,055	7/1/23-6/30/26	
59	State	R&D	System ARI-CSU CO ARI System: The Genomics of Remediating Microbes Relevant t Nathaniel K Jue/Arlene L Haffa	Bio & Chem	AA/Science	\$ 296,090	\$ -	\$ 296,090	\$296,090				7/1/18-6/30/24	
60	Federal	R&D	National Institutes of Health GREAT Opportunities in Genome Science Nathaniel K Jue, Shahidul Islam	Bio & Chem	AA/Science	\$ 542,773	\$ 40,507	\$ 583,280	\$265,033	\$ 296,236	\$ 22,011	\$ 318,247	8/26/22-6/30/24	
61	Federal	R&D	National Science Foundation CAREER: Genomic divergence during speciation in a hyper- Susan Elizabeth Alter	Bio & Chem	AA/Science	\$ 541,856	\$ 162,220	\$ 704,076	\$704,076				9/1/22-8/31/27	
62	State	R&D	Wildlife Conservation Society/New York 5234101A: Applying environmental DNA analysis to biodiv Susan Elizabeth Alter	Bio & Chem	AA/Science	\$ 57,971	\$ 10,435	\$ 68,406	\$0	\$ 57,971	\$ 10,435	\$ 68,406	5/1/22-12/15/25	
63	Federal	R&D	Regents of UC/San Diego - NOAA CIMEAS-COAST Mentor Training Krista P Kamer	COAST	AA/GS&R	\$ 29,363	\$ 12,626	\$ 41,989	\$0	\$ 29,363	\$ 12,626	\$ 41,989	6/1/23-5/31/25	
64	Federal	R&D	Stanford University-National Science Fof Diversifying and Integrating Marine Science (DIMS) Network Alison J Haupt	Marine Science	AA/Science	\$ 1,636	\$ 704	\$ 2,340	\$2,340				8/1/20-7/31/22	
65	State	R&D	CA Sea Grant/Regents of UC-CA Ocean Assessing the potential for rapid adaptation to climate change Cheryl A Logan	Marine Science	AA/Science	\$ 482,490	\$ 74,581	\$ 557,071	\$557,071				4/1/22-11/30/24	
66	Federal	R&D	National Science Foundation NSF Ocean Science Diversity Initiative Corey Garza (Transfer UW)	Marine Science	AA/Science	\$ 244,852	\$ 55,148	\$ 300,000	\$300,000				7/1/21-6/30/24	
67	State	R&D	CA Sea Grant/Regents of UC-CA Ocean Long-term Monitoring of Mid-Depth Rocky Reef Ecosystem James B Lindholm	Marine Science	AA/Science	\$ 572,293	\$ 74,801	\$ 647,094	\$0	\$ 572,293	\$ 74,801	\$ 647,094	6/1/23-9/30/24	
68	State	R&D	Regents of UC - CA Ocean Protection C Long Term Monitoring Deep Rocky Reefs at the Ano Nuevo James B Lindholm	Marine Science	AA/Science	\$ 14,600	\$ 3,650	\$ 18,250	\$0	\$ 14,600	\$ 3,650	\$ 18,250	6/1/23-12/31/23	
69	State	R&D	San Jose State University Fdn-CA Ocean Long-term Monitoring of Mid-Depth Rocky Reef Ecosystem James Lindholm	Marine Science	AA/Science	\$ 298,000	\$ 77,000	\$ 375,000	\$375,000				5/25/19-12/31/23	
70	Federal	R&D	Elakha Alliance - US Dept. Treasury Preliminary Assessment of Shark Overlap with Potential Sea Salvador J Jorgensen	Marine Science	AA/Science	\$ 11,993	\$ 3,007	\$ 15,000	\$15,000				3/1/23-12/31/23	
71	State	R&D	SJSURF - CSU COAST CCFRP Assessment of Marine Protected Area Performance Salvador J Jorgensen	Marine Science	AA/Science	\$ 22,330	\$ 2,233	\$ 24,563	\$0	\$ 22,330	\$ 2,233	\$ 24,563	8/7/23-5/31/26	
72	Federal	R&D	National Science Foundation From the Intertidal to the Deep Ocean: Monterey Bay REU Sherry L Palacios	Marine Science	AA/Science	\$ 180,396	\$ 17,478	\$ 197,874	\$197,874				4/15/23-3/31/27	
73	Federal	R&D	Florida A&M University-NOAA/US Dep NOAA Cooperative Science Center for Coastal & Marine Ec TBD	Marine Science	AA/Science	\$ 412,913	\$ 32,301	\$ 445,214	\$445,214				9/1/21-8/31/22	
74	Federal	R&D	Florida A&M University-NOAA/US Dep Renewal: NOAA Center for Coastal & Marine Ecosystems (Y TBD)	Marine Science	AA/Science	\$ 264,291	\$ 21,980	\$ 286,271	\$-				9/1/22-8/31/23	
75	Federal	R&D	National Science Foundation MASDER: Motivational Attitudes in Statistics and Data Sci Alana Unfried	Math & Statist	AA/Science	\$ 463,820	\$ 136,178	\$ 599,998	\$599,998				10/1/20-9/30/24	
76	Federal	R&D	Regents of UC/Santa Barbara - National PALISADES: Pacific Alliance for Low Income Inclusion in Judith Canner	Math & Statist	AA/Science	\$ 317,178	\$ 20,287	\$ 337,465	\$-				19/1/22-9/30/23	
77	State	R&D	Regents of UC/Santa Cruz - UCOP Building data science communities for improving student su Judith Canner	Math & Statist	AA/Science	\$ 75,269	\$ 6,022	\$ 81,291	\$0	\$ 75,269	\$ 6,022	\$ 81,291	7/1/23-6/30/26	
78	Federal	R&D	Mathematical Association of America - N Sequential Decisions Using Statistical Models Steven B Kim	Math & Statist	AA/Science	\$ 31,500	\$ 2,399	\$ 33,899	\$33,899				6/1/23-5/31/24	
79	Other	R&D	Mathematical Association of America MAA Travel Funds Steven B Kim	Math & Statist	AA/Science	\$ 5,000	\$ -	\$ 5,000	\$5,000				6/1/23-5/31/24	
80	State	R&D	CSU ARI-CSU Chancellor's Office Evaluation of Evapotranspiration Monitoring Approaches for Lee F Johnson	AES	AA/Science	\$ 334,813	\$ -	\$ 334,813	\$334,813				12/1/21-11/30/24	
81	Federal	R&D	US Army/US Dept. Defense Fort Hunter Liggett Studies John Robert Olson/Ruben Mend AES/SB&GS	AA/Science/AHS	AA/Science/AHS	\$ 44,668	\$ 19,207	\$ 63,875	\$-				12/19/22-12/18/23	
82	State	R&D	California Public Utilities Commission CPUC Broadband Testing Sathya R Narayanan, Young-Joc School of Cor	AA/Science	AA/Science	\$ 2,072,740	\$ 550,285	\$ 2,623,025	\$2,623,025				7/16/2021-7/15/24	
83	Federal	R&D	National Institute of Food and Agricultur FUTURE-Ag: Fostering Undergraduate student Training, Up Jose Pablo Dundore-Arias/Andr	Bio & Chem/A	AA/Science/Prov	\$ 155,606	\$ 66,910	\$ 222,516	\$222,516				8/1/2021-7/31/24	
84	Federal	R&D-Acader	National Science Foundation Collaborative Research: Squirreling Around for Science: Inco Jennifer Duggan	AES	AA/Science	\$ 104,521	\$ 44,942	\$ 149,463	\$149,463				7/16/20-7/31/24	
85	Federal	R&D-Acader	UC Regents/Santa Cruz - NIH Institutional Research & Academic Career Development (IRA Apama Sreenivasan	Bio & Chem	AA/Science	\$ 191,409	\$ 15,313	\$ 206,722	\$150,140	\$ 52,391	\$ 4,191	\$ 56,582	8/14/20-7/31/23	
86	Federal	R&D-Public	National Science Foundation REU Renewal from the Intertidal to the Deep Ocean: Monter Sherry L Palacios	Marine Science	AA/Science	\$ 759,715	\$ 65,452	\$ 825,167	\$825,167				7/15/20-5/31/25	
87	Federal	R&D-Studer	National Science Foundation Robert Noyce Collaborative Track 1: Rural Integrated STEM Corin D Slown/Dennis Kombe/	Bio & Chem/A	AA/Science & Ec	\$ 1,009,188	\$ 141,624	\$ 1,150,812	\$739,743	\$ 382,878	\$ 28,191	\$ 411,069	3/1/21-2/28/26	
88	Federal	R&D-Public	National Institutes of Health Science teaching through the arts: Bringing state-of-the-art in Corin D Slown, Enid Ryce	Bio & Chem/A	AA/Science & AI	\$ 989,168	\$ 68,375	\$ 1,057,543	\$798,513	\$ 239,843	\$ 19,187	\$ 259,030	7/16/20-5/31/24	
89	Federal	R&D-Studer	National Science Foundation Geo-Bridge Program Zurine Miguel Malachevarria,	Psychology, M	AA/AHHS/Scien	\$ 365,726	\$ 25,157	\$ 390,883	\$390,883				9/1/20-8/31/23	
90	Federal	R&D-Studer	UEI Sacramento -National Science Found NSF LSAMP FY23 and FY24 Yr 4 and 5 John E Banks	UROC	AA/GS&R	\$ 30,000	\$ -	\$ 30,000	\$15,000	\$15,000	\$0	\$15,000	9/1/22-8/31/24	
91	Federal	R&D-Studer	National Science Foundation Replication of cohort-based computer science bachelor's degr Sathya Narayanan	SCD	AA/Science	\$ 4,822,009	\$ 176,971	\$ 4,998,980	\$4,998,980				10/1/18-9/30/23	
RESEARCH & DEVELOP						\$ 42,993,219	\$ 7,357,672	\$ 50,350,891	\$ 43,627,735	\$ 5,912,101	\$ 811,055	\$ 6,723,156	21%	

STUDENT SCHOLARHIPS

**University Corporation at Monterey Bay
FY23-24Q4 7/1/23-6/30/24
Sponsored Programs Activity Report**

Type	Activity Type	Sponsor	Award Title	PI	Department	Division/ College	Award Direct	Award Indir.	Award Total	Ongoing Awards	New Awards	New Awards	Total New Awards	Award Term
										FY23	Direct FY24	Indirect FY24	FY24	
1	Federal	Student Sch US Dept. Education	Project REACH: Responsive Educators and Advocates Comr	Cathi Draper Rodriguez	Ed & Leaders	AA/Education	\$ 1,215,819	\$ 326,077	\$ 1,541,896	\$1,541,896			\$ -	4/1/23-3/30/24
2	Federal	Student Sch National Marine Sanctuary Fdn-NOAA	CSU COAST Summer Internships 2021-2026	Krista P Kamer	COAST	AA/GS&R	\$ 28,000	\$ -	\$ 28,000	\$20,000	\$ 8,000	\$ -	\$ 8,000	5/1/21-4/30/26
3	Federal	Student Sch NOAA	CSU COAST Summer Internships: NOAA NMFS 2023-202	Krista P Kamer	COAST	AA/GS&R	\$ 13,500	\$ -	\$ 13,500	\$0	\$ 13,500	\$ -	\$ 13,500	4/1/23-3/31/28
4	State	Student Sch CA State Lands Commission	CSU COAST Summer Internships 2022-24 State Lands Con	Krista P Kamer	COAST	AA/GS&R	\$ 22,000	\$ -	\$ 22,000	\$14,000	\$ 8,000	\$ -	\$ 8,000	6/1/22-5/31/25
5	Other	Student Sch The Nature Conservancy	COAST Internships 2024 TNC	Krista P Kamer	COAST	AA/GS&R	\$ 8,000	\$ -	\$ 8,000	\$0	\$ 8,000	\$ -	\$8,000	5/29/24-11/1/24
6	Other	Student Sch CA Marine Sanctuary Foundation	COAST Internships 2024-28 OCC	Krista P Kamer	COAST	AA/GS&R	\$ 8,000	\$ -	\$ 8,000	\$0	\$ 8,000	\$ -	\$8,000	5/1/24-4/30/25
7	Other	Student Sch Orange County Coastkeepers	COAST Internships 2024-28 CMSF	Krista P Kamer	COAST	AA/GS&R	\$ 4,000	\$ -	\$ 4,000	\$0	\$ 4,000	\$ -	\$4,000	5/1/24-4/30/25
8	State	Student Sch San Francisco Bay Conservation and Dev	CSU COAST Summer Internships 2023-28: San Francisco E	Krista P Kamer	COAST	AA/GS&R	\$ 4,000	\$ -	\$ 4,000	\$0	\$ 4,000	\$ -	\$ 4,000	5/1/24-4/30/25
9	State	Student Sch CA Dept. Fish & Wildlife	CSU COAST Summer Internships 2023-25 CDFW	Krista P Kamer	COAST	AA/GS&R	\$ 51,471	\$ -	\$ 51,471	\$51,471				7/1/23-6/30/25
10	State	Student Sch San Francisco Bay Conservation and Dev	CSU COAST Summer Internships 2023-28: San Francisco E	Krista P Kamer	COAST	AA/GS&R	\$ 4,000	\$ -	\$ 4,000	\$4,000				5/1/23-4/30/24
11	Other	Student Sch Aquarium of the Pacific	CSU COAST Summer Internships 2023-27: Aquarium of th	Krista P Kamer	COAST	AA/GS&R	\$ 12,000	\$ -	\$ 12,000	\$4,000	\$ 8,000	\$ -	\$8,000	5/1/23-4/30/29
12	Other	Student Sch Friends of Cabrillo Marine Aquarium	CSU COAST Summer Internships 2023-27: Cabrillo Marine	Krista P Kamer	COAST	AA/GS&R	\$ 16,000	\$ -	\$ 16,000	\$8,000	\$ 8,000	\$ -	\$8,000	5/1/23-4/30/28
13	Other	Student Sch CA OST	CSU COAST Summer Internships 2023-27: California Ocea	Krista P Kamer	COAST	AA/GS&R	\$ 12,000	\$ -	\$ 12,000	\$4,000	\$ 8,000	\$ -	\$8,000	5/1/23-4/30/28
14	Federal	Student Sch U.S. Department of Health and Human S	HRSA BHWET Program for Social Work Professionals	Maria A Gurrola	Social Work	AA/HSHS	\$ 1,367,763	\$ 42,221	\$ 1,409,984	\$933,247	\$ 462,164	\$ 14,573	\$ 476,737	7/1/2021-6/30/25
15	Federal	Student Sch UC Regents/Berkeley-US Dept. HHS	CalSWEC Title IV E Scholarships	Maria A Gurrola	Social Work	AA/HSHS	\$ 307,415	\$ 20,617	\$ 328,032	\$328,032			\$ -	7/1/22-6/30/24
16	Federal	Student Sch US Dept. Health & Human Services	Scholarships for Disadvantaged Students-Yr 7	Maria A Gurrola	Social Work	AA/HSHS	\$ 662,024	\$ -	\$ 662,024	\$637,024	\$25,000	\$0	\$25,000	7/1/22-6/30/24
17	Other	Student Sch Google LLC	Computing Talent Initiative	Sathya Narayanan	SCD	AA/Science	\$ 100,000	\$ -	\$ 100,000	\$100,000				12/15/19-12/14/25
STUDENT SCHOLARHIP							\$ 3,835,992	\$ 388,915	\$ 4,224,907	\$ 3,645,670	\$ 564,664	\$ 14,573	\$ 579,237	2%
GRAND TOTAL							\$ 97,342,011	\$ 11,815,085	\$ 109,157,096	\$ 77,766,815	\$ 28,245,766	\$ 3,144,512	\$ 31,390,278	

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**University Corporation at Monterey Bay
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Sponsored Programs Pending Proposals**

FY22										
	Type	Proposed	Activity	Sponsor	Proposal Title	P.I.	Department	Direct	Indirect	Total
1	Federal	7/14/21	R&D	Univ Southern CA-National Oceanic & Atmos	Quantifying impacts of urban stressors on marine biodiversity and evolution usi	Susan Elizabeth Alter	Biology & Chemistr	\$ 28,833	\$ 12,398	\$ 41,231
2	Federal	8/4/21	Public Service	City of Salinas-Central Coast Center for Indep.	Continuation: Case Management Services - Rapid Rehousing	Maria A Gurrola	Social Work	\$ 1,965,557	\$ 354,249	\$ 2,319,806
3	State	12/13/21	R&D	County of Monterey/Health Dept-CA Dep. of F	MCCares Project: Developing an app based decision aid to navigate care choices	Lisa M Stewart	Social Work	\$ 188,896	\$ 56,669	\$ 245,565
4	Federal	2/8/22	R&D	National Science Foundation	RE-ROUTING: Marine microbiology scientific cruise R/V Sally Ride	Krista P Kamer, Sherry L Palaci	COAST	\$ 91,192	\$ 19,175	\$ 110,367
5	Other	3/3/22	Student Schola	Marine Applied Research and Exploration	CSU COAST Summer Internships 2022-26 Marine Applied Research and Expl	Krista P Kamer	COAST	\$ 38,000	\$ -	\$ 38,000
6	State	3/24/22	R&D	Regents of UC/UCOP-CA Institute for Water R	Assessing wildlife use of 3 types of agricultural ditches: data for co-managemen	Jennifer M Duggan	AES	\$ 9,991	\$ -	\$ 9,991
FY21-22 Pending Proposals								\$ 2,322,469	\$ 442,491	\$ 2,764,960

FY23										
	Type	Proposed	Activity	Sponsor	Proposal Title	P.I.	Department	Direct	Indirect	Total
7	Federal	7/19/22	Public Service	Community Homeless Solutions-U.S. Departm	Case Management and Housing Navigation Partnership	Maria A Gurrola, Kai Medina-M	Social Work	\$ 247,308	\$ 49,462	\$ 296,770
8	State	7/19/22	Public Service	Everyone's Harvest-Central CA Coast Alliance	Expanding Everyone's Harvest Fresh Rx	Vanessa A Lopez-Littleton	Health, Human Servi	\$ 8,696	\$ 1,304	\$ 10,000
9	Federal	7/20/22	Public Service	Bureau of Land Management/U.S. Department	Augmentation to PD 1126; Return of the Natives and Grad Assistants Help Res	Laura L Lienk	Applied Environmen	\$ 115,285	\$ 20,175	\$ 135,460
10	Federal	7/25/22	Public Service	California Volunteers-U.S. Department of the I	CARES Act: Californians for All College Fellowship - Cohort 2 - Non-Dream	Christine Eileen Hernandez	Service Learning Inst	\$ 566,748	\$ 108,252	\$ 675,000
11	Federal	7/28/22	R&D	California Sea Grant/The Regents-National Oce	Status of Pismo Clams in Monterey Bay	Alison J Haupt	Marine Science	\$ 65,059	\$ 9,915	\$ 74,974
12	State	7/29/22	R&D	California Ocean Protection Council	Will Habitat Distribution Increase Resilience to Climate Change in Central Cali	James B Lindholm	Marine Science	\$ 316,901	\$ 46,785	\$ 363,686
13	Federal	8/3/22	R&D	Pace University-National Science Foundation	Modeling the Human Harbor; Applying Computational Thinking and Comput	Susan Elizabeth Alter	Biology & Chemistr	\$ 18,214	\$ 5,786	\$ 24,000
14	Federal	9/8/22	Public Service	Coalition of Homeless Services Providers-U.S.	Street Outreach	Maria A Gurrola	Social Work	\$ 1,423,192	\$ 203,985	\$ 1,627,177
15	Federal	9/24/22	R&D	Regents of UC/Davis-National Science Foundat	Developing Preservice Teacher Equity Stances: Formative Simulation Based As	Kayce Lee Mastrup	Education & Leaders	\$ 142,618	\$ 58,750	\$ 201,368
16	State	10/7/22	R&D	California Ocean Protection Council	Prop 68: Will Habitat Distribution Increase Resilience to Climate Change in Ce	James B Lindholm	Marine Science	\$ 339,275	\$ 83,569	\$ 422,844
17	Local	10/19/22	Public Service	County of Monterey	Return of the Natives Helps Create Pollinator Patches for the Salinas Valley	Laura L Lienk	AES	\$ 8,838	\$ -	\$ 8,838
18	State	12/5/22	Public Service	County of Monterey/Department of Social Serv	Pajaro River Outreach and Case Management	Maria A Gurrola	Social Work	\$ 2,705,332	\$ 535,282	\$ 3,240,614
19	State	12/6/22	R&D	CA Leafy Greens Research Board-CA Dpt. of F	Expanding the Knowledge and Application of Sustainable Nitrogen Managemen	Arlene L Haffa	Bio & Chem	\$ 45,187	\$ -	\$ 45,187
20	Federal	1/20/23	Academic Supp	Salinas Valley Memorial Hospital-US Dept. La	Nursing Expansion Grant	Alyssa E. Erikson	Nursing	\$ 645,246	\$ 276,282	\$ 921,528
21	Local	2/3/23	Public Service	County of Monterey/Department of Social Serv	Exploratory analysis of homelessness and homeless services using Monterey Co	Ignacio A Navarro	HHSPP	\$ 166,611	\$ 33,322	\$ 199,933
22	State	2/17/23	Public Service	Regents of UC/University of California Office	State Funds - Monterey Bay World Language Project	Yoshiko SaitoAbbott	WLC	\$ 19,048	\$ 952	\$ 20,000
23	State	2/22/23	R&D	Agricultural Research Institute/California State	Developing Data Sensing Networks to Inform Growers and Educate the Next Ge	Arlene L Haffa	Bio & Chem	\$ 106,161	\$ -	\$ 106,161
24	Private	4/19/23	Academic Supp	CSU Creates /California State University, Offic	ENGAGES: Empowering the Next Generation AND Growing Engaged Scholars	Dennis A Kombe	Ed & Leadership	\$ 142,852	\$ 7,143	\$ 149,995
25	Other	4/19/23	Academic Supp	CSU/CREATES-Chancellor's Office-College F	CSUMB Makerspace Graduation Initiative	Jeff D Corrigan, Corin D Slown	Library/Bio & Chem	\$ 69,507	\$ 3,475	\$ 72,982
26	State	4/27/23	R&D	California Department of Forestry and Fire Prot	Fuel For Thought: Delivering Live Fuel Moisture Content Maps on Demand to	Adam J Purdy	AES	\$ 409,060	\$ 81,812	\$ 490,872
27	State	5/5/23	R&D	Elkhorn Slough Foundation-CA Coastal Conse	Monitoring Soil Health and Water Status in Biochar-Amended Soils Planted to	Arun D Jani	Bio & Chem	\$ 76,899	\$ 23,070	\$ 99,969
28	Local	5/25/23	Public Service	Monterey Peninsula Regional Park District	Restoration at Marina Dunes Preserve 2023 - 2024	Laura L Lienk	AES	\$ 24,311	\$ 1,945	\$ 26,256
29	Federal	5/30/23	R&D	SDSU Research Foundation-NIH	Health Disparities and Multi-level Protective Factors among Adolescent Immigr	Robert S Weisskirch	Liberal Studies	\$ 300,290	\$ 129,125	\$ 429,415
30	Federal	6/9/23	Public Service	Economic Development Administration/U.S. D	Monterey Bay Aerospace and Agricultural Technology Advanced Manufacturing	Marylou L Shockley	Business	\$ 427,500	\$ 72,500	\$ 500,000
31	Federal	6/30/23	R&D	National Science Foundation	Collaborative Research: RUI: Authority in Data Science: Pedagogical Tools for	Judith E Canner	Math & Stats	\$ 258,741	\$ 111,259	\$ 370,000
Total FY22-23 Pending Proposals								\$ 8,648,879	\$ 1,864,150	\$ 10,513,029

FY24										
	Type	Proposed	Activity	Sponsor	Proposal Title	P.I.	Department	Direct	Indirect	Total
32	Local	8/28/23	R&D	ECORP Consulting-Santa Clara Valley Water	Mitigation and Monitoring Implementation for Fisheries	John Olson/Susan Alter	AES	\$ 80,204	\$ 34,488	\$ 114,692
33	State	9/1/23	R&D	Regents of UC/UC, Davis-CA Dept. Food & A	Scaling Up Climate Smart Nutrient Management in Cool-season Specialty Crop	Arlene L Haffa	Bio & Chem	\$ 244,667	\$ 55,332	\$ 299,999
34	Federal	9/7/23	R&D	U.S. Department of Agriculture	Applications of OpenET and satellite-based evapotranspiration information to ac	Adam J Purdy/Lee F Johnson	AES	\$ 867,236	\$ 131,855	\$ 999,091
35	Federal	9/29/23	Student scholar	Regents of UC/UC Berkeley - US DHHS	CalSWEC Title IV-E Program (24-26) Revised	Maria A Gurrola	Social Work	\$ 695,024	\$ 69,440	\$ 764,464
36	Federal	10/13/23	Academic Supp	Hispanic Association of Colleges and Universit	Latinx PASADA: Pathways to STEM Advanced Degree Attainment	John E Banks	UROC	\$ 296,610	\$ 127,542	\$ 424,152
37	Federal	10/23/23	R&D	Morehouse College - National Science Foundat	Collaborative Research: NSF INCLUDES Alliance: A BRAID Approach to Tra	Alison J Haupt	Marine Science	\$ 322,278	\$ 138,579	\$ 460,857
38	Federal	10/31/23	R&D	National Science Foundation	RUI: Collaborative Research: Unraveling the Rules of the Interface through sync	Arunkumar Sharma	Biology & Chemistr	\$ 154,981	\$ 56,649	\$ 211,630
39	Federal	11/16/23	R&D	National Institute of Food and Agriculture/U.S.	Weeding Out Trouble: Machine Learning and Hyperspectral Imaging to Protect	Arunkumar Sharma, Arun D Jani	Biology & Chemistr	\$ 234,939	\$ 64,904	\$ 299,843
40	State	11/16/23	R&D	California Leafy Greens Research Board/Califor	Screening of several lettuce types and cultivars for wilting resistance	Caetano Pereira Pedrosa de Albu	Biology & Chemistr	\$ 28,715	\$ -	\$ 28,715
41	Federal	11/22/23	R&D	Bamboo Charge-US Dept. Energy	Project Title: Enhancing Battery Recvcling Participation through Consumer Edt	Bude Su	School of Computin	\$ 279,527	\$ 120,196	\$ 399,723
42	Federal	12/4/23	R&D	Tennessee State University-US Dept. Energy	Empowering Minorities in Clean Energy: A Solar Workforce Development Initi	Arun D Jani	Biology & Chemistr	\$ 7,175	\$ 574	\$ 7,749

**University Corporation at Monterey Bay
FY23-24Q4 7/1/23-6/30/24**

Sponsored Programs Pending Proposals

43	State	12/12/23	Public Service	California Volunteers	Californians for All College Fellowship - Cohorts 3 and 4	Pamela T Motoike, Christine Eil	Service Learning Inst	\$	2,234,263	\$	283,816	\$	2,518,079
44	Federal	12/18/23	R&D	National Aeronautics & Space Administration	Implementing NASA FireSense Post-wildfire Hydrological Hazard Science and	James Joseph Guilinger	AES	\$	239,846	\$	59,693	\$	299,539
45	State	1/5/24	R&D	Regents of UC/Davis - CA Dept Food & Ag	Scaling Up Climate Smart Nutrient Management in Cool season Specialty Crop	Arlene L Haffa	Bio & Chem	\$	257,924	\$	17,886	\$	275,810
46	Federal	1/10/24	Academic Supp	California Marine Sanctuary Foundation - NOA	Regional Adaptation for Climate Resilience of Monterey Bay Coastal Commu	James Joseph Guilinger	AES	\$	1,684,645	\$	316,267	\$	2,000,912
47	Federal	1/16/24	Academic Supp	US Dept. Education	College Assistance Migrant Program (CAMP) 2024-2029	Clementina C Macias	EOSP	\$	2,205,741	\$	169,259	\$	2,375,000
48	Federal	1/18/24	R&D	National Science Foundation	Illustrating Understanding: Using Arts Integration to Support Student Learning	Jillian Marie Talley	Ed & Leadership	\$	302,703	\$	80,650	\$	383,353
49	Federal	1/17/24	R&D	National Science Foundation	COLLABORATIVE RESEARCH: Correspondence between environmental stre	Cheryl A Logan	Marine Science	\$	844,649	\$	338,474	\$	1,183,123
50	State	1/26/24	R&D	State Water Resources Control Board-Californi	OpenET support of State Water Board and the Cal-WATRS UPWARDS project	Adam J Purdy	AES	\$	2,046,442	\$	409,288	\$	2,455,730
51	Federal	2/2/24	Public Service	Pace University - NOAA	Climate Ready Workforce Ambassadorship and Teacher Fellowship	Susan Elizabeth Alter	Bio & Chem	\$	377,600	\$	117,218	\$	494,818
52	Federal	2/6/24	Academic Supp	U.S. Department of Agriculture/NIFA	Advancing Educational Equity for Developing Future Leaders/Managers/Supervi	Sumadhur Shakya	Business	\$	323,331	\$	76,669	\$	400,000
53	State	2/8/24	Public Service	Regents of UC/UCOP - CA Dept. Education	State Funds Monterey Bay World Languages (2024-2025)	Yoshiko Saito Abbott	WLC	\$	19,048	\$	952	\$	20,000
54	Federal	2/8/24	Public Service	Regents of UC/UCOP - US Dept. Education	Federal Funds Monterey Bay World Languages (2024-2025)	Yoshiko Saito Abbott	WLC	\$	27,778	\$	2,222	\$	30,000
55	Federal	2/16/24	R&D	National Institute of Health	R13: NONAUTONOMOUS CONTROL OF SUBSTRATE MORPHOGENESIS	Frank David Macabenta	Bio & Chem	\$	99,992	\$	42,997	\$	142,989
56	Federal	2/19/24	R&D	SF State University - US Dept. Energy	Coastal California Agricultural Climate Resilience Center (CCACRC)	Daniel M Fernandez	AES	\$	262,136	\$	112,718	\$	374,854
57	State	2/21/24	R&D	Agricultural Research Institute/California State	ARI Campus: Monitoring soil health, water conservation, and vegetable product	Arun D Jani, Caetano Pereira Pe	Bio & Chem	\$	98,484	\$	-	\$	98,484
58	State	2/21/24	R&D	Agricultural Research Institute/California State	ARI Campus: Decadal Synthesis: Analyzing and Adjusting Nitrous Oxide Emis	Arlene L Haffa	Bio & Chem	\$	99,825	\$	-	\$	99,825
59	Federal	2/21/24	Public Service	Resource Conservation District of Monterey Co	Salinas River Revegetation Project	Laura L Lienk	AES	\$	9,203	\$	3,957	\$	13,160
60	Federal	2/26/24	Academic Supp	U.S. Department of Health and Human Services	Central California FWEP-Geriatrics Workforce Enhancement Program	Renie E Rondon Jackson	Social Work	\$	2,811,199	\$	111,296	\$	2,922,495
61	Federal	3/1/24	R&D	Regents of UC/Merced-National Science Found	Collaborative Research: Conference: Evolving the Publishing Ecosystem Coope	Susan Elizabeth Alter	Bio & Chem	\$	3,906	\$	1,680	\$	5,586

FY24 Continued

	Type	Proposed	Activity	Sponsor	Proposal Title	P.I.	Department	Direct	Indirect	Total			
62	Federal	3/11/24	R&D	National Science Foundation	S-STEM CSU COAST SSTEM Collaborative Planning Grant Proposal	Krista P Kamer	COAST	\$	68,858	\$	29,609	\$	98,467
63	State	4/1/24	R&D	Regents of the University of California, Irvine-C	A Ground Up Approach to Equitable Outdoor Education: Fostering Student Soc	Megan J Salsberger	Ed & Leadership	\$	5,000	\$	-	\$	5,000
64	Local	4/1/24	Public Service	Monterey Peninsula Regional Park District	Restoration at Marina Dunes Preserve 24-25	Laura L Lienk	AES	\$	40,169	\$	3,214	\$	43,383
65	State	4/1/24	R&D	California Marine Sanctuary Foundation-CA Dc	Effect of aquatic habitat quality on the food safety risks from birds	John Robert Olson	AES	\$	84,826	\$	-	\$	84,826
66	Federal	4/2/24	Public Service	Association of Monterey Bay Area Government	Purchase of a Chevy Bolt and Installation of a Level 2 Charger	Yvonne Gordon-Huffenberger	Police	\$	57,058	\$	-	\$	57,058
67	State	4/8/24	Academic Supp	California Office of Statewide Health Planning	MSW Hybrid Expansion	Maria A Gurrola	Social Work	\$	2,464,676	\$	212,426	\$	2,677,102
68	State	4/9/24	R&D	OpenET-CA Dept. Water Resources	OpenET data production and support for the California Department of Water Re	Adam J Purdy	AES	\$	572,639	\$	114,528	\$	687,167
69	Federal	4/15/24	R&D	National Aeronautics & Space Administration	NASA MPlan	Arunkumar Sharma	Bio & Chem	\$	50,000	\$	-	\$	50,000
70	Other	4/15/24	R&D	Santa Lucia Conservancy	Santa Lucia Conservancy Hydrology Monitoring 2024	John Robert Olson, James Josep	AES	\$	30,228	\$	4,534	\$	34,762
71	State	4/17/24	R&D	California Department of Forestry and Fire Prot	Delivering live fuel moisture content to improve fire risk assessment and suppo	Adam J Purdy	AES	\$	541,207	\$	108,241	\$	649,448
72	State	4/30/24	R&D	The CSU Chico Research Foundation-CA Publ	Cal Speed Measurement Tools	Sathya R Narayanan, Young-Joo	School of Computin	\$	1,291,354	\$	314,462	\$	1,605,816
73	State	4/30/24	R&D	Regents of UC/University of California, Santa	California's Fifth Climate Change Assessment	James Seth Gustafson	AES	\$	18,000	\$	6,300	\$	24,300
74	Federal	5/1/24	Academic Supp	U.S. Department of Education	Project ACCESS - Advancing Culturally Competent Education and Support Se	Catherine M Draper Rodriguez, I	Ed & Leadership	\$	314,364	\$	135,314	\$	449,678
75	Federal	5/3/24	Academic Supp	U.S. Department of Education	Educators Striving To Reach Every Language Learner Academically (ESTRELL	Erin M Ramirez, Suzanne Garcia	Ed & Leadership	\$	3,063,250	\$	167,540	\$	3,230,790
76	Federal	5/3/24	R&D	U.S. Department of Agriculture	Purchase of a water purification system and high-precision analytical balances	Caetano Pereira Pedroso de Albu	Bio & Chem	\$	99,743	\$	-	\$	99,743
77	Local	5/10/24	Public Service	City of Salinas	Habitat Stewardship Project in the Creeks of Salinas	Laura L Lienk	AES	\$	23,445	\$	8,209	\$	31,654
78	Other	5/10/24	Public Service	Big Sur Land Trust	Carr Lake/Ensen Park 24-25	Laura L Lienk	AES	\$	6,521	\$	978	\$	7,499
79	Federal	5/13/24	Academic Supp	U.S. Department of Education	Project SALUD (Supporting Access for Latinx and other Underrepresented Dem	Catherine M Draper Rodriguez	Ed & Leadership	\$	2,797,992	\$	202,008	\$	3,000,000
80	Federal	5/23/24	R&D	Kansas State University-US Dept. Agriculture	Sustainable Irrigation and Climate Adaptation in Southern High Plains: A Satel	Lee F Johnson, Arun J Purdy	AES	\$	845,085	\$	114,017	\$	959,102
81	Federal	5/28/24	R&D	United States Navy/U.S. Department of Defens	MONITORING OF SUBTIDAL FISH AND INVERTEBRATES INSIDE ANE	James B Lindholm	Marine Science	\$	65,198	\$	11,410	\$	76,608
82	Federal	5/29/24	R&D/Student s	National Science Foundation	CUE-P: Building Pathways to Persistence: An incentive based model for engag	Sathya R Narayanan, Leslie A M	School of Computin	\$	1,800,040	\$	199,841	\$	1,999,881
83	State	5/30/24	R&D	California Department of Forestry and Fire Prot	The Marina Food Forest: biocultural urban agroforestry for Marina's past, preser	James Seth Gustafson, Jeff Corri	AES, Library, Bio &	\$	535,346	\$	230,199	\$	765,545
84	Other	5/30/24	R&D	OpenET-Montana Department of Natural Resou	Montana Historical Data Production and QAQC Review	Adam J Purdy	AES	\$	14,166	\$	2,833	\$	16,999
85	Federal	5/31/24	Student scholar	U.S. Department of Education	Project ARCH: Advancing Resilience and Comprehensive mental Health in Sch	Catherine M Draper Rodriguez,	Ed & Leadership	\$	4,097,900	\$	902,097	\$	4,999,997
86	Federal	5/31/24	Academic Supp	U.S. Department of Education	ROOTS:Residents Offering Outstanding Teacher for Students	Erin M Ramirez	Ed & Leadership	\$	5,753,433	\$	41,500	\$	5,794,933
87	State	6/7/24	R&D	California Marine Sanctuary Foundation-CA Dc	Decision Support Tool to Inform Agricultural Management Practices for Improv	Jennifer M Duggan	AES	\$	85,000	\$	-	\$	85,000
88	Federal	6/14/24	R&D	National Marine Sanctuary Foundation-NOAA/	Immersive VR Dive Curriculum: Chumash Heritage National Marine Sanctuary	James B Lindholm	Marine Science	\$	98,603	\$	35,089	\$	133,692
89	Federal	6/21/24	R&D	National Institutes of Health/U.S. Department c	Investigating the Molecular Etiology of TSC-related and Sporadic LAM using	Frank David Macabenta	Bio & Chem	\$	299,882	\$	120,457	\$	420,339
90	Federal	6/21/25	R&D	National Institutes of Health/U.S. Department c	Exploring the effects of complexity on seniors' use of wearable devices	Mohamed Moustafa Abouzahra	Business	\$	299,328	\$	128,711	\$	428,039
91	State	6/25/24	Academic Supp	California College Guidance Initiative	California College Guidance Intiative (CCGI) Regional Partnership Grant	Elizabeth Benton Mihopoulos	Student Enrollment	\$	35,420	\$	5,313	\$	40,733

Total FY23-24 Pending Proposals \$ 42,618,802 \$ 6,043,431 \$ 48,662,233

TOTAL PENDING PROPOSALS \$ 53,590,150 \$ 8,350,072 \$ 61,940,222

Grants & Contracts (Direct vs Indirect) FY 23-24 4th Quarter (Cumulative)

Type	Federal	State	Private	Local	Other	Grand Total
Direct	16,214,140.60	3,840,954.42	2,687,871.80	121,453.70	506,725.49	23,371,146.01
Indirect	1,435,403.28	416,867.28	200,380.01	20,284.30	44,762.12	2,117,696.99
Grand Total	17,649,543.88	4,257,821.70	2,888,251.81	141,738.00	551,487.61	25,488,843.00

9.1%

UNIVERSITY CORPORATION at MONTEREY BAY
2024/2025 REPORT OF PUBLIC EXTERNAL FUNDING SOURCES

SUMMARY REPORT
7/1/24-9/30/24

I. PUBLIC AWARDS RECEIVED 2024/2025 (1st QUARTER)

(Awards Goal Compared to Actual Awards)

	24/25 GOAL	AMOUNT THRU 9/30/24	NUMBER OF AWARDS	FY 23/24 COMPARISON AMOUNT THRU 9/30/23	NUMBER OF AWARDS
NEW PUBLIC FUNDS					
DIRECT	\$17,000,000	\$ 6,611,493	12	\$9,814,614	21
INDIRECT	<u>\$3,000,000</u>	<u>\$1,341,706</u>	(plus 13 supplements)	<u>\$1,314,453</u>	(plus 8 supplements)
TOTAL PUBLIC	\$20,000,000	\$ 7,953,199		\$11,129,067	
 II. ONGOING PUBLIC AWARDS 2024/2025		\$103,310,919	124	\$68,921,571	131
Total Awards (New + Ongoing)		\$ 111,264,118	136	\$80,050,638	152
 III. PENDING PUBLIC PROPOSALS					
		AS OF 9/30/24	NUMBER OF PROPOSALS	AS OF 9/30/23	NUMBER OF PROPOSALS
		\$68,598,345	92	\$57,747,938	85

**University Corporation at Monterey Bay
External Funding Report - Sponsored Programs Office
Awards: 7/1/24 - 9/30/24 Quarter 1**

Type	Project	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term
119 Local	5610801A	Public Servi Transportation Agency for Monterey Cou	SCYP Partnership with TAMC	Daniel M Fernandez	AES	AA/Science	\$ 99,024	\$ 23,347	\$ 122,371	\$122,371				8/25/21-6/30/26
120 Local	5611801A	Academic St Blue Strike Environmental	ReGEN Otter Eats	Joanna L Snawder-Manzo	Dean Students	SA/Dean Student	\$ 6,902	\$ 2,416	\$ 9,318	\$9,318				1/17/24-12/31/24
					TOTAL LOCAL GOV		\$ 105,926	\$ 25,763	\$ 131,689	\$ 131,689	\$ -	\$ -	\$ -	
Type	Project	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term
121 Other	5811501A	Student Sch Google LLC	Computing Talent Initiative	Sathya Narayanan	SCD	AA/Science	\$ 100,000	\$ -	\$ 100,000	\$100,000				12/15/19-12/14/25
122 Other	5814401A	Academic St CSU Creates/CSU Office of the Chancell	The AHRC/MM Project: Supporting Black Students Throug	Vanessa Lopez-Littleton, Dennis	HHS&PP/Edu	AA/HSHS/Educa	\$ 87,619	\$ 4,381	\$ 92,000	\$92,000				7/1/22-7/1/23
123 Other	5814501A	R&D American Chemical Society	Investigating Inhibitor Selectivity and Affinity to Prevent As	Arunkumar Sharma	Bio & Chem	AA/Science	\$ 60,000	\$ -	\$ 60,000	\$60,000				9/1/22-8/31/25
124 Other	5814901A	Student Sch Aquarium of the Pacific	CSU COAST Summer Internships 2023-27: Aquarium of th	Krista P Kamer	COAST	AA/GS&R	\$ 16,000	\$ -	\$ 16,000	\$12,000	\$ 4,000	\$ -	\$4,000	5/1/23-4/30/29
125 Other	5815001A	Student Sch Friends of Cabrillo Marine Aquarium	CSU COAST Summer Internships 2023-27: Cabrillo Marine	Krista P Kamer	COAST	AA/GS&R	\$ 16,000	\$ -	\$ 16,000	\$16,000				5/1/23-4/30/28
126 Other	5815101A	Student Sch CA OST	CSU COAST Summer Internships 2023-27: California Ocea	Krista P Kamer	COAST	AA/GS&R	\$ 12,000	\$ -	\$ 12,000	\$12,000				5/1/23-4/30/28
127 Other	5815401A	R&D OpenET, Inc-Colorado River Authority o	OpenET Applications for Water Resources Management with	Adam J Purdy	AES	AA/Science	\$ 565,701	\$ 113,140	\$ 678,841	\$678,841				6/1/23-2/23/27
128 Other	5815601A	Academic St CSU Creates/CSU Office of the Chancell	Pilot Recitation Program for anatomy and physiology	Eric A Martic, Trish G Sevene	Kinesiology	AA/HSHS	\$ 142,846	\$ 7,142	\$ 149,988	\$149,988				1/23-8/1/24
129 Other	5816001A	R&D Environmental Defense Fund	Forest Health Applications of Evapotranspiration Data	Adam J Purdy	AES	AA/Science	\$ 22,494	\$ 4,499	\$ 26,993	\$26,993				1/15/24-3/1/25
130 Other	5816101A	R&D Carmel River Watershed Conservancy	Quantifying Wildfire Regime Impacts on Carmel Watershed	James Joseph Guilinger	AES	AA/Science	\$ 10,831	\$ 1,083	\$ 11,914	\$11,914				3/1/24-3/1/25
131 Other	5816201A	Public Servi Coastal Conservation & Research	Planting with CCR in North Monterey County	Laura L Lienk	AES	AA/Science	\$ 7,077	\$ 3,043	\$ 10,120	\$10,120				10/1/24-12/31/25
132 Other	5816301A	R&D Central Coast Water Quality Preservation	Cooperative Monitoring Program Annual Report - 2024	John Robert Olson	AES	AA/Science	\$ 18,913	\$ 8,132	\$ 27,045	\$27,045				2/1/24-12/31/24
133 Other	5816401A	Student Sch The Nature Conservancy	COAST Internships 2024 TNC	Krista P Kamer	COAST	AA/GS&R	\$ 8,000	\$ -	\$ 8,000	\$8,000				5/29/24-11/1/24
134 Other	5816501A	Public Servi Aspire Healthplan	Exploratory Studey to Identify the Feasibility of Future Rese	Kim M Judson, Ignacio A Nava	HHSP	AA/HSHS	\$ 45,455	\$ 4,545	\$ 50,000	\$50,000				5/1/24-9/30/24
135 Other	5816601A	Student Sch CA Marine Sanctuary Foundation	COAST Internships 2024-28 OCC	Krista P Kamer	COAST	AA/GS&R	\$ 8,000	\$ -	\$ 8,000	\$8,000				5/1/24-4/30/25
136 Other	5816701A	Student Sch Orange County Coastkeepers	COAST Internships 2024-28 CMSF	Krista P Kamer	COAST	AA/GS&R	\$ 4,000	\$ -	\$ 4,000	\$4,000				5/1/24-4/30/25
					TOTAL OTHER		\$ 1,124,936	\$ 145,965	\$ 1,270,901	\$ 1,266,901	\$ 4,000	\$ -	\$ 4,000	
					GRAND TOTAL		\$ 98,914,488	\$ 12,349,631	\$ 111,264,119	\$103,310,919	\$ 6,611,493	\$ 1,341,706	\$ 7,953,199	

**University Corporation at Monterey Bay
External Funding - Sponsored Programs Office
Activity Report: 7/1/24-9/30/24 Q1**

ACADEMIC SUPPORT

Type	Activity Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term
1	Federal	Academic St U.S. Department of Education	Title V-METAS Mentorship & Equity in Transitions to Ach	Dale Grubb	Academic Plan	AA/AP&IE	\$ 2,399,413	\$ -	\$ 2,399,413	\$2,399,413				10/1/20-9/30/24
2	Federal	Academic St US Dept. Education	The Making Accessible and Effective Systems for Teacher R	Cathi Draper Rodriguez	Education & L	AA/Education	\$ 3,750,000	\$ -	\$ 3,750,000	\$3,750,000				10/1/18-9/30/24
3	Federal	Academic St U.S. Department of Education	Project POPPY: Preparing Observational Practitioners throug	Erin M Ramirez, Megan Sulsb	Teacher Educat	AA/Education	\$ 4,171,935	\$ 37,853	\$ 4,209,788	\$4,209,788				10/1/2019-9/30/24
4	Federal	Academic St Regents of UC/Santa Cruz - USDA	Cultivando Lidere: A regional partnership for training and se	Jessica Bautista	UROC	AA/GS&R	\$ 780,906	\$ 96,754	\$ 877,660	\$877,660				6/15/23-6/14/28
5	Federal	Academic St US Dept of Education	Ronald E. McNair Scholars 2022-2027 Yr 1,2	John E Banks	UROC	AA/GS&R	\$ 513,788	\$ 20,464	\$ 534,252	\$534,252				10/1/22-9/30/24
6	State	Academic St UEI Sacramento - Chancellor's Office	CSU LSAMP Yr 5 STEM Pathways & Research Alliance+ (John E Banks	UROC	AA/GS&R	\$ 211,780	\$ -	\$ 211,780	\$211,780				9/1/22-8/31/24
7	Federal	Academic St U.S. Department of Agriculture	Increased degree attainment in FANH Sciences: Creating a re	John E Banks, Jose Pablo Dund	UROC-BIO &	AA/GS&R-COS	\$ 842,912	\$ 132,402	\$ 975,314	\$975,314				9/1/2021-8/31/25
8	Other	Academic St CSU Creates/CSU Office of the Chancell	Pilot Recitation Program for anatomy and physiology	Eric A Martic, Trish G Sevene	Kinesiology	AA/HSHS	\$ 142,846	\$ 7,142	\$ 149,988	\$149,988				1/23-8/1/24
9	State	Academic St California Office of Statewide Health Pla	Song-Brown Primary Care Physician Assistant Training Proj	Christopher P Forest, Valerie Je	PA	AA/HSHS	\$ 168,000	\$ -	\$ 168,000	\$168,000				6/30/23-8/30/24
10	State	Academic St CA Office of Statewide Health Planning	MSW Program Expansion	Maria A Gurrola	Social Work	AA/HSHS	\$ 3,579,132	\$ -	\$ 3,579,132	\$3,579,132				3/30/23-6/30/27
11	Other	Academic St CSU Creates/CSU Office of the Chancell	The AHRC/MM Project: Supporting Black Students Throug	Vanessa Lopez-Littleton, Dennis	HHS&PP/Educa	AA/HSHS/Educa	\$ 87,619	\$ 4,381	\$ 92,000	\$92,000				7/1/22-7/1/23
12	Federal	Academic St Hartnell College-USDA	Hartnell College Learning to Lead: Career Pathways Support	Andrew Lawson	Provost	AA/Provost	\$ 1,637,133	\$ 105,407	\$ 1,742,540	\$1,742,540				6/1/23-5/31/28
13	Federal	Academic St US Dept. Agriculture/NIFA	Nurturing Relationships to Harvest Success: Leveraging Soci	Jose Pablo Dundore-Arias/Andr	Bio & Chem/	AA/Science	\$ 4,192,081	\$ 807,919	\$ 5,000,000	\$5,000,000				6/1/23-5/31/28
14	State	Academic St Foundation of CA Community Colleges	Growing Grading for Growth in STEM	Lipika Deka, Alison G Lynch, J Math & Statis		AA/Science	\$ 286,539	\$ 13,461	\$ 300,000	\$300,000				8/1/23-7/31/25
15	State	Academic St Fdn. For CA Community Colleges-CA	Increasing Student Success Through Faculty Engagement An	Michael B Scott, Jennifer Click	Math & Statis	AA/Science	\$ 92,593	\$ 7,407	\$ 100,000	\$100,000				7/1/22-12/31/24
16	Federal	Academic St US Dept of Education	Building an Effective Ecosystem for Equity in STEM Career	Luis Edgar Cabrales Arriaga	Mechatronics	AA/Science	\$ 1,200,000	\$ -	\$ 1,200,000	\$1,200,000				10/1/22-9/30/24
17	Federal	Academic St Monterey Peninsula College - US Dept o	Title V: Ready Set Transfer Yr 1-4	Sharon Anderson	Advising	AA/Univ Colleg	\$ 380,346	\$ -	\$ 380,346	\$380,346				10/1/18-9/30/24
18	Federal	Academic St Cabrillo College - US Dept. Ed Title V	Cabrillo/CSUMB Partnership to Strengthen Transfer Pathwa	Sharon Anderson	Advising, Car	AA/Univ Colleg	\$ 130,976	\$ -	\$ 130,976	\$130,976				10/1/20-9/30/23
19	Local	Academic St Blue Strike Environmental	ReGEN Otter Eats	Joanna L Snawder-Manzo	Dean Students	SA/Dean Student	\$ 6,902	\$ 2,416	\$ 9,318					1/17/24-12/31/24
20	Federal	Academic St US Dept of Education	College Assistance Migrant Program (CAMP), Yr 1-5	Clementina Macias	College Supp	SA/Enrollment N	\$ 1,977,082	\$ 148,518	\$ 2,125,600	\$2,125,600				7/1/19-6/30/24
21	Federal	Academic St U.S. Department of Education	Student Support Services Program-STEM Yr 1	Elizabeth Mihopoulos	College Supp	SA/Enrollment N	\$ 983,740	\$ 74,288	\$ 1,058,028	\$1,058,028				9/1/20-8/31/24
22	Federal	Academic St U.S. Department of Education	Student Support Services Program Yr 1	Elizabeth Mihopoulos	College Supp	SA/Enrollment N	\$ 1,110,416	\$ 80,273	\$ 1,190,689	\$1,190,689				9/1/20-8/31/24
23	Federal	Academic St US Dept. Education	College Assistance Migrant Program (CAMP) 2024-2029	Denise A Wineglass	EOSP	Student Affairs	\$ 441,047	\$ 33,952	\$ 474,999	\$0	\$ 441,047	\$ 33,952	\$ 474,999	7/1/24-6/30/25
TOTAL ACADEMIC SUPP							\$ 29,087,186	\$ 1,572,637	\$ 30,659,823	\$ 30,184,824	\$ 441,047	\$ 33,952	\$ 474,999	5.97%

PUBLIC SERVICE

Type	Activity Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term
1	State	Public Servi Regents of UC/University of California (State Funds Monterey Bay World Language Project 22-23	Yoshiko Saito Abbott	WLC	AA/AHSS	\$ 35,714	\$ 1,786	\$ 37,500	\$37,500				7/1/22-6/30/24
2	State	Public Servi CSU Fresno Foundation - CA Energy C	Central Valley Regional Innovation Cluster	Brad Barbeau	iiED	AA/Business	\$ 153,846	\$ 46,154	\$ 200,000	\$200,000				8/2/22-3/31/26
3	State	Public Servi Monterey County Health Dept/Behaviora	No Zip Code Left Behind: Addressing Inequities throug	Ignacio A Navarro/Kim M Judsc	HHSPP	AA/HSHS	\$ 534,056	\$ 53,406	\$ 587,462	\$587,462				9/1/22-6/16/26
4	Other	Public Servi Aspire Healthplan	Exploratory Study to Identify the Feasibility of Future Rese	Kim M Judson, Ignacio A Nava	HHSPP	AA/HSHS	\$ 45,455	\$ 4,545	\$ 50,000	\$50,000				5/1/24-9/30/24
5	Federal	Public Servi Central CA Coast Alliance for Health	ARPA Street Outreach	Maria A Gurrola	Social Work	AA/HSHS	\$ 260,182	\$ 49,818	\$ 310,000	\$310,000				7/1/23-7/31/24
6	Local	Public Servi Transportation Agency for Monterey Cou	SCYP Partnership with TAMC	Daniel M Fernandez	AES	AA/Science	\$ 99,024	\$ 23,347	\$ 122,371	\$122,371				8/25/21-6/30/26
7	State	Public Servi CA Coastal Commission	Whale Tail-4th grade-Flows to the Bay	James Seth Gustafson	AES	AA/Science	\$ 46,442	\$ 3,558	\$ 50,000	\$50,000				4/15/24-4/15/26
8	Federal	Public Servi Bureau of Land Management/U.S. Depart	Return of the Natives to BLM/FONP Ensuring Native Plant	Laura L Lienk	AES	AA/Science	\$ 231,768	\$ 40,560	\$ 272,328	\$272,328				10/1/21-9/30/24
9	Federal	Public Servi Bureau of Land Management/U.S. Depart	Return of the Natives and Grad Assistants Help Restore Ft O	Laura L Lienk	AES	AA/Science	\$ 197,035	\$ 34,481	\$ 231,516	\$166,372	\$ 55,442	\$ 9,702	\$ 65,144	10/1/22-9/30/27
10	Federal	Public Servi US Bureau of Land Management	Amphibian Monitoring and Bullfrog Abatement on FONM	Laura L Lienk	AES	AA/Science	\$ 34,044	\$ 5,958	\$ 40,002	\$40,002				10/1/23-9/30/24
11	Federal	Public Servi RCD Monterey County-US DoD	Milkweeds/Pollinators Ft Hunter Liggett	Laura L Lienk	AES	AA/Science	\$ 28,150	\$ 12,105	\$ 40,255	\$40,255				10/1/23-3/31/26
12	Federal	Public Servi RCD Monterey County-US Bureau of Re	Salinas River Regenerative Project	Laura L Lienk	AES	AA/Science	\$ 9,203	\$ 3,957	\$ 13,160	\$0	\$ 9,203	\$ 3,957	\$ 13,160	3/1/24-3/1/26
13	State	Public Servi CA Dept. Parks & Recreation	Salinas Together for Healthy Equitable Environment	Laura L Lienk	AES	AA/Science	\$ 539,113	\$ -	\$ 539,113	\$539,113				7/1/20-6/30/27
14	State	Public Servi CA Wildlife Conservation Board	Pollinator Plant Propagation	Laura L Lienk	AES	AA/Science	\$ 5,425	\$ 1,085	\$ 6,510	\$3,708	\$ 2,335	\$ 467	\$ 2,802	1/1/24-1/31/27
15	Other	Public Servi Coastal Conservation & Research	Planting with CCR in North Monterey County	Laura L Lienk	AES	AA/Science	\$ 7,077	\$ 3,043	\$ 10,120	\$10,120				10/1/24-12/31/25
16	Federal	Public Servi US Dept. Agriculture/NIFA	Developing capacity to quantify climate-smart practices in co	Arlene L Haffa, Arun D Jani	Bio & Chem	AA/Science	\$ 4,420,039	\$ 579,937	\$ 4,999,976	\$4,999,976				9/28/23-9/27/28
17	State	Public Servi CA Volunteers-State of California	California For All College Fellowships-Dreamers	Christine Eileen Hernandez	Service Learni	AA/Univ Colleg	\$ 403,846	\$ 61,154	\$ 465,000	\$465,000				5/4/22-9/30/24
18	Federal	Public Servi California Volunteers-US. Dept. Treasury	ARPA Califomians for All College Fellowship	Christine Hernandez/Rhonda Mc	Service Learni	AA/Univ Colleg	\$ 1,534,134	\$ 316,937	\$ 1,851,071	\$1,809,186	\$ 41,885	\$ -	\$ 41,885	2/23/22-8/31/23
19	Federal	Public Servi Corporation for National Public Service	AmeriCorps VIP Program 23-24	Robin Pelc	Service Learni	AA/Univ Colleg	\$ 180,696	\$ -	\$ 180,696	\$180,696				9/30/23-7/31/24
20	Federal	Public Servi US Dept of Education	Coastal GearUP Year 2	Ben Corpus	EOSP	SA/Enrollment N	\$ 4,570,972	\$ 364,318	\$ 4,935,290	\$4,935,290				9/30/20-9/29/24
21	Federal	Public Servi U.S. Department of Education	Gear Up Salinas Valley Futures	Ben Corpus	EOSP	SA/Enrollment N	\$ 3,445,556	\$ 274,444	\$ 3,720,000	\$3,720,000				10/1/21-9/30/24
22	Federal	Public Servi U.S. Department of Education	Watsonville High School and Soledad High School Upward	Ben Corpus	EOSP	SA/Enrollment N	\$ 697,217	\$ 50,301	\$ 747,518	\$747,518				9/1/22-8/31/24
23	Federal	Public Servi U.S. Department of Education	North Monterey County and Pajaro Valley Upward Bound	Ben Corpus	EOSP	SA/Enrollment N	\$ 566,786	\$ 40,320	\$ 607,106	\$607,106				9/1/22-8/31/24
24	Federal	Public Servi U.S. Department of Education	CSUMB Monterey County Talent Search	Ben Corpus	EOSP	SA/Enrollment N	\$ 1,221,807	\$ 97,745	\$ 1,319,552	\$1,319,552				9/1/22-8/31/24
TOTAL PUBLIC SERVICE							\$ 19,267,587	\$ 2,068,959	\$ 21,336,546	\$ 21,213,555	\$ 108,865	\$ 14,126	\$ 122,991	1.55%

RESEARCH & DEVELOPMENT

**University Corporation at Monterey Bay
External Funding - Sponsored Programs Office
Activity Report: 7/1/24-9/30/24 Q1**

36	Federal	R&D	US Army/US Dept. Defense	Fort Hunter Liggett Studies	John Robert Olson/Ruben Men	AES/SB&GS	AA/Science/AHS	\$ 44,668	\$ 19,207	\$ 63,875	\$ 63,875								12/19/22-12/18/32
37	State	R&D	California Public Utilities Commission	CPUC Broadband Testing	Sathya R Narayanan, Young-Joc	School of Com	AA/Science	\$ 2,072,740	\$ 550,285	\$ 2,623,025	\$ 2,623,025								7/16/2021-7/15/24
38	Federal	R&D	National Institute of Food and Agriculture	FUTURE-Ag: Fostering Undergraduate student Training, Up	Jose Pablo Dundore-Arias/Andr	Bio & Chem/	AA/Science/Prov	\$ 155,606	\$ 66,910	\$ 222,516	\$ 222,516								8/1/2021-7/31/24
39	Federal	R&D-Acader	National Science Foundation	Collaborative Research: Squirreling Around for Science: Inco	Jennifer Duggan	AES	AA/Science	\$ 104,521	\$ 44,942	\$ 149,463	\$ 149,463								7/16/20-7/31/24
40	Federal	R&D-Acader	UC Regents/Santa Cruz - NIH	Institutional Research & Academic Career Development (IRA	Aparna Sreenivasan	Bio & Chem	AA/Science	\$ 243,799	\$ 19,504	\$ 263,303	\$ 206,722	\$ 52,390	\$ 4,191	\$ 56,581					8/14/20-7/31/25
41	Federal	R&D-Public	National Science Foundation	REU Renewal from the Intertidal to the Deep Ocean: Monten	Sherry L Palacios	Marine Science	AA/Science	\$ 759,715	\$ 65,452	\$ 825,167	\$ 825,167								7/15/20-5/31/25
42	Federal	R&D-Public	National Institutes of Health	Science teaching through the arts: Bringing state-of-the-art en	Corin D Slown, Enid Ryce	Bio & Chem/	AA/Science & Ai	\$ 989,168	\$ 68,375	\$ 1,057,543	\$ 1,057,543								7/16/20-7/30/24
43	Federal	R&D-Studer	National Science Foundation	Geo-Bridge Program	Zurine Miguel Malaxechevarria,	Psychology, M	AA/AHHS/Scien	\$ 365,726	\$ 25,157	\$ 390,883	\$ 390,883								9/1/20-8/31/23
44	Federal	R&D-Studer	UEI Sacramento -National Science Foun	NSF LSAMP FY23 and FY24 Yr 4 and 5	John E Banks	UROC	AA/GS&R	\$ 30,000	\$ -	\$ 30,000	\$ 30,000								9/1/22-8/31/24
45	Federal	R&D-Studer	National Science Foundation	Replication of cohort-based computer science bachelor's degr	Sathya Narayanan	SCD	AA/Science	\$ 4,822,009	\$ 176,971	\$ 4,998,980	\$ 4,998,980								10/1/18-9/30/25
46	Federal	R&D-Studer	National Science Foundation	Robert Noyce Collaborative Track 1:Rural Integrated STEM	Corin D Slown/Dennis Kombe/	Bio & Chem/	AA/Science & Ex	\$ 1,009,188	\$ 141,624	\$ 1,150,812	\$ 1,150,812								3/1/21-2/28/26
								TOTAL R&D	\$ 45,946,639	\$ 8,185,317	\$ 54,131,956	\$ 48,019,665	\$ 4,973,082	\$ 1,139,208	\$ 6,112,290				76.85%

STUDENT SCHOLARSHIPS

Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term	
47	Federal	Student Sch US Dept. Education	Project REACH: Responsive Educators and Advocates Com	Cathi Draper Rodriguez	Ed & Leadersh	AA/Education	\$ 1,952,808	\$ 446,257	\$ 2,399,065	\$ 1,541,896	\$ 736,989	\$ 120,180	\$ 857,169	4/1/23-12/31/24
48	Federal	Student Sch National Marine Sanctuary Fdn-NOAA	CSU COAST Summer Internships 2021-2026	Krista P Kamer	COAST	AA/GS&R	\$ 28,000	\$ -	\$ 28,000	\$ 28,000				5/1/21-4/30/26
49	Federal	Student Sch NOAA	CSU COAST Summer Internships: NOAA NMFS 2023-202	Krista P Kamer	COAST	AA/GS&R	\$ 13,500	\$ -	\$ 13,500	\$ 13,500				4/1/23-3/31/28
50	Federal	Student Sch U.S. Department of Health and Human S	HRSA BHWET Program for Social Work Professionals	Maria A Gurrola	Social Work	AA/HS&S	\$ 1,367,763	\$ 42,221	\$ 1,409,984	\$ 1,409,984				7/1/2021-6/30/25
51	Federal	Student Sch UC Regents/Davis-US Dept. Health & H	CalSWEC Title IV E Scholarships (24-25)	Maria A Gurrola	Social Work	AA/HS&S	\$ 347,510	\$ 34,240	\$ 381,750	\$ 0	\$ 347,510	\$ 34,240	\$ 381,750	7/1/24-6/30/26
52	State	Student Sch CA State Lands Commission	CSU COAST Summer Internships 2022-24 State Lands Con	Krista P Kamer	COAST	AA/GS&R	\$ 22,000	\$ -	\$ 22,000	\$ 22,000				6/1/22-5/31/25
53	Other	Student Sch The Nature Conservancy	COAST Internships 2024 TNC	Krista P Kamer	COAST	AA/GS&R	\$ 8,000	\$ -	\$ 8,000	\$ 8,000				5/29/24-11/1/24
54	Other	Student Sch CA Marine Sanctuary Foundation	COAST Internships 2024-28 OCC	Krista P Kamer	COAST	AA/GS&R	\$ 8,000	\$ -	\$ 8,000	\$ 8,000				5/1/24-4/30/25
55	Other	Student Sch Orange County Coastkeepers	COAST Internships 2024-28 CMSF	Krista P Kamer	COAST	AA/GS&R	\$ 4,000	\$ -	\$ 4,000	\$ 4,000				5/1/24-4/30/25
56	Federal	Student Sch US Dept. Health & Human Services	Scholarships for Disadvantaged Students-Yr 7	Maria A Gurrola	Social Work	AA/HS&S	\$ 662,024	\$ -	\$ 662,024	\$ 662,024				7/1/22-6/30/25
57	State	Student Sch San Francisco Bay Conservation and Dev	CSU COAST Summer Internships 2023-28: San Francisco E	Krista P Kamer	COAST	AA/GS&R	\$ 4,000	\$ -	\$ 4,000	\$ 4,000				5/1/24-4/30/25
58	State	Student Sch CA Dept. Fish & Wildlife	CSU COAST Summer Internships 2023-25 CDFW	Krista P Kamer	COAST	AA/GS&R	\$ 51,471	\$ -	\$ 51,471	\$ 51,471				7/1/23-6/30/25
59	Other	Student Sch Aquarium of the Pacific	CSU COAST Summer Internships 2023-27: Aquarium of the	Krista P Kamer	COAST	AA/GS&R	\$ 16,000	\$ -	\$ 16,000	\$ 12,000	\$ 4,000	\$ -	\$ 16,000	5/1/23-4/30/29
60	Other	Student Sch Friends of Cabrillo Marine Aquarium	CSU COAST Summer Internships 2023-27: Cabrillo Marine	Krista P Kamer	COAST	AA/GS&R	\$ 16,000	\$ -	\$ 16,000	\$ 16,000				5/1/23-4/30/28
61	Other	Student Sch CA OST	CSU COAST Summer Internships 2023-27: California Ocea	Krista P Kamer	COAST	AA/GS&R	\$ 12,000	\$ -	\$ 12,000	\$ 12,000				5/1/23-4/30/28
59	Other	Student Sch Google LLC	Computing Talent Initiative	Sathya Narayanan	SCD	AA/Science	\$ 100,000	\$ -	\$ 100,000	\$ 100,000				12/15/19-12/14/25
						TOTAL SCHOLARSHIPS	\$ 4,613,076	\$ 522,718	\$ 5,135,794	\$ 3,892,875	\$ 1,088,499	\$ 154,420	\$ 1,242,919	
						GRAND TOTAL	\$ 98,914,488	\$ 12,349,631	\$ 111,264,119	\$ 103,310,919	\$ 6,611,493	\$ 1,341,706	\$ 7,953,199	15.63%

University Corporation at Monterey Bay
External Funding Report - Sponsored Programs Office
Pending Proposals: 7/1/24-9/30/24 Quarter 1

FY23										
	Type	Proposed	Activity	Sponsor	Proposal Title	P.I.	Department	Direct	Indirect	Total
1	Federal	7/19/22	Public Service	Community Homeless Solutions-U.S. Departm	Case Management and Housing Navigation Partnership	Maria A Gurrola, Kai Medina-M	Social Work	\$ 247,308	\$ 49,462	\$ 296,770
2	State	7/19/22	Public Service	Everyone's Harvest-Central CA Coast Alliance	: Expanding Everyone's Harvest Fresh Rx	Vanessa A Lopez-Littleton	Health, Human Servi	\$ 8,696	\$ 1,304	\$ 10,000
3	Federal	7/20/22	Public Service	Bureau of Land Management/U.S. Department	: Augmentation to PD 1126; Return of the Natives and Grad Assistants Help Res	Laura L Lienk	Applied Environmen	\$ 115,285	\$ 20,175	\$ 135,460
4	Federal	7/25/22	Public Service	California Volunteers-U.S. Department of the I	CARES Act: Califomians for All College Fellowship - Cohort 2 - Non-Dreame	Christine Eileen Hernandez	Service Learning Inst	\$ 566,748	\$ 108,252	\$ 675,000
5	Federal	7/28/22	R&D	California Sea Grant/The Regents-National Oce	Status of Pismo Clams in Monterey Bay	Alison J Haupt	Marine Science	\$ 65,059	\$ 9,915	\$ 74,974
6	State	7/29/22	R&D	California Ocean Protection Council	Will Habitat Distribution Increase Resilience to Climate Change in Central Cali	James B Lindholm	Marine Science	\$ 316,901	\$ 46,785	\$ 363,686
7	Federal	8/3/22	R&D	Pace University-National Science Foundation	Modeling the Human Harbor; Applying Computational Thinking and Compute	Susan Elizabeth Alter	Biology & Chemistr	\$ 18,214	\$ 5,786	\$ 24,000
8	Federal	9/8/22	Public Service	Coalition of Homeless Services Providers-U.S.	Street Outreach	Maria A Gurrola	Social Work	\$ 1,423,192	\$ 203,985	\$ 1,627,177
9	Federal	9/24/22	R&D	Regents of UC/Davis-National Science Foundat	Developing Preservice Teacher Equity Stances: Formative Simulation Based As	Kayce Lee Mastrup	Education & Leaders	\$ 142,618	\$ 58,750	\$ 201,368
10	State	10/7/22	R&D	California Ocean Protection Council	Prop 68: Will Habitat Distribution Increase Resilience to Climate Change in Ce	James B Lindholm	Marine Science	\$ 339,275	\$ 83,569	\$ 422,844
11	Local	10/19/22	Public Service	County of Monterey	Return of the Natives Helps Create Pollinator Patches for the Salinas Valley	Laura L Lienk	AES	\$ 8,838	\$ -	\$ 8,838
12	State	12/5/22	Public Service	County of Monterey/Department of Social Serv	Pajaro River Outreach and Case Management	Maria A Gurrola	Social Work	\$ 2,705,332	\$ 535,282	\$ 3,240,614
13	State	12/6/22	R&D	CA Leafy Greens Research Board-CA Dpt. of F	Expanding the Knowledge and Application of Sustainable Nitrogen Managemen	Arlene L Haffa	Bio & Chem	\$ 45,187	\$ -	\$ 45,187
14	Federal	1/20/23	Academic Supp	Salinas Valley Memorial Hospital-US Dept.	La Nursing Expansion Grant	Alyssa E. Erikson	Nursing	\$ 645,246	\$ 276,282	\$ 921,528
15	Local	2/3/23	Public Service	County of Monterey/Department of Social Serv	Exploratory analysis of homelessness and homeless services using Monterey Co	Ignacio A Navarro	HHSPP	\$ 166,611	\$ 33,322	\$ 199,933
16	State	2/17/23	Public Service	Regents of UC/University of California Office	: State Funds - Monterey Bay World Language Project	Yoshiko SaitoAbbott	WLC	\$ 19,048	\$ 952	\$ 20,000
17	State	2/22/23	R&D	Agricultural Research Institute/California State	Developing Data Sensing Networks to Inform Growers and Educate the Next Ge	Arlene L Haffa	Bio & Chem	\$ 106,161	\$ -	\$ 106,161
18	Private	4/19/23	Academic Supp	CSU Creates /California State University, Offic	ENGAGES: Empowering the Next Generation AND Growing Engaged Scholars	Dennis A Kombe	Ed & Leadership	\$ 142,852	\$ 7,143	\$ 149,995
19	Other	4/19/23	Academic Supp	CSU/CREATES-Chancellor's Office-College F	CSUMB Makerspace Graduation Initiative	Jeff D Corrigan, Corin D Slow	Library/Bio & Chem	\$ 69,507	\$ 3,475	\$ 72,982
20	State	4/27/23	R&D	California Department of Forestry and Fire Prot	Fuel For Thought: Delivering Live Fuel Moisture Content Maps on Demand to	Adam J Purdy	AES	\$ 409,060	\$ 81,812	\$ 490,872
21	State	5/5/23	R&D	Elkhorn Slough Foundation-CA Coastal Conse	Monitoring Soil Health and Water Status in Biochar-Amended Soils Planted to	Arun D Jani	Bio & Chem	\$ 76,899	\$ 23,070	\$ 99,969
22	Local	5/25/23	Public Service	Monterey Peninsula Regional Park District	Restoration at Marina Dunes Preserve 2023 - 2024	Laura L Lienk	AES	\$ 24,311	\$ 1,945	\$ 26,256
23	Federal	5/30/23	R&D	SDSU Research Foundation-NIH	Health Disparities and Multi-level Protective Factors among Adolescent Immigr	Robert S Weisskirch	Liberal Studies	\$ 300,290	\$ 129,125	\$ 429,415
24	Federal	6/9/23	Public Service	Economic Development Administration/U.S. D	Monterey Bay Aerospace and Agricultural Technology Advanced Manufacturing	Marylou L Shockley	Business	\$ 427,500	\$ 72,500	\$ 500,000
Total FY22-23 Pending Proposals								\$ 8,390,138	\$ 1,752,891	\$ 10,143,029

FY24										
	Type	Proposed	Activity	Sponsor	Proposal Title	P.I.	Department	Direct	Indirect	Total
25	Local	8/28/23	R&D	ECORP Consulting-Santa Clara Valley Water	Mitigation and Monitoring Implementation for Fisheries	John Olson/Susan Alter	AES	\$ 80,204	\$ 34,488	\$ 114,692
26	State	9/1/23	R&D	Regents of UC/UC, Davis-CA Dept. Food & A	Scaling Up Climate Smart Nutrient Management in Cool-season Specialty Crop	Arlene L Haffa	Bio & Chem	\$ 244,667	\$ 55,332	\$ 299,999
27	Federal	10/13/23	Academic Supp	Hispanic Association of Colleges and Universit	Latinx PASADA: Pathways to STEM Advanced Degree Attainment	John E Banks	UROC	\$ 296,610	\$ 127,542	\$ 424,152
28	Federal	10/23/23	R&D	Morehouse College - National Science Foundat	Collaborative Research: NSF INCLUDES Alliance: A BRAID Approach to Tra	Alison J Haupt	Marine Science	\$ 322,278	\$ 138,579	\$ 460,857
29	Federal	10/31/23	R&D	National Science Foundation	RUI: Collaborative Research: Unraveling the Rules of the Interface through sync	Arunkumar Sharma	Biology & Chemistr	\$ 154,981	\$ 56,649	\$ 211,630
30	State	11/16/23	R&D	California Leafy Greens Research Board/Califor	Screening of several lettuce types and cultivars for wilting resistance	Caetano Pereira Pedrosa de Albu	Biology & Chemistr	\$ 28,715	\$ -	\$ 28,715
31	Federal	11/22/23	R&D	Bamboo Charge-US Dept. Energy	Project Title: Enhancing Battery Recycling Participation through Consumer Edt	Bude Su	School of Computin	\$ 279,527	\$ 120,196	\$ 399,723
32	Federal	12/4/23	R&D	Tennessee State University-US Dept. Energy	Empowering Minorities in Clean Energy: A Solar Workforce Development Initi	Arun D Jani	Biology & Chemistr	\$ 7,175	\$ 574	\$ 7,749
33	State	12/12/23	Public Service	California Volunteers	Califomians for All College Fellowship - Cohorts 3 and 4	Pamela T Motoike, Christine Eil	Service Learning Inst	\$ 2,234,263	\$ 283,816	\$ 2,518,079
34	Federal	12/18/23	R&D	National Aeronautics & Space Administration	Implementing NASA FireSense Post-wildfire Hydrological Hazard Science and	James Joseph Guilinger	AES	\$ 239,846	\$ 59,693	\$ 299,539
35	State	1/5/24	R&D	Regents of UC/Davis - CA Dept Food & Ag	Scaling Up Climate Smart Nutrient Management in Cool season Specialty Crop	Arlene L Haffa	Bio & Chem	\$ 257,924	\$ 17,886	\$ 275,810
36	Federal	1/10/24	Academic Supp	California Marine Sanctuary Foundation - NOA	Regional Adaptation for Climate Resilience of Monterey Bay Coastal Commun	James Joseph Guilinger	AES	\$ 1,684,645	\$ 316,267	\$ 2,000,912
37	Federal	1/18/24	R&D	National Science Foundation	Illustrating Understanding: Using Arts Integration to Support Student Learning	Jillian Marie Talley	Ed & Leadership	\$ 302,703	\$ 80,650	\$ 383,353
38	Federal	1/17/24	R&D	National Science Foundation	COLLABORATIVE RESEARCH: Correspondence between environmental stre	Cheryl A Logan	Marine Science	\$ 844,649	\$ 338,474	\$ 1,183,123

**University Corporation at Monterey Bay
External Funding Report - Sponsored Programs Office**

Pending Proposals: 7/1/24-9/30/24 Quarter 1

39	State	1/26/24	R&D	State Water Resources Control Board-California	OpenET support of State Water Board and the Cal-WATRS UPWARDS project	Adam J Purdy	AES	\$	2,046,442	\$	409,288	\$	2,455,730
40	Federal	2/2/24	Public Service	Pace University - NOAA	Climate Ready Workforce Ambassadorship and Teacher Fellowship	Susan Elizabeth Alter	Bio & Chem	\$	377,600	\$	117,218	\$	494,818
41	Federal	2/6/24	Academic Supp	U.S. Department of Agriculture/NIFA	Advancing Educational Equity for Developing Future Leaders/Managers/Supervisors	Sumadhur Shakya	Business	\$	323,331	\$	76,669	\$	400,000
42	State	2/8/24	Public Service	Regents of UC/UCOP - CA Dept. Education	State Funds Monterey Bay World Languages (2024-2025)	Yoshiko Saito Abbott	WLC	\$	19,048	\$	952	\$	20,000
43	Federal	2/8/24	Public Service	Regents of UC/UCOP - US Dept. Education	Federal Funds Monterey Bay World Languages (2024-2025)	Yoshiko Saito Abbott	WLC	\$	27,778	\$	2,222	\$	30,000
44	Federal	2/16/24	R&D	National Institute of Health	R13: NONAUTONOMOUS CONTROL OF SUBSTRATE MORPHOGENESIS	Frank David Macabenta	Bio & Chem	\$	99,992	\$	42,997	\$	142,989
45	Federal	2/19/24	R&D	SF State University - US Dept. Energy	Coastal California Agricultural Climate Resilience Center (CCACRC)	Daniel M Fernandez	AES	\$	262,136	\$	112,718	\$	374,854
46	State	2/21/24	R&D	Agricultural Research Institute/California State	ARI Campus: Monitoring soil health, water conservation, and vegetable production	Arun D Jani, Caetano Pereira Pe	Bio & Chem	\$	98,484	\$	-	\$	98,484
47	State	2/21/24	R&D	Agricultural Research Institute/California State	ARI Campus: Decadal Synthesis: Analyzing and Adjusting Nitrous Oxide Emissions	Arlene L Haffa	Bio & Chem	\$	99,825	\$	-	\$	99,825
48	Federal	2/26/24	Academic Supp	U.S. Department of Health and Human Services	Central California FWEP-Geriatrics Workforce Enhancement Program	Renie E Rondon Jackson	Social Work	\$	2,811,199	\$	111,296	\$	2,922,495
49	Federal	3/1/24	R&D	Regents of UC/Merced-National Science Found	Collaborative Research: Conference: Evolving the Publishing Ecosystem	Coope Susan Elizabeth Alter	Bio & Chem	\$	3,906	\$	1,680	\$	5,586

FY24 Continued

	Type	Proposed	Activity	Sponsor	Proposal Title	P.I.	Department	Direct	Indirect	Total				
50	Federal	3/11/24	R&D	National Science Foundation	S-STEM CSU COAST SSTEM Collaborative Planning Grant Proposal	Krista P Kamer	COAST	\$	68,858	\$	29,609	\$	98,467	
51	State	4/1/24	R&D	Regents of the University of California, Irvine	A Ground Up Approach to Equitable Outdoor Education: Fostering Student Soc	Megan J Sulsberger	Ed & Leadership	\$	5,000	\$	-	\$	5,000	
52	Local	4/1/24	Public Service	Monterey Peninsula Regional Park District	Restoration at Marina Dunes Preserve 24-25	Laura L Lienk	AES	\$	40,169	\$	3,214	\$	43,383	
53	Federal	4/2/24	Public Service	Association of Monterey Bay Area Government	Purchase of a Chevy Bolt and Installation of a Level 2 Charger	Yvonne Gordon-Huffenberger	Police	\$	57,058	\$	-	\$	57,058	
54	State	4/8/24	Academic Supp	California Office of Statewide Health Planning	MSW Hybrid Expansion	Maria A Gurrola	Social Work	\$	2,464,676	\$	212,426	\$	2,677,102	
55	Federal	4/15/24	R&D	National Aeronautics & Space Administration	NASA MPlan	Arunkumar Sharma	Bio & Chem	\$	50,000	\$	-	\$	50,000	
56	Other	4/15/24	R&D	Santa Lucia Conservancy	Santa Lucia Conservancy Hydrology Monitoring 2024	John Robert Olson, James Josep	AES	\$	30,228	\$	4,534	\$	34,762	
57	State	4/17/24	R&D	California Department of Forestry and Fire Prot	Delivering live fuel moisture content to improve fire risk assessment and support	Adam J Purdy	AES	\$	541,207	\$	108,241	\$	649,448	
58	State	4/30/24	R&D	Regents of UC/University of California, Santa	California's Fifth Climate Change Assessment	James Seth Gustafson	AES	\$	18,000	\$	6,300	\$	24,300	
59	Federal	5/1/24	Academic Supp	U.S. Department of Education	Project ACCESS - Advancing Culturally Competent Education and Support Se	Catherine M Draper Rodriguez, I	Ed & Leadership	\$	314,364	\$	135,314	\$	449,678	
60	Federal	5/3/24	Academic Supp	U.S. Department of Education	Educators Striving To Reach Every Language Learner Academically (ESTRELL	Erin M Ramirez, Suzanne Garcia	Ed & Leadership	\$	3,063,250	\$	167,540	\$	3,230,790	
61	Federal	5/3/24	R&D	U.S. Department of Agriculture	Purchase of a water purification system and high-precision analytical balances	Caetano Pereira Pedroso de Albu	Bio & Chem	\$	99,743	\$	-	\$	99,743	
62	Local	5/10/24	Public Service	City of Salinas	Habitat Stewardship Project in the Creeks of Salinas	Laura L Lienk	AES	\$	23,445	\$	8,209	\$	31,654	
63	Other	5/10/24	Public Service	Big Sur Land Trust	Carr Lake/Ensen Park 24-25	Laura L Lienk	AES	\$	6,521	\$	978	\$	7,499	
64	Federal	5/13/24	Academic Supp	U.S. Department of Education	Project SALUD (Supporting Access for Latinx and other Underrepresented Dem	Catherine M Draper Rodriguez	Ed & Leadership	\$	2,797,992	\$	202,008	\$	3,000,000	
65	Federal	5/23/24	R&D	Kansas State University-US Dept. Agriculture	Sustainable Irrigation and Climate Adaptation in Southern High Plains: A Satel	Lee F Johnson, Arun J Purdy	AES	\$	845,085	\$	114,017	\$	959,102	
66	Federal	5/28/24	R&D	United States Navy/U.S. Department of Defense	MONITORING OF SUBTIDAL FISH AND INVERTEBRATES INSIDE ANE	James B Lindholm	Marine Science	\$	65,198	\$	11,410	\$	76,608	
67	Federal	5/29/24	R&D/Student s	National Science Foundation	CUE-P: Building Pathways to Persistence: An incentive based model for engagi	Sathya R Narayanan, Leslie A M	School of Computin	\$	1,800,040	\$	199,841	\$	1,999,881	
68	State	5/30/24	R&D	California Department of Forestry and Fire Prot	The Marina Food Forest: biocultural urban agroforestry for Marina's past, preser	James Seth Gustafson, Jeff Corri	AES, Library, Bio &	\$	535,346	\$	230,199	\$	765,545	
69	Federal	5/31/24	Student scholar	U.S. Department of Education	Project ARCH: Advancing Resilience and Comprehensive mental Health in Sch	Catherine M Draper Rodriguez, I	Ed & Leadership	\$	4,097,900	\$	902,097	\$	4,999,997	
70	Federal	5/31/24	Academic Supp	U.S. Department of Education	ROOTS:Residents Offering Outstanding Teacher for Students	Erin M Ramirez	Ed & Leadership	\$	5,753,433	\$	41,500	\$	5,794,933	
71	Federal	6/14/24	R&D	National Marine Sanctuary Foundation-NOAA/	Immersive VR Dive Curriculum: Chumash Heritage National Marine Sanctuary	James B Lindholm	Marine Science	\$	98,603	\$	35,089	\$	133,692	
72	Federal	6/21/24	R&D	National Institutes of Health/U.S. Department c	Investigating the Molecular Etiology of TSC-related and Sporadic LAM using I	Frank David Macabenta	Bio & Chem	\$	299,882	\$	120,457	\$	420,339	
73	Federal	6/21/25	R&D	National Institutes of Health/U.S. Department c	Exploring the effects of complexity on seniors' use of wearable devices	Mohamed Moustafa Abouzahra	Business	\$	299,328	\$	128,711	\$	428,039	
74	State	6/25/24	Academic Supp	California College Guidance Initiative	California Collge Guidance Intiative (CCGI) Regional Partnership Grant	Elizabeth Benton Mihopoulos	Student Enrollment	\$	35,420	\$	5,313	\$	40,733	
								Total FY23-24 Pending Proposals	\$	36,558,674	\$	5,172,193	\$	41,730,867

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Pending Proposals: 7/1/24-9/30/24 Quarter 1

FY25										
Type	Proposed	Activity	Sponsor	Proposal Title	P.I.	Department	Direct	Indirect	Total	
75	Federal	7/9/24	R&D	CSU, Office of the Chancellor-National Science Foundation	NSF IUSE: Texts, Tasks, and Talk-Building a Network of STEM Literacy Cha	Corin D Slown	Bio & Chem	\$ 139,546	\$ 60,005	\$ 199,551
76	Federal		R&D	US Dept. of Energy	Predicting Atmospheric Aerosol Water Clusters Dynamics	Arunkumar Sharma	Bio & Chem	\$ 631,609	\$ 168,391	\$ 800,000
77	Federal	7/12/24	Academic Supp	U.S. Department of Education	Student Support Services (Classic)	Elizabeth Benton Mihopoulos	EOSP	\$ 1,424,974	\$ 107,598	\$ 1,532,572
78	Federal	7/12/24	Academic Supp	U.S. Department of Education	Student Support Services (STEM)	Elizabeth Benton Mihopoulos	EOSP	\$ 1,264,091	\$ 97,727	\$ 1,361,818
79	Federal	7/19/24	R&D	National Science Foundation	CAREER: Comprehensive RNA Motif Cataloging: Integrating Computational	Shahidul Islam	SC&D	\$ 713,018	\$ 296,923	\$ 1,009,941
80	Federal	7/18/24	R&D	National Science Foundation	Motivational Attitudes in Statistics and Data Science Education Research (MAS	Alana Jane Unfried	Math & Statistics	\$ 92,003	\$ 6,998	\$ 99,001
81	Federal	7/26/24	R&D	United States Geological Survey/U.S. Department of	OpenET: Cooperative Agreement for CESU-affiliated Partner with Californian	Adam J Purdy, Lee F Johnson	AES	\$ 381,915	\$ 58,085	\$ 440,000
82	Federal	8/15/24	Public Service	U.S. Department of Agriculture	Augmentation to Developing capacity to quantify climate-smart practices [5066]	Arlene L Haffa, Arun D Jani	Bio & Chem	\$ 24,800	\$ -	\$ 24,800
83	State	8/13/24	Public Service	CA Natural Resources Agency	Salinas Youth Access Nature	James Seth Gustafson, Laura L I	AES	\$ 365,761	\$ 54,864	\$ 420,625
84	Federal	9/2/24	R&D	National Science Foundation	Developing a framework to meet research enterprise needs at a Hispanic-Serving	Cindy Lee Juntunen, Alana J Un	GS&R, Math & Stat	\$ 70,158	\$ 29,093	\$ 99,251
85	Federal	8/30/24	R&D	San Diego State University Research Foundatio	Exploring Multi-Level System Factors Facilitating Equitable Access to PBIS	Joshua K Harrower	Education & Leaders	\$ 234,447	\$ 100,812	\$ 335,259
86	Federal	8/30/24	Construction	Monterey Bay National Marine Sanctuary/Natio	The Edward (Ted) Taylor Science and Engineering Building - Academic IV	Thomas G Horvath	College of Science	\$ 7,000,000	\$ -	\$ 7,000,000
87	State	8/30/24	R&D	CA Learning Lab-CA Governor's Office of Plan	Development of a Natural Language Processing (NLP) tool to analyze course ev	Roshini Ramachandran	Biology & Chemistr	\$ 136,181	\$ 6,809	\$ 142,990
88	Federal	9/9/24	R&D	CA Department of Food and Agriculture-US De	Evapotranspiration Tools for Vegetable Crop Water Management (CONCEPT P	Lee F Johnson	AES	\$ 467,682	\$ 26,416	\$ 494,098
89	State	9/10/24	R&D	CA Learning Lab-CA Governor's Office of Plan	Coding Skills to Human Skills: A GenAI Approach to Education	Sathya R Narayanan	SC&D	\$ 1,416,703	\$ 77,826	\$ 1,494,529
90	Federal	9/11/24	R&D	National Science Foundation	National Science Foundation: Hispanic Serving Institutions: Equitable Transfo	Vivian Waldrup Patterson	Teaching Learning &	\$ 699,178	\$ 300,646	\$ 999,824
91	Federal	9/24/24	R&D	Chico State Enterprises-US Dept Agriculture/N	Developing Faba Bean Cover Crop Varieties: Selection Criteria and Trait Ident	Arun D Jani	Bio & Chem	\$ 74,491	\$ 7,449	\$ 81,940
92	State	9/24/24	Institutional Su	CA Department of Resources Recycling and Re	Beverage Container Recycling Grant Program	Amy Lynn Thomas	Risk Management	\$ 188,250	\$ -	\$ 188,250
Total FY24-25 Pending Proposals								\$ 15,324,807.00	\$ 1,399,642.00	\$ 16,724,449.00
TOTAL PENDING PROPOSALS								\$ 60,273,619	\$ 8,324,726	\$ 68,598,345

Grants & Contracts (Direct vs Indirect) FY 24-25 1st Quarter (Cumulative)

Type	Federal	State	Private	Local	Other	Grand Total
Direct	3,556,759.78	713,102.89	447,770.38	3,508.28	138,798.04	4,859,939.37
Indirect	441,413.33	86,338.91	47,734.22	1,186.10	15,650.93	592,323.49
Grand Total	3,998,173.11	799,441.80	495,504.60	4,694.38	154,448.97	5,452,262.86

12.19%

**5-Year CSU Research and Sponsored Programs (RSP) Grants and Contracts Externally Funded Expenditures (In Thousands)
Campuses and Component Units (including Chancellor's Office)
Fiscal Years 2018/19 through 2022/23 (Preliminary)**

								T/TT Faculty by Headcount Fall 2022	22-23/ T/TT Faculty by Headcount Fall 2022
	Campus	2018/19	2019/20	2020/21	2021/22	2022/23	Grand Total		
1	San Diego	123,733	120,427	130,053	136,374	159,121	669,708	824	193.11
2	Humboldt	28,647	32,953	35,638	34,053	38,969	170,260	240	162.37
3	Monterey Bay	18,761	16,834	16,603	19,546	24,006	95,750	190	126.35
4	Chico	34,521	34,428	39,781	46,528	52,273	207,531	454	115.14
5	Sacramento	59,496	57,487	56,430	54,033	63,346	290,792	709	89.35
6	San Bernardino	34,764	29,032	31,253	34,341	40,154	169,544	454	88.44
7	Fresno	36,112	34,353	36,079	51,626	46,345	204,515	591	78.42
8	Dominguez Hills	13,699	11,455	11,979	13,079	21,482	71,694	313	68.63
9	San Jose	47,232	49,332	46,654	47,384	49,561	240,163	742	66.79
10	Sonoma	10,339	10,917	11,624	13,935	14,822	61,637	224	66.17
11	San Francisco	31,778	29,208	32,704	34,696	40,993	169,379	698	58.73
12	Maritime Academy	5,590	4,066	4,035	1,532	2,984	18,207	51	58.51
13	San Marcos	15,655	17,647	13,576	13,934	15,268	76,080	299	51.06
14	East Bay	12,129	13,295	14,937	14,503	17,784	72,648	354	50.24
15	Northridge	34,683	34,892	29,996	32,651	39,319	171,541	801	49.09
16	Long Beach	37,319	37,772	34,431	35,581	40,979	186,082	840	48.78
17	Bakersfield	12,326	11,519	10,428	10,258	11,532	56,063	241	47.85
18	San Luis Obispo	25,944	24,334	22,926	25,648	31,918	130,770	708	45.08
19	Channel Islands	6,054	6,952	5,177	5,725	7,219	31,127	168	42.97
20	Fullerton	27,652	27,488	29,078	31,539	35,053	150,810	832	42.13
21	Los Angeles	31,995	27,453	23,012	20,115	23,214	125,789	584	39.75
22	Pomona	13,951	12,707	13,928	18,436	19,387	78,409	569	34.07
23	Stanislaus	6,869	7,430	8,340	6,258	8,625	37,522	309	27.91
24	Chancellor's Office	6,337	4,736	4,341	6,461	7,930	29,805	0	0.00
	Grand Total	675,586	656,717	663,003	708,236	812,284	3,515,826	11195	

Source: The CSU systemwide data collection of externally funded grants and contracts revenues data from campuses and component units are for inclusion in the CSU audited financial statements (Note: Grant revenue is the same as grants expense for each fiscal year)

Link to CSU Audited Financial Statements (Select Fiscal Year): <https://www.calstate.edu/csu-system/transparency-accountability/Pages/financial-statements.aspx>

2023-2024 EXTERNAL FUNDING/SPONSORED PROGRAMS - AWARDS (not including private grants no CO funding)

	New Awards Direct FY23-24	New Awards Indirect FY23-24	Total New Awards FY23-24	% of Total
TOTAL FEDERAL:	\$ 24,940,179	\$ 2,783,356	\$ 27,723,535	88.32%
TOTAL STATE:	\$ 2,285,738	\$ 197,710	\$ 2,483,448	7.91%
TOTAL LOCAL GOV:	\$ 77,936	\$ 13,676	\$ 91,612	0.29%
TOTAL OTHER:	\$ 941,913	\$ 149,770	\$ 1,091,683	3.48%
TOTAL NEW AWARDS:	\$ 28,245,766	\$ 3,144,512	\$ 31,390,278	

INDIRECT RECOVERY: 11.13%

NEW AWARDS BY DIVISION/COLLEGE

\$ -	ADMINISTRATION & FINANCE
\$ 599,737	ACADEMIC AFFAIRS - ACADEMIC PLANNING & INSTITUTIONAL EFFECTIVENESS
\$ 50,000	ACADEMIC AFFAIRS - COLLEGE of ARTS, HUMANITIES & SOCIAL SCIENCES
\$ -	ACADEMIC AFFAIRS - COLLEGE of BUSINESS
\$ 717,306	ACADEMIC AFFAIRS - COLLEGE of EDUCATION
\$ 1,699,820	ACADEMIC AFFAIRS - COLLEGE of HEALTH SCIENCES & HUMAN SERVICES
\$ 17,302,141	ACADEMIC AFFAIRS - COLLEGE of SCIENCE
\$ 1,395,403	ACADEMIC AFFAIRS - OFFICE of GRADUATE STUDIES & RESEARCH
\$ 2,142,540	ACADEMIC AFFAIRS - PROVOST OFFICE
\$ 180,696	ACADEMIC AFFAIRS - UNIVERSITY COLLEGE
\$ 7,302,635	STUDENT AFFAIRS
\$ 31,390,278	TOTAL NEW AWARDS FY23-24 (not including private grants no CO funding)

**University Corporation at Monterey Bay
FY23-24Q4 7/1/23-6/30/24
Sponsored Programs by Division/College**

ADMIN & FINANCE

Type	Activity Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term
1	State	Institutional	CA Dept. Forestry & Fire Protection	CSUMB Wildfire Fuel Reduction Project	Ken Folsom	Emergency Pr	\$ 245,536	\$ 29,464	\$ 275,000	\$275,000				11/30/21-3/15/24
							ADMIN & FINANCE	\$ 245,536	\$ 29,464	\$ 275,000	\$ 275,000	\$ -	\$ -	\$ -

ACADEMIC AFFAIRS - ACADEMIC PLANNING & INSTITUTIONAL EFFECTIVENESS

Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term	
1	Federal	Academic St U.S. Department of Education	Title V-METAS Mentorship & Equity in Transitions to Ach Dale Grubb	Academic Plan	AA/AP&IE	\$ 2,399,413	\$ -	\$ 2,399,413	\$1,799,676	\$ 599,737	\$ -	\$ 599,737	10/1/20-9/30/24	
							ACADEMIC PLANNING & I	\$ 2,399,413	\$ -	\$ 2,399,413	\$ 1,799,676	\$ 599,737	\$ -	\$ 599,737

ACADEMIC AFFAIRS - COLLEGE of ARTS, HUMANITIES & SOCIAL SCIENCES

Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term	
1	Federal	R&D-Student National Science Foundation	Geo-Bridge Program	Zurine Miguel Malaxechevarria,	Psychology,M	\$ 365,726	\$ 25,157	\$ 390,883	\$390,883				9/1/20-8/31/23	
2	Federal	R&D National Endowment for the Humanities	Branding Sacrificial Motherhood in Digital Media in the 21s	Hojin Song	HCOM	\$ 55,000	\$ -	\$ 55,000	\$55,000			\$ -	2/1/23-7/31/24	
3	Federal	Public Servi Regents of UC/Office of the President-U	Federal-Monterey Bay World Languages 22-23	Yoshiko Saito Abbott	WLC	\$ 27,778	\$ 2,222	\$ 30,000	\$0	\$ 27,778	\$ 2,222	\$ 30,000	7/1/23-6/30/24	
4	State	Public Servi Regents of UC/University of California (State Funds	Monterey Bay World Language Project 22-23	Yoshiko Saito Abbott	WLC	\$ 35,714	\$ 1,786	\$ 37,500	\$17,500	\$ 19,047	\$ 953	\$ 20,000	7/1/22-6/30/24	
							ARTS, HUMANITIES & SC	\$ 484,218	\$ 29,165	\$ 513,383	\$ 463,383	\$ 46,825	\$ 3,175	\$ 50,000

ACADEMIC AFFAIRS - COLLEGE of BUSINESS

Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term	
1	State	Public Servi CSU Fresno Foundation - CA Energy C	Central Valley Regional Innovation Cluster	Brad Barbeau	iiED	\$ 153,846	\$ 46,154	\$ 200,000	\$200,000				8/2/22-3/31/26	
							BUSINESS	\$ 153,846	\$ 46,154	\$ 200,000	\$ 200,000	\$ -	\$ -	\$ -

ACADEMIC AFFAIRS - COLLEGE of EDUCATION

Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term	
1	State	R&D Regents of UC/University of California (Supporting Teachers in Implementing Justice-Centered Clim	Alyssa Sayavedra	Education & L	AA/Education	\$ 50,321	\$ 5,032	\$ 55,353	\$0	\$ 50,321	\$ 5,032	\$ 55,353	8/1/23-7/31/25	
2	Federal	Student Sch US Dept. Education	Project REACH: Responsive Educators and Advocates Com	Cathi Draper Rodriguez	Education & L	\$ 1,215,819	\$ 326,077	\$ 1,541,896	\$1,541,896			\$ -	4/1/23-3/30/24	
3	Federal	Academic St US Dept. Education	The Making Accessible and Effective Systems for Teacher R	Cathi Draper Rodriguez	Education & L	\$ 3,750,000	\$ -	\$ 3,750,000	\$3,750,000				10/1/18-9/30/24	
4	State	Academic St CA Commission on Teacher Credentialir	SPED-Education Specialist Mild/Moderate and Moderate/Sev	Cathi Draper-Rodriguez	Education & L	\$ 227,273	\$ 22,727	\$ 250,000	\$250,000				12/5/16-12/31/23	
5	State	Academic St CA Commission on Teacher Credentialir	LS-MS-Bilingual Authorization ITEP-Bilingual Elementary	Cathi Draper-Rodriguez	Education & L	\$ 227,273	\$ 22,727	\$ 250,000	\$250,000				12/5/16-12/31/23	
6	Federal	Academic St U.S. Department of Education	Project POPPY: Preparing Observational Practitioners throug	Erin M Ramirez, Megan Sulsbe	Education & L	\$ 4,171,935	\$ 37,853	\$ 4,209,788	\$3,547,835	\$ 656,711	\$ 5,242	\$ 661,953	10/1/2019-9/30/24	
7	State	R&D California Commission on Teaching Cre	The Science Teacher Integrated Education Program at CSUM	Dennis A Kombe/Corin D Slow	Education & L	\$ 98,393	\$ 29,517	\$ 127,910	\$127,910				3/1/23-3/31/25	
							EDUCATION	\$ 9,741,014	\$ 443,933	\$ 10,184,947	\$ 9,467,641	\$ 707,032	\$ 10,274	\$ 717,306

ACADEMIC AFFAIRS - COLLEGE of HUMAN SERVICES & HEALTH SCIENCES

Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term
1	State	Public Servi Monterey County Health Dept/Behaviora	No Zip Code Left Behind: Addressing Inequities through Co	Ignacio A Navarro/Kim M Juds	HHSPP	\$ 534,056	\$ 53,406	\$ 587,462	\$587,462				9/1/22-6/16/26
2	Federal	Public Servi Monterey County Health Dept - US Dept	Monterey County Health Dept. Health Literacy Evaluation	Kim M Judson, Ignacio A Nava	HHSPP	\$ 279,720	\$ 120,280	\$ 400,000	\$400,000			\$ -	9/1/21-6/30/23
3	Other	Public Servi Aspire Healthplan	Exploratory Studve to Identify the Feasibility of Future Rese	Kim M Judson, Ienacio A Nava	HHSPP	\$ 45,455	\$ 4,545	\$ 50,000	\$0	\$ 45,455	\$ 4,545	\$ 50,000	5/1/24-9/30/24
4	Federal	Public Servi County of Monterey/Health Department/I	Health Equity Plan for Monterey County Health Department	Vanessa A Lopez-Littleton	HHSPP	\$ 34,964	\$ 15,035	\$ 49,999	\$49,999			\$ -	9/26/22-12/31/23
5	Other	Academic St CSU Office of the Chancellor-ECMC Fo	Equity Minded Pedagogy Support	Vanessa A Lopez-Littleton	HSHS	\$ 16,281	\$ 814	\$ 17,095	\$0	\$ 16,281	\$ 814	\$ 17,095	3/6/23-7/31/23
6	Local	Public Servi Central CA Coast Alliance for Health	Everyone's Harvest Fresh Rx	Vanessa A Lopez-Littleton	HHSPP	\$ 8,100	\$ -	\$ 8,100	\$8,100				9/1/20-12/31/23
7	Other	Academic St CSU Creates/CSU Office of the Chancell	The AHRC/MM Project: Supporting Black Students Throug	Vanessa A Lopez-Littleton, Den	HHSPP/Educa	\$ 87,619	\$ 4,381	\$ 92,000	\$92,000				7/1/22-7/1/23
8	Other	Academic St CSU Creates/CSU Office of the Chancell	Pilot Recitation Program for anatomy and physiology	Eric A Martic, Trish G Sevene	Kinesiology	\$ 142,846	\$ 7,142	\$ 149,988	\$0	\$ 142,846	\$ 7,142	\$ 149,988	1/23-8/1/24
9	State	Public Servi California Office of Statewide Health Pla	Map It: The Road to a Professional Career in Healthcare	Alyssa E Erikson	Nursing	\$ 23,148	\$ 1,852	\$ 25,000	\$25,000				6/30/22-12/31/23
10	State	Academic St California Office of Statewide Health Pla	Song-Brown Primary Care Physician Assistant Training Proj	Christopher P Forest, Valerie Je	PA	\$ 168,000	\$ -	\$ 168,000	\$0	\$ 168,000	\$ -	\$ 168,000	6/30/23-8/30/24
11	State	Academic St California Office of Statewide Health Pla	Song-Brown Primary Care Physician Assistant Training Proj	Christopher P Forest, Valerie Je	Physician Ass	\$ 60,000	\$ -	\$ 60,000	\$60,000				6/30/22-8/31/23
12	Federal	R&D Center for Disease Control & Prevention/My Choice: Developing a Workplace Disclosure Decision Ai	Lisa M Stewart	Social Work	AA/HSHS	\$ 200,000	\$ 16,000	\$ 216,000	\$108,000	\$ 100,000	\$ 8,000	\$ 108,000	9/1/22-8/31/24
13	Federal	Student Sch US Dept. Health & Human Services	Scholarships for Disadvantaged Students-Yr 7	Maria A Gurrola	Social Work	\$ 662,024	\$ -	\$ 662,024	\$637,024	\$ 25,000	\$0	\$ 25,000	7/1/22-6/30/24
14	Federal	Student Sch U.S. Department of Health and Human S	HRSA BHWET Program for Social Work Professionals	Maria A Gurrola	Social Work	\$ 1,367,763	\$ 42,221	\$ 1,409,984	\$933,247	\$ 462,164	\$ 14,573	\$ 476,737	7/1/2021-6/30/25
15	Federal	Student Sch UC Regents/Berkeley-US Dept. HHS	CalSWEC Title IV E Scholarships	Maria A Gurrola	Social Work	\$ 307,415	\$ 20,617	\$ 328,032	\$328,032			\$ -	7/1/22-6/30/24
16	Federal	Public Servi Central CA Coast Alliance for Health	ARPA Street Outreach	Maria A Gurrola	Social Work	\$ 260,182	\$ 49,818	\$ 310,000	\$310,000			\$ -	7/1/23-7/31/24

**University Corporation at Monterey Bay
FY23-24Q4 7/1/23-6/30/24
Sponsored Programs by Division/College**

17	Federal	Public Servi City of Salinas/US Dept. Treasury	Emergency Motel Room	Maria A Gurrola	Social Work	AA/SHSH	\$ 378,093	\$ 71,907	\$ 450,000	\$0	\$ 378,093	\$ 71,907	\$ 450,000	7/1/23-6/30/24
18	State	Public Servi County of Monterey/Dept. Social Servics	County-Wide Outreach and Case Management	Maria A Gurrola	Social Work	AA/SHSH	\$ 420,070	\$ 79,930	\$ 500,000	\$500,000				7/1/22-6/30/24
19	State	Academic Si CA Office of Statewide Health Planning	MSW Program Expansion	Maria A Gurrola	Social Work	AA/SHSH	\$ 3,579,132	\$ -	\$ 3,579,132	\$3,579,132				3/30/23-6/30/27
20	State	Public Servi City of Salinas - State of California Dept	CESH 2	Maria A Gurrola	Social Work	AA/SHSH	\$ 142,001	\$ 27,909	\$ 169,910	\$169,910				2/1/23-12/31/23
21	State	Public Servi Coalition of Homeless Services Provider	County Wide Outreach	Renie E Rondon Jackson	Social Work	AA/SHSH	\$ 214,979	\$ 40,021	\$ 255,000	\$0	\$ 214,979	\$ 40,021	\$ 255,000	10/15/23-6/30/24
							HUMAN SERVICES & HE	\$ 8,931,848	\$ 555,878	\$ 9,487,726	\$ 7,787,906	\$ 1,552,818	\$ 147,002	\$ 1,699,820

ACADEMIC AFFAIRS - COLLEGE of SCIENCE

Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term		
1	Other	R&D	OpenET, Inc-Colorado River Authority	OpenET Applications for Water Resources Management with	Adam J Purdy	AES	AA/Science	\$ 565,701	\$ 113,140	\$ 678,841	\$0	\$ 565,701	\$ 113,140	\$678,841	6/1/23-2/23/27
2	Federal	R&D	US Dept. Agriculture/ARS	Site-specific soil pest management in strawberry and vegetable	Adam J Purdy	AES	AA/Science	\$ 346,530	\$ 35,557	\$ 382,087	\$382,087	\$0	\$0	\$0	8/1/19-7/31/24
3	Federal	R&D	U.S. Department of Agriculture	Characterization and modeling of strawberry yield data using	Adam J Purdy	AES	AA/Science	\$ 331,305	\$ 33,130	\$ 364,435	\$263,055	\$ 92,164	\$ 9,216	\$ 101,380	8/1/22-10/31/24
4	Federal	R&D	Desert Research Institute-U.S. Geological	OpenET: Open Evapotranspiration Data Production	Adam J Purdy	AES	AA/Science	\$ 121,760	\$ 24,352	\$ 146,112	\$146,112	\$ -	\$ -	\$ -	10/1/22-4/30/24
5	Federal	R&D	CA Institute of Technology/let Propulsic	ECOSTRESS ET validation phase 2	Adam J Purdy	AES	AA/Science	\$ 42,325	\$ 8,465	\$ 50,790	\$50,790	\$ -	\$ -	\$ -	11/1/22-10/31/23
6	Federal	R&D	Public Policy Institute of California	Beneficial transitions to groundwater sustainability for agricu	Adam J Purdy	AES	AA/Science	\$ 87,500	\$ 17,500	\$ 105,000	\$0	\$ 87,500	\$ 17,500	\$ 105,000	6/1/23-5/31/26
7	Federal	R&D	OpenET-US Bureau of Reclamation	OpenET in Upper Colorado River Basin and New Mexico	Adam J Purdy	AES	AA/Science	\$ 87,500	\$ 17,500	\$ 105,000	\$0	\$ 87,500	\$ 17,500	\$ 105,000	8/1/23-7/31/24
8	Other	R&D	OpenET, Inc-Oregon Water Resources De	Development of a Geodatabase with Time Series Satellite-Ba	Adam J Purdy	AES	AA/Science	\$ 55,833	\$ 11,167	\$ 67,000	\$28,000	\$ 32,500	\$ 6,500	\$39,000	6/1/23-6/30/24
9	Other	R&D	Environmental Defense Fund	Forest Health Applications of Evapotranspiration Data	Adam J Purdy	AES	AA/Science	\$ 22,494	\$ 4,499	\$ 26,993	\$0	\$ 22,494	\$ 4,499	\$26,993	1/15/24-9/30/24
10	State	R&D	CSU Fresno Fdn-CSU ARI - CSU Chan	Weighing lysimeter studies to determine evapotranspiration a	Adam J Purdy	AES	AA/Science	\$ 54,000	\$ -	\$ 54,000	\$54,000	\$ -	\$ -	\$ -	7/1/21-6/30/24
11	State	R&D	Agricultural Research Institute/California	Mapping Fuel Moisture in Coastal California to inform Fire	Adam J Purdy	AES	AA/Science	\$ 26,000	\$ -	\$ 26,000	\$0	\$ 26,000	\$ -	\$ 26,000	7/1/23-6/30/25
12	Federal	R&D	United States Geological Survey/U.S. D	OpenET: Cooperative Agreement for CESU-affiliated Partner	Adam J Purdy, Lee F Johnson	AES	AA/Science	\$ 1,004,149	\$ 125,851	\$ 1,130,000	\$0	\$ 1,004,149	\$ 125,851	\$ 1,130,000	9/29/23-9/30/25
13	Local	Public Servi	Transportation Agency for Monterey Cou	SCYP Partnership with TAMC	Daniel M Fernandez	AES	AA/Science	\$ 99,024	\$ 23,347	\$ 122,371	\$122,371	\$ -	\$ -	\$ -	8/25/21-6/30/26
14	Federal	R&D	US Fish & Wildlife Service	Evaluation of Monterey Gilla Management, Current Extent,	Fred Watson	AES	AA/Science	\$ 16,771	\$ 2,935	\$ 19,706	\$19,706	\$ -	\$ -	\$ -	9/1/19-12/31/24
15	Local	R&D	Monterey Peninsula Water Management I	Carmel River Cross Section & Ben Grain Size Survey	James Joseph Guilinger	AES	AA/Science	\$ 16,630	\$ 5,820	\$ 22,450	\$0	\$ 16,630	\$ 5,820	\$ 22,450	9/5/23-2/28/24
16	Other	R&D	Carmel River Watershed Conservancy	Quantifying Wildfire Regime Impacts on Carmel Watershed	James Joseph Guilinger	AES	AA/Science	\$ 10,831	\$ 1,083	\$ 11,914	\$0	\$ 10,831	\$ 1,083	\$11,914	3/1/24-3/1/25
17	State	Public Servi	CA Coastal Commission	Whale Tail-4th grade-Flows to the Bay	James Seth Gustafson	AES	AA/Science	\$ 46,442	\$ 3,558	\$ 50,000	\$0	\$ 46,442	\$ 3,558	\$ 50,000	4/15/24-4/15/26
18	Federal	R&D-Academ	National Science Foundation	Collaborative Research: Squirreling Around for Science: Inco	Jennifer Duggan	AES	AA/Science	\$ 104,521	\$ 44,942	\$ 149,463	\$149,463	\$ -	\$ -	\$ -	7/16/20-7/31/24
19	Federal	R&D	Regents of UC/UCOP-US Geological Su	Assessing Wildlife use of 3 types of agricultural ditches: dat	Jennifer Duggan	AES	AA/Science	\$ 24,960	\$ -	\$ 24,960	\$24,960	\$ -	\$ -	\$ -	9/1/21-8/31/23
20	Federal	R&D	So CA Coastal Water Research Project A	Streamflow Duration Assessment Method (SDAM) Developr	John Robert Olson	AES	AA/Science	\$ 90,747	\$ 39,021	\$ 129,768	\$129,768	\$ -	\$ -	\$ -	8/1/21-3/31/23
21	Federal	R&D	Pyramid Lake Paiute Tribe	Wetland Index of Biological Integrity Development	John Robert Olson	AES	AA/Science	\$ 38,462	\$ 16,537	\$ 54,999	\$27,499	\$ 19,231	\$ 8,269	\$ 27,500	4/1/22-4/1/24
22	Other	R&D	Central Coast Water Quality Preservation	Cooperative Monitoring Program Annual Report 2023	John Robert Olson	AES	AA/Science	\$ 18,285	\$ 7,863	\$ 26,148	\$26,148	\$ -	\$ -	\$ -	2/1/23-12/31/23
23	Other	R&D	Central Coast Water Quality Preservation	Cooperative Monitoring Program Annual Report - 2024	John Robert Olson	AES	AA/Science	\$ 18,913	\$ 8,132	\$ 27,045	\$0	\$ 18,913	\$ 8,132	\$27,045	2/1/24-12/31/24
24	Other	R&D	Santa Lucia Conservancy	Santa Lucia Conservancy Hydrology Monitoring 2023	John Robert Olson, James Josep	AES	AA/Science	\$ 14,036	\$ 2,105	\$ 16,141	\$16,141	\$ -	\$ -	\$ -	1/31/23-1/31/24
25	Federal	R&D	US Army/US Dept. Defense	Fort Hunter Liggett Studies	John Robert Olson/Ruben Menc	AES/SB&GS	AA/Science/AHS	\$ 44,668	\$ 19,207	\$ 63,875	\$63,875	\$ -	\$ -	\$ -	12/19/22-12/18/23
26	State	R&D	Regents of UC/Santa Cruz - UCOP	Improving water system resilience to climate change with lev	John Robert Olson, Susan Eliza	AES	AA/Science	\$ 194,545	\$ 15,384	\$ 209,929	\$0	\$ 194,545	\$ 15,384	\$ 209,929	8/1/23-7/31/25
27	Federal	Public Servi	Bureau of Land Management/U.S. Depart	Return of the Natives to BLM/FONP Ensuring Native Plant	Laura L Lienk	AES	AA/Science	\$ 231,768	\$ 40,560	\$ 272,328	\$228,317	\$ 37,456	\$ 6,555	\$ 44,011	10/1/21-9/30/24
28	Federal	Public Servi	Bureau of Land Management/U.S. Depart	Return of the Natives and Grad Assistants Help Restore Ft O	Laura L Lienk	AES	AA/Science	\$ 141,593	\$ 24,779	\$ 166,372	\$121,648	\$ 38,063	\$ 6,661	\$ 44,724	10/1/22-9/30/23
29	Federal	Public Servi	US Bureau of Land Management	Amphibian Monitoring and Bullfrog Abatement on FONM	Laura L Lienk	AES	AA/Science	\$ 34,044	\$ 5,958	\$ 40,002	\$0	\$ 34,044	\$ 5,958	\$ 40,002	10/1/23-9/30/24
30	Federal	Public Servi	RCD Monterey County-US DoD	Milkweeds/Pollinators Ft Hunter Liggett	Laura L Lienk	AES	AA/Science	\$ 28,150	\$ 12,105	\$ 40,255	\$0	\$ 28,150	\$ 12,105	\$ 40,255	10/1/23-3/31/26
31	Local	Public Servi	Monterey Peninsula Unified School Dist	Eco-Ambassadors 22-23 School Gardens	Laura L Lienk	AES	AA/Science	\$ 10,909	\$ 1,091	\$ 12,000	\$12,000	\$ -	\$ -	\$ -	7/27/22-6/30/24
32	Local	Public Servi	Monterey Peninsula Unified School Dist	MPUSD Visits 23-24	Laura L Lienk	AES	AA/Science	\$ 11,997	\$ 1,200	\$ 13,197	\$0	\$ 11,997	\$ 1,200	\$ 13,197	8/1/23-5/31/24
33	Local	Public Servi	Monterey Peninsula Unified School Dist	MPUSD Field Trips 23-24	Laura L Lienk	AES	AA/Science	\$ 42,407	\$ 4,240	\$ 46,647	\$0	\$ 42,407	\$ 4,240	\$ 46,647	8/1/23-5/31/24
34	Other	Public Servi	Big Sur Land Trust	Carr Lake Demonstration Garden 2023-2024 Weekend Stewa	Laura L Lienk	AES	AA/Science	\$ 5,815	\$ 872	\$ 6,687	\$0	\$ 5,815	\$ 872	\$ 6,687	10/1/23-6/30/24
35	Other	Public Servi	Coastal Conservation & Research	Planting with CCR in North Monterey County	Laura L Lienk	AES	AA/Science	\$ 7,077	\$ 3,043	\$ 10,120	\$0	\$ 7,077	\$ 3,043	\$10,120	10/1/24-12/31/25
36	State	Public Servi	City of Salinas - State of California	Creeks of Salinas Encampment Restoration and Clean Up	Laura L Lienk	AES	AA/Science	\$ 55,742	\$ 2,787	\$ 58,529	\$58,529	\$ -	\$ -	\$ -	7/1/22-6/30/24
37	State	Public Servi	CA Dept. Parks & Recreation	Salinas Together for Healthy Equitable Environment	Laura L Lienk	AES	AA/Science	\$ 539,113	\$ -	\$ 539,113	\$539,113	\$ -	\$ -	\$ -	7/1/20-6/30/27
38	State	Public Servi	CA Wildlife Conservation Board	Pollinator Plant Propagation	Laura L Lienk	AES	AA/Science	\$ 3,090	\$ 618	\$ 3,708	\$0	\$ 3,090	\$ 618	\$ 3,708	1/1/24-1/31/27
39	Federal	R&D	CA Dept. Food & Ag	Evapotranspiration monitoring Salinas Valley specialty crops	Lee F Johnson	AES	AA/Science	\$ 427,635	\$ 18,294	\$ 445,929	\$445,929	\$ -	\$ -	\$ -	11/1/21-6/30/24
40	State	R&D	CSU ARI-CSU Chancellor's Office	Evaluation of Evapotranspiration Monitoring Approaches for	Lee F Johnson	AES	AA/Science	\$ 334,813	\$ -	\$ 334,813	\$334,813	\$ -	\$ -	\$ -	12/1/21-11/30/24
41	Federal	R&D	CA Dept of Food and Ag - USDA	Advancing adoption of decision-support systems for irrigatio	Lee F Johnson, Adam J Purdy	AES	AA/Science	\$ 463,098	\$ 26,949	\$ 490,047	\$0	\$ 463,098	\$ 26,949	\$ 490,047	11/1/23-6/30/26
42	Federal	R&D	United States Navy/U.S. Department of I	Federally Listed Species Recovery and Dune Habitat Restora	Robert K Burton	AES	AA/Science	\$ 125,000	\$ 25,000	\$ 150,000	\$0	\$ 125,000	\$ 25,000	\$ 150,000	6/1/23-5/31/26
43	Federal	R&D	Bay Area Environmental Research-NASA	ARC-CREST Science Mission Operations by NSERC and R	Susan Alexander	AES	AA/Science	\$ 18,845,782	\$ 4,357,780	\$ 23,203,562	\$23,203,562	\$ -	\$ -	\$ -	3/1/12-9/30/24
44	Federal	R&D	Bay Area Environmental Research Institu	Ames Research Center Co-operative for Research in Earth Sci	Susan E Alexander	AES	AA/Science	\$ 448,111	\$ 95,336	\$ 543,447	\$0	\$ 448,111	\$ 95,336	\$ 543,447	10/1/23-9/30/28
45	Federal	R&D-Academ	UC Regents/Santa Cruz - NIH	Institutional Research & Academic Career Development (IRA	Aparna Sreenivasan	Bio & Chem	AA/Science	\$ 191,409	\$ 15,313	\$ 206,722	\$150,140	\$ 52,391	\$ 4,191	\$ 56,582	8/14/20-7/31/23
46	State	R&D	Regents of UC/UCANR - CA Dept. of A	Integrated Sustainable Nitrogen Management in Vegetable Cr	Arlene L Haffa	Bio & Chem	AA/Science	\$ 82,069	\$ 20,516	\$ 102,585	\$102,585	\$ -	\$ -	\$ -	9/1/20-3/31/24
47	State	R&D	CSU ARI-CSU Chancellor's Office	ARI-S Quantifying & Modeling soil health nutrient manager	Arlene L Haffa	Bio & Chem	AA/Science	\$ 112,505	\$ -	\$ 112,505	\$112,505	\$ -	\$ -	\$ -	7/1/22-6/30/25
48	State	R&D	CSU ARI-CSU Chancellor's Office	ARI-S 22-24 Vegetable Cropping	Arlene L Haffa	Bio & Chem	AA/Science	\$ 256,298	\$ -	\$ 256,298	\$112,505	\$ 143,793	\$ -	\$ 143,793	7/1/23-6/30/24
49	Federal	Public Servi	US Dept. Agriculture/NIFA	Developing capacity to quantify climate-smart practices in co	Arlene L Haffa, Arun D Jani	Bio & Chem	AA/Science	\$ 4,420,039	\$ 579,937	\$ 4,999,976	\$0	\$ 4,420,039	\$ 579,937	\$ 4,999,976	9/28/23-9/27/28
50	Federal	R&D	Elkhorn Slough Fdn-US Dept. Agricultu	Monitoring Soil Health and Water Status in Biochar-Amendc	Arun D Jani	Bio & Chem	AA/Science	\$ 3,496	\$ 1,504	\$ 5,000	\$0	\$ 3,496	\$ 1,504	\$ 5,000	6/15/23-2/28/24
51	State	R&D	CA Leafy Greens Research Board-CA De	Carbon Nanoparticle Addition to Fertilizers as a Strategy to I	Arun D Jani	Bio & Chem	AA/Science	\$ 7,890	\$ -	\$ 7,890	\$7,890	\$ -	\$ -	\$ -	4/1/22-9/30/23
52	State	R&D	CSU ARI-CSU Chancellor's Office	Carbon Nanoparticle Application in Arugula Production as a	Arun D Jani	Bio & Chem	AA/Science	\$ 105,221	\$ -	\$ 105,221	\$53,119	\$ 52,102	\$ -	\$ 52,102	7/1/22-12/31/24
53	State	R&D	Vilmorin North America-CA Dept. Food	Exploring Baby Leaf Germplasm for Disease Resistance, Dro	Arun D Jani	Bio & Chem	AA/Science	\$ 93,642	\$ 5,471	\$ 99,113	\$99,113	\$ -	\$ -	\$ -	10/1/23-12/31/25

**University Corporation at Monterey Bay
FY23-24Q4 7/1/23-6/30/24
Sponsored Programs by Division/College**

12	State	Student Sch CA State Lands Commission	CSU COAST Summer Internships 2022-24 State Lands Con	Krista P Kamer	COAST	AA/GS&R	\$ 22,000	\$ -	\$ 22,000	\$14,000	\$ 8,000	\$ -	\$ 8,000	6/1/22-5/31/25
13	State	Student Sch CA Dept. Fish & Wildlife	CSU COAST Summer Internships 2023-25 CDFW	Krista P Kamer	COAST	AA/GS&R	\$ 51,471	\$ -	\$ 51,471	\$51,471				7/1/23-6/30/25
14	State	Student Sch San Francisco Bay Conservation and Dev	CSU COAST Summer Internships 2023-28: San Francisco F	Krista P Kamer	COAST	AA/GS&R	\$ 4,000	\$ -	\$ 4,000	\$4,000				5/1/23-4/30/24
15	State	Student Sch San Francisco Bay Conservation and Dev	CSU COAST Summer Internships 2023-28: San Francisco F	Krista P Kamer	COAST	AA/GS&R	\$ 4,000	\$ -	\$ 4,000	\$0	\$ 4,000	\$ -	\$ 4,000	5/1/24-4/30/25
16	Federal	Academic St Regents of UC/Santa Cruz - USDA	Cultivando Lidere: A regional partnership for training and se	Jessica Bautista	UROC	AA/GS&R	\$ 780,906	\$ 96,754	\$ 877,660	\$0	\$ 780,906	\$ 96,754	\$ 877,660	6/15/23-6/14/28
17	Federal	R&D-Studet UEI Sacramento -National Science Founc	NSF LSAMP FY23 and FY24 Yr 4 and 5	John E Banks	UROC	AA/GS&R	\$ 30,000	\$ -	\$ 30,000	\$15,000	\$15,000	\$0	\$15,000	9/1/22-8/31/24
18	Federal	Academic St US Dept of Education	Ronald E. McNair Scholars 2022-2027 Yr 1.2	John E Banks	UROC	AA/GS&R	\$ 513,788	\$ 20,464	\$ 534,252	\$261,888	\$ 261,744	\$ 10,620	\$ 272,364	10/1/22-9/30/24
19	State	Academic St UEI Sacramento - Chancellor's Office	CSU LSAMP Yr 5 STEM Pathways & Research Alliance+	John E Banks	UROC	AA/GS&R	\$ 211,780	\$ -	\$ 211,780	\$100,890	\$110,890	\$0	\$110,890	9/1/22-8/31/24
20	Federal	Academic St U.S. Department of Agriculture	Increased degree attainment in FANH Sciences: Creating a re	John E Banks, Jose Pablo Dund	UROC-BIO &	AA/GS&R-COS	\$ 842,912	\$ 132,402	\$ 975,314	\$975,314			\$ -	9/1/2021-8/31/25
21	Federal	R&D National Science Foundation	HSI Pilot Project: Inclusive and Integrative STEM Educatior	John E Banks, Andrew Lawson	UROC/CoS	AA/GS&R-COS	\$ 331,227	\$ 68,763	\$ 399,990	\$399,990			\$ -	10/1/21-9/30/23
							GRADUATE STUDIES & I	\$ 4,008,139	\$ 341,759	\$ 4,349,898	\$ 2,954,495	\$ 1,275,403	\$ 120,000	\$ 1,395,403

ACADEMIC AFFAIRS - PROVOST OFFICE

Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term	
1	Federal	Academic St Hartnell College-USDA	Hartnell College Learning to Lead: Career Pathways Support	Andrew Lawson	Provost	AA/Provost	\$ 1,637,133	\$ 105,407	\$ 1,742,540	\$0	\$ 1,637,133	\$ 105,407	\$ 1,742,540	6/1/23-5/31/28
2	Federal	R&D National Science Foundation	Cross-Institutional Faculty Learning To Improve Critical Tra	Andrew Lawson	Provost	AA/Provost	\$ 302,273	\$ 97,727	\$ 400,000	\$0	\$ 302,273	\$ 97,727	\$ 400,000	10/1/23-9/30/26
							PROVOST	\$ 1,939,406	\$ 203,134	\$ 2,142,540	\$ -	\$ 1,939,406	\$ 203,134	\$ 2,142,540

ACADEMIC AFFAIRS - UNIVERSITY COLLEGE

Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term	
1	Federal	Academic St Monterey Peninsula College - US Dept o	Title V: Ready Set Transfer Yr 1-4	Sharon Anderson	Advising	AA/Univ College	\$ 380,346	\$ -	\$ 380,346	\$380,346			10/1/18-9/30/23	
2	Federal	Academic St Cabrillo College - US Dept. Ed Title V	' Cabrillo/CSUMB Partnership to Strengthen Transfer Pathwa	Sharon Anderson	Advising, Car	AA/Univ College	\$ 130,976	\$ -	\$ 130,976	\$130,976			10/1/20-9/30/23	
3	Federal	Academic St National Endowment for the Humanities	Improving Learning and Achievement with Reading/Writing-	Nelson Graff	CAD	AA/Univ College	\$ 69,539	\$ 29,902	\$ 99,441	\$99,441			1/1/19-12/31/23	
4	State	Public Servi CA Volunteers-State of California	California For All College Fellowships-Dreamers	Christine Eileen Hernandez	Service Learni	AA/Univ College	\$ 403,846	\$ 61,154	\$ 465,000	\$465,000			5/4/22-9/30/24	
5	Federal	Public Servi California Volunteers-US. Dept. Treasury	ARPA Californians for All College Fellowship	Christine Hernandez/Rhonda M	Service Learni	AA/Univ College	\$ 1,492,249	\$ 316,937	\$ 1,809,186	\$1,809,186			2/23/22-8/31/23	
6	Federal	Public Servi Napa County Office of Ed - Corporation	AmeriCorps 2022-2023	Robin Pelc	Service Learni	AA/Univ College	\$ 180,038	\$ -	\$ 180,038	\$180,038			8/1/22-7/31/23	
7	Federal	Public Servi Corporation for National Public Service	AmeriCorps VIP Program 23-24	Robin Pelc	Service Learni	AA/Univ College	\$ 180,696	\$ -	\$ 180,696	\$0	\$ 180,696	\$ -	\$ 180,696	8/1/23-7/31/24
						UNIVERSITY COLLEGE	\$ 2,837,690	\$ 407,993	\$ 3,245,683	\$ 3,064,987	\$ 180,696	\$ -	\$ 180,696	

STUDENT AFFAIRS

Type	Sponsor	Award Title	PI	Department	Division/College	Award Direct	Award Indir.	Award Total	Ongoing Awards FY23	New Awards Direct FY24	New Awards Indirect FY24	Total New Awards FY24	Award Term	
1	Local	Academic St Blue Strike Environmental	ReGEN Otter Eats	Joanna L. Snawder-Manzo	Dean Students	SA/Dean Student	\$ 6,902	\$ 2,416	\$ 9,318	\$0	\$ 6,902	\$ 2,416	\$ 9,318	1/17/24-12/31/24
2	Federal	Academic St US Dept of Education	College Assistance Migrant Program (CAMP), Yr 1-5	Clementina Macias	College Supp	SA/Enrollment N	\$ 1,977,082	\$ 148,518	\$ 2,125,600	\$1,700,000	\$395,896	\$29,704	\$425,600	7/1/19-6/30/24
3	Federal	Academic St U.S. Department of Education	Student Support Services Program-STEM Yr 1	Clementina Macias	College Supp	SA/Enrollment N	\$ 983,740	\$ 74,288	\$ 1,058,028	\$785,663	\$ 253,573	\$ 18,791	\$ 272,364	9/1/20-8/31/24
4	Federal	Academic St U.S. Department of Education	Student Support Services Program Yr 1	Clementina Macias	College Supp	SA/Enrollment N	\$ 1,110,416	\$ 80,273	\$ 1,190,689	\$884,175	\$ 286,362	\$ 20,152	\$ 306,514	9/1/20-8/31/24
5	Federal	Public Servi U.S. Department of Education	Watsonville High School and Soledad High School Upward	Kim M Barber	EOSP	SA/Enrollment N	\$ 697,217	\$ 50,301	\$ 747,518	\$366,431	\$ 356,291	\$ 24,796	\$ 381,087	9/1/22-8/31/24
6	Federal	Public Servi U.S. Department of Education	North Monterey County and Pajaro Valley Upward Bound	Kim M Barber	EOSP	SA/Enrollment N	\$ 566,786	\$ 40,320	\$ 607,106	\$297,601	\$ 289,491	\$ 20,014	\$ 309,505	9/1/22-8/31/24
7	Federal	Public Servi U.S. Department of Education	CSUMB Monterey County Talent Search	Kim M Barber	EOSP	SA/Enrollment N	\$ 1,221,807	\$ 97,745	\$ 1,319,552	\$646,839	\$ 622,882	\$ 49,831	\$ 672,713	9/1/22-8/31/24
8	Federal	Public Servi CA Dept Ed - US Dept Agriculture	USDA Summer Foods Program for Upward Bound	Kim M Barber	EOSP	SA/Enrollment N	\$ 26,276	\$ -	\$ 26,276	\$0	\$ 26,276	\$ -	\$ 26,276	6/1/23-9/30/23
9	Federal	Public Servi US Dept of Education	Coastal GearUP Year 2	Kim M Barber	EOSP	SA/Enrollment N	\$ 4,570,972	\$ 364,318	\$ 4,935,290	\$2,516,030	\$ 2,240,796	\$ 178,464	\$ 2,419,260	9/30/20-9/29/24
10	Federal	Public Servi U.S. Department of Education	Gear Up Salinas Valley Futures	Kim M Barber	EOSP	SA/Enrollment N	\$ 3,445,556	\$ 274,444	\$ 3,720,000	\$1,240,000	\$ 2,297,036	\$ 182,962	\$ 2,479,998	10/1/21-9/30/24
						STUDENT AFFAIRS	\$ 14,606,754	\$ 1,132,623	\$ 15,739,377	\$ 8,436,739	\$ 6,775,505	\$ 527,130	\$ 7,302,635	
						GRAND TOTAL	\$ 97,342,011	\$11,815,085	\$ 109,157,096	\$ 77,766,815	\$ 28,245,766	\$ 3,144,512	\$ 31,390,278	